

Securities Industry Act, 2024									
 Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
A.S.P.I Bahamas Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Ground Floor, Ocean Centre, East Bay Street	SP-61913	Carole Sassoon	Magnal Elaine Thompson	N/A	N/A	N/A	
Abaco Global Advisors Ltd.	1. Managing Capital Market Instruments	3B One Sandypport Plaza West Bay Street	SP-64168	Oliver Notz	Michelle Knowles-Doura	N/A	N/A	Oliver Notz	
Acetop Global Markets Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Unit 9, North Buckner Square, Sandypport Nassau Bahamas	N/A	Fareda Sands	Tamara Bain	Mark Gemma	N/A	N/A	
ActivTrades Corp.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	209/210 Church Street, Sandypport Plaza, Nassau, Bahamas	SP-64388	Alessandro Giuseppe Gho	Clesha Morley	Andrea Draghi	N/A	N/A	Alessandro Gho
Air Capital Partners (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	G.K. Symonette Building, Shirley Street, Nassau, Bahamas		Dale Winner	Phillisia Beneby-Williams	Soroosh Shambayati	Soroosh Shambayati	Soroosh Shambayati	
Akelius Invest Ltd.	1. Managing Capital Market Instruments	Lyford Manor, Western Road, Nassau Bahamas	AP-59223	Demetrius Syllouris	Sandra Gilbert	N/A	N/A	Demetrius Syllouris	
Alliance Investment Management Ltd.	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Advising on Capital Market Instruments	Carmichael Commercial Centre, 2nd Floor Suite 201, Carmichael Road	SS-19051	Julian Brown	Mioshe Chen Bascom	Julian Brown; Nolan Johnson	Julian Brown	N/A	
AlpacaX Securities Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Access Co Work Suites, Suite 13, One West Plaza, Windsor Field Road Nassau, The Bahamas		Arush Sehgal	Ebony Wright	Tony Lee	Tony Lee	Tony Lee	
Alternative Investment Solutions Ltd.	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	5 Pineapple Grove, Lyford Cay	SP-64284	Samir Lakkis	Francisca Anthonicia Delva	Samir Lakkis	Tameka Stubbs Julian Benichou Sergio Estesó Vidal; Samir Lakkis Nassib Hacham; Markus Wiessler; Maria Ujueta; Miguel Solis; Richard Allford; Ignacio A.A. Torro; Karim A. Lakkis Cases; Rogelio Javier Solis Velasquez; Mina Lazic; Jad Al Boustani; Pieter Waldo Du Plessis Willem Hendrik Oldewage	N/A	
ASA Asset (Bahamas) Ltd	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Mario Pavan	Anja Charles	N/A	Mario Pavan	Mario Pavan	

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Ask Capital Management Ltd.	1. Managing Capital Market Instruments	Clairmont Trust Company Limited Pineapple House #4 Lyford Cay	SP-642874	James Hoar	Wanda Maycock	N/A	N/A	Fouad Akkari; James Hoar; Daniel Magdolen	
Axxets Management Inc.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Bayside Executive Park No. 3, 3rd Floor West Bay Street & Blake Road Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	N/A	Anthony Ferguson Aslan L. H. Mansur	Anthony Ferguson	
Bahamas Central Securities Depository (BCSD)	Clearing Facility	British Colonial Hotel Hilton #202	N-9307	Melissa Campbell	Latonia Symonette-Tinker	N/A	N/A	N/A	
Bahamas International Securities Exchange (BISX)	Exchange	Suite 201, Fort Nassau Centre, British Colonial Hilton, Bay Street	EE-15672	Keith Davies	Holland Grant	N/A	N/A	N/A	
Bahamas Securities (1987) Limited	1. Advising on Capital Market Instruments	Gresham House, Charlotte Street South	N-10144	George C. Culmer	Myles Culmer	N/A	George C. Culmer; Myles J. Culmer	N/A	
Banca del Sempione (Overseas) Ltd.	1. Dealing in Capital Markets Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Unit 10-12 Old Fort Bay Town Centre, Bldg. 2	N-8159	Simone Bertoldo	Rickel Alma Morton	Edrick Cleare; Simone Bertoldo; Benjamin Roger Pinder	Stefano Donati, Benjamin Roger Pinder	Simone Bertoldo; Stefano Donati	
Banco Santander International SA	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	1st Floor Caves Corporate Centre, Building A, West Bay Street and Blake Road	N-1682	Blanca Catena Rallo	Tamara Joan Johnson	Blanca Catena Rallo	Blanca Catena Rallo	Blanca Catena Rallo	
BankPro Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	Paolo Broccardo	Chris Barr	(Vacant)	(Vacant)	(Vacant)	
Bank J. Safra Sarasin (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Adrian Zadeh	Helene Virginie Tison Duhaze	Yantoni Zeitoune; Joao Franco	Adrian Zadeh	Adrian Zadeh Daniel Saralegui	
Bank of Nassau 1982 Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Offices at Old Fort Bay, West Bay St.	SP-61302	Jonathan Cartwright	Wendell Alick Gardiner	Ingrid Taylor; Valentin Sevilla; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Patrick Guye-Bergeret; Valerie Garcia	Ingrid Taylor; Valentin Sevilla; Valerie Garcia	
BDI Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Bougainvillea Lyford Drive, Lyford Cay Nassau, Bahamas	N-4287	Nicole M. Dupuy	Monique Knowles	N/A	Nicole M. Dupuy	Nicole M. Dupuy	

Securities Industry Act, 2024									
 Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Benchmark Advisors (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	Gresham House, Charlotte Street North	N-10144	Sandra J. Knowles	Mioshe Chen Bascom	Nolan Johnson	Nolan Johnson	N/A	
Bilkool Advisors Ltd. (formerly Blue Line Advisors Ltd.)	1. Managing Capital Market Instruments	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Eric Mermet Pierre	Indira Ferguson	N/A	N/A	Eric Mermet Pierre	
Black Isle Management Services Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	104 Cotton Tree Plaza, East Bay Street, Nassau, Bahamas	PO-SS19501	Ian Black	Valentino Brown	Shamir M. A. Rolle	Marc-Claudio Muller Ian Black	Ian Black Marc-Claudio Muller	
Blackwell Global Investments Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments and trading CFDs	4 North Buckner Square Sandypport, Nassau, Bahamas	N-3406	Jerome Gomez	Philisa Beneby Williams	Shiwei Kwong	N/A	Shiwei Kwong	
Bluebay Global Advisors Ltd.	1. Managing Capital Market Instruments	APMS House #8 Pineapple Grove Old Fort Bay	SS-19689	Daniel Marc Brunner	Indira Ferguson	N/A	N/A	Daniel Marc Brunner	
Britannia Bank & Trust Ltd. (formerly Amber Bank & Trust Ltd.)	1. Dealing in Capital Markets Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Caves Village, Building #2, West Bay Street, Nassau, Bahamas	N-3917	Jose Herrera	Sue-Ann Viken	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne Jose Herrera	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne	Mateo Raimondo Julian Adderley Andrea Albanese Joseph Byrne Jose Herrera	
Britannia Securities Limited (formerly Arbitral Securities Ltd.)	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Unit 6, Western Business Centre, Western Road, Nassau Bahamas	SP-64093	Andre Souza	Rochelle Cleare	Shinique Rolle, Bernardo Amaral	Andre Souza	Andre Souza, Shinique Rolle, Bernardo Amaral	
B2B Prime Services Bahamas Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments and trading CFD'S	Aristo House Balmoral Sandford Drive Nassau, The Bahamas	SP-50643	Dusan Romcevic	Kesna Pinder	Juan Murillo	Heather Hazarian	Heather Hazarian	
Capital Com Online Investments Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	#3 Bayside Executive Park, Blake Road and West Bay Street	65167	Prescott Edvardo Adderley	Noelle Beneby	Anthony Ferguson	N/A	N/A	Anthony Ferguson
Capital Index (Global) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	SS-19086	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	N/A	N/A	Anthony Ferguson

Securities Industry Act, 2024									
SECURITIES COMMISSION OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Capital Union Bank Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	No. 5 Pineapple Grove, Lyford Cay, Western New Providence, Nassau, Bahamas	AP-59223	Michael Paton	Nicolette Alexis Duncanson	Clement Ducasse; Antoine Lacourt; Maxine Barberis; Pierre Soron; Clement Gless; Axel Chevalier; Nathan Perez; Charles Carroll Jr.; Franck Mathieu; Donovan Landry; Emiliano Cabrera; Guillaume Duthilleul	Clement Ducasse; Antoine Lacourt	Clement Ducasse; Antoine Lacourt;	
CBH Bahamas Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	CBH House, East Bay Street	N-1724	Daniel Baron	Rochelle Rolle	Shamini Gibson; Daniel Baron; William Gibson; Ginarenæ Chuno; Lynette Martinborough; Julio Vargas Jordanna Wring Martin Muller Thomas Karrer	Shamini Gibson; William Gibson; Ginarenæ Chuno; Guilhermo Alvarez Santini; Lynette Martinborough; Julio Vargas; Daniel Baron Martin Muller Thomas Karrer	vacant	
CF Group Company Limited	1. Dealing in Capital Market Instruments as Agent	Balmoral Corporate Centre, 2nd Floor, Unit C, Suite A Sanford Drive	SP-63191	Keva Ferguson	Indira Ferguson	Cheuk Yin Kung	N/A	N/A	
CFAL Securities Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	Pamela Musgrove; Tiffany Angelique Smith; Jeanelle Christal Francis; Anna Zervos;	Dwayne Swann; Shafegah Duncanson;	N/A	
CIBC Caribbean Bank (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	FirstCaribbean International Bank Financial Centre, 2nd Floor, Shirley Street	N-3221		Alicia Shalle Stuart	Gina Mackenzie; Sean Blyden; Brent Haines; Damingo L. Weir	Brent Haines; Damingo L. Weir	Gina Mackenzie	
CIBC Caribbean Trust Company (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	1st Floor Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Perry A Rolle	Joan Rahming	Desaree Shantell Romer	(Vacant)	(Vacant)	
Colina Financial Advisors Limited (CFAL)	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	Anthony Ferguson Pamela Musgrove	Anthony Ferguson Pamela Musgrove	
Coralisle Pension Services (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	2nd Floor Atlantic House, Collins Avenue	SS-6246	Kriston Moore	Tamika Bodie-Dean	Kriston Moore	Kriston Moore	Kriston Moore	
Core Capital Partners Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	#3 Windsor Business Park, Building B-1, Windsor Field Road, Nassau, Bahamas	SP-63035	Christian V . Queue	Kristy Kemp	Alma Moultrie-McIntosh	Alma Moultrie-McIntosh	Alma Moultrie-McIntosh	

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Corner Bank (Overseas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments and trading CFD's	Lyford House, Lyford Cay	N-7134	Christine Russell	Jennifer Rahming	Davide Sergio Bonetti; Shakema Sweeting; Eronjha McIntosh; Shevonne Rahming	Davide Sergio Bonetti; Orazio Defilippis; Andrea Fronzoni; Peter Ceuleers; Jamaal Wright	Orazio Defilippis Davide Sergio Bonetti	Davide Sergio Bonetti
Corvalier Trust Company (Bahamas) Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; James Hoar; John Jephson; Jaime Stewart Davies	Miles Evans; James Hoar; John Jephson; Jaime Stewart Davies	
CPL Advisors Limited	1. Managing Capital Market Instruments	Winterbotham Place Marlborough & Queen Streets	N- 3026	Agustin del Rio	Anja Charles	N/A	N/A	Agustin del Rio	
Crescendo (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Western Business Center, Western Road, Nassau, Bahamas	SP-64412	Claude Philip Meier	Natasha Michelle Bastian	Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes	Claude Philip Meier Damian Eustan Forbes	
Dartley Securities Limited	1. Dealing in Capital Market Instruments as Agent	Equity House, Caves Village Plaza, West Bay Street & Blake Road	CB-11838	Dillon Dean	Sally Patton	Thomas Strachan	N/A	N/A	
Deltec Bank & Trust Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Deltec House, Lyford Cay	N-3229	Jean Chalopin	(Vacant)	(Vacant)	(Vacant)	(Vacant)	
ECM Capital Advisors Ltd.	1. Arranging Deals in Capital Market Instruments 2. Advising on Capital Market Instruments	Capital Union Financial Centre, 3rd Floor Western Road, Lyford Cay	N-1879	Gene Caldwell McBurney	LaTonia Symonette-Tinker	N/A	Gene Caldwell McBurney	N/A	
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Goodman's Bay Corporate Centre, 3rd Floor, West Bay Street and Sea View Drive	CB 10956	Alexandre Sampedro	Maria Dorsett	Armando Neto,	Robert S. Morrison; Katty Ferguson; Armando Neto; Douglas Blank De Sousa Pereira; Carlos D'Alessandro; Fabio Frascino	(Vacant)	
Eightcap Global Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	201 Church Street, Sandypport, West Bay St., Nassau, Bahamas	SP 64407	Andrew Rolle	Samuel Wilkinson	Joel Murphy	N/A	N/A	Andrew Rolle
Elco Securities Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	E. Isaac Collie	J. Derek Benjamin	David Hoang	Lawrence Collie	E. Isaac Collie	

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Equity Bank Bahamas Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean	Robyn Newton	Dillon Dean; Jpy Delos Reyes	Dillon Dean	Dillon Dean	
EuroBanco Bank Limited	1. Dealing in Capital Market Instruments as Agent	Suite 5 Caves Professional Center, West Bay Street & Blake Road	N-1114	(Vacant)	Natividad Esther Benitez	(Vacant)	N/A	N/A	
Exmer Partners Limited	1. Managing Capital Market Instruments	Winterbotham Place, Marlborough & Queen Streets	SP-62557	Ivan Hooper	Anja Marie Charles	N/A	N/A	Ivan Hooper	
Extiber Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	212 Church St., Sandyport, West Bay Street	SP-62238	Flavio De Paulis	Nathan Adderley	N/A	Alessandro Russo	Alessandro Russo	
FAM Fund and Asset Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road	SS - 19689	Christian Coquoz	Indira Ferguson	N/A	Christian Coquoz	Christian Coquoz	
Faro Capital Investors Ltd.	1. Advising on Capital Market Instruments	4th Floor, Lyford Cay House, Western Rd	N-7776	Federico Andres Riege	Nathan Adderley	N/A	Federico Andres Riege	N/A	
FC Capital Investments Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	# 3 Western New Providence Commercial Center	SS-19027	Alexander Martin Murbach	Nathan Adderley	N/A	Leo Betschart; Tiffany Jones	Leo Betschart; Tiffany Jones	
Fidelis Advisors Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	#4 Pineapple House, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	Miles Evans; Osman Amre Youness	Miles Evans; Osman Amre Youness	
First Overseas Bank Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	Office #2, Building #8, Caves Village Professional Centre, West Bay Street	CB-11903	Carlos Walton	Ricardo Leandro Villalba	Carlos Walton	Federico Perez	N/A	
First Prudential Markets (Global) Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Sea Sky Lane Sandyport, West Bay Street		Craig Mckinlay Allison	Kyriakos Kyprianou	Thomas Peter Roberts	N/A	N/A	Craig Mckinlay Allison
Fitpart Fund Administration Services Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Bahamas Financial Centre, 4th floor, Shirley & Charlotte Streets	CB-13515	Fernando Prado	Indira Ferguson	N/A	Fernando Prado	Fernando Prado	
FK Capital Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	2nd Floor Goodman's Bay Corporate Center Nassau, Bahamas	SP-61567	Jorge Finkler Braverman	Indira Ferguson	N/A	Jorge Finkler Braverman; Eduardo Finkler Bravermen	Jorge Finkler Braverman; Eduardo Finkler Bravermen	

Securities Industry Act, 2024									
 Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
FxPro Global Markets Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal and trading CFD's	Lyford Manor, Western Road, Nassau, Bahamas	N-7776	Denis Sukhotin	Piotr Madej	Dimitriy Chipliev	N/A	N/A	(Vacant)
GEM Global Equities Management S.A.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	201 Marina Lane Sandyport Nassau, Bahamas	SP-64452		Shonalee Munroe	N/A	N/A	N/A	
Global Advisors Partners Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Alexandre Vancoff	Edgar Seligman	N/A	Ivano Alliata Alexandre Vancoff	Alexandre Vancoff, Ivano Alliata	
Green Cay Advisory Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Building #2, Caves Village	N-7776	Joseph Byrne	Indira Ferguson	N/A	Joseph Byrne	Joseph Byrne	
High Tech Invest Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Sea Sky Lane, Sandyport, Nassau, Bahamas	N/A	Peter Hoffmann Thage	Gerogianne Robinson	Peter Hoffmann Thage	N/A	N/A	Jerome Pastel Gomez
Holdun Family Office (Bahamas) Limited	1. Managing Capital Market Instruments	Albany Financial Center Suite 303	SP-63158	Brendan Dunn	Kristy Kemp	N/A	N/A	Brendan Dunn	
Holowesko Partners Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko	Karen Zyp	N/A	Mark Holowesko, Richard Farrington, Christopher Maura	Mark Holowesko, Richard Farrington, Christopher Maura	
Huber Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Top Floor No. 1, CUB Financial Center, Lyford Cay, Nassau, Bahamas	N-7139	Bernard Huber	Jennifer Wells	N/A	Bernard Huber Juan Zatarain	Bernard Huber Juan Zatarain	
IC Markets Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal and trading CFD's	Western Business Center, Unit 3B, Upper Floor Western Road, Nassau, Bahamas	N-272	Latonia Symonette-Tinker	Philip Dorsett	Charalambos Agapiou	N/A	N/A	(Vacant)
IEXS Global Ltd	1. Dealing in Capital Market Instruments as Agent	Fionza Corporate Services Centre Balmoral Development, Unit C, Sandford Drive Nassau, Bahamas		Keva Ferguson	Antonia Roberta Thompson-Carey	Anthony Stubbs	N/A	N/A	N/A
Intelligo Bank Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Balmoral Corporate Centre, Ground Floor, Unit B, Nassau, Bahamas	N-3732	Bruno Ferreccio Del Rio	Silka Yaneth Rodriguez Mendez	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio	Bruno Ferreccio Del Rio	
Investar Securities Ltd.	1. Managing Capital Market Instruments	#14 University Drive	SS-19086	Ansel Watson	Omar Sands	N/A	N/A	Ansel Watson	

Securities Industry Act, 2024									
 Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
ITG Bahamas Inc.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Advising on Capital Market Instruments	Templeton Building, Western Road, Nassau Bahamas		Benjamin A. Shaw	Marcia Meeres	Benjamin A. Shaw	Benjamin A. Shaw	N/A	
IX Capital Group Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments and trading CFD's	201 Church Street, Sandport, West Bay Street	N-3406	Anthony Stubbs	Georgianne Robinson	(Vacant)	N/A	(Vacant)	Jerome Pastel Gomez
J. Safra Sarasin Asset Management (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Lyford Financial Centre, Building 2, Western Road, Lyford Cay	CB-10988	Daniel Kamkaji	Helene Virginie Tison Duhaze	N/A	Daniel Kamkhaji	Daniel Kamkhaji	
Jefferson Capital Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	5th Floor 308 East Bay Street	N-9058	Khofiz Shakhidi	Shonalee Munroe	N/A	Khofiz Shakhidi	Khofiz Shakhidi	
Kirkoswald Global Management Services Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Suite 4B, Albany Financial Centre Lewis Drive Nassau, Bahamas	SP-63158	Gregory Coffey	David Abdo Hassan	Gregory Coffey	Gregory Coffey	Gregory Coffey	
Leno Corporate Services Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Fifth Floor Leno Corporate Centre Third Terrace and Collins Avenue Nassau, Bahamas	N-8339	Sean Longley	Darcy Delaney	Kerah Farquharson; George A. White; Sonia Beneby	Kerah Farquharson; George A. White; Sonia Beneby; Sherrell Woodside-Conliffe; Cleora Farquharson	N/A	
Levant Advisors Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	#7/8 Windsor Business Park, Windsor Field Road Nassau, The Bahamas	CB-12407	Angelo Butler	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	
Levant Securities Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Martin D. Cornell	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	
Lighthouse Asset Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	#3 Pineapple Grove Old Fort Bay Western Road	N-7776	Riccardo Romani	Calvin Rolle	N/A	Riccardo Romani; Rosella Dack	Riccardo Romani; Rosella Dack	
Lion Capital Partners Ltd.	1. Arranging Deals in Capital Market Instruments 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	Caves Village , Building 2 West Bay Street		Lyndon Lea	Nathan Adderley	N/A	Lyndon Lea	Lyndon Lea	

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
LOM Financial (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Advising on Capital Market Instruments	LOM House #3 Pineapple Grove, Western Road, Nassau Bahamas	AP-59223	Craig Lines	Jalinka Strachan	Kyle Annesley; Ariyan Shadai	Nakhazia Gay	N/A	
LOM Invest Ltd.	1. Advising on Capital Market Instruments	LOM House #3 Pineapple Grove, Western Road, Nassau Bahamas	AP-59223	Craig Lines	Jalinka Strachan	N/A	Craig Lines Nakhazia Gay	N/A	
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	Eduard Zouein	Venetia Maura	(Vacant)	Claudio Godenzi; Maurice Chiacchiari; Lea Dana; Edward Zouein; Alexandre Musumeci Suarez	Claudio Godenzi; Maurice Chiacchiari; Lea Dana; Edward Zouein; Alexandre Musumeci Suarez	
LT Green Capital Investment Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	3rd Floor Bayview House, 308 East Bay Street	CB-12407	Edson Ito	Prescott Adderley	N/A	Edson Ito	Edson Ito	
Macro Bank Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments	Building 8 Office 1, Caves Village, West Bay Street	N-4444	Rosina Simeto	Stella Giani	Rosina Simeto	N/A	N/A	
Magellan Securities Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Suite 232, The Island Lane Building, The Olde Towne at Sandyport	CB-13366	Robert Davidowski	Nathan Adderley	Meredith Miller; Destiny Rollins Michael Slack	Robert Davidowski; Ian Towell;	Robert Davidowski	
Magnum Global Advisors Ltd.	1. Managing Capital Market Instruments	APMS House, #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, Bahamas	SS-19689	Christian Coquoz	Indira Ferguson	N/A	N/A	Christian Coquoz	
Marlin Capital Partners Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	CUB Financial Centre, Western Road, Nassau, Bahamas	N-4469	Zachary Lyons	Indira Ferguson	N/A	Zachary Lyons; Andrew Pajakowski Vasilia Mavros Richard Heathcote	Zachary Lyons; Andrew Pajakowski Vasilia Mavros Richard Heathcote	
Metainvest Capital Management Ltd. (formerly Goldensheikh Overseas Limited)	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	212 Church Street, Old Towne Sandyport	N-3205	Riccardo Sigon	Latonia Symonette-Tinker	N/A	Riccardo Sigon	N/A	
Mint Capital Advisors Ltd.	1. Managing Capital Market Instruments	Templeton Building, West Bay Street	N-9204	Eric Strachan	Alicia Bodie	N/A	N/A	Eric Strachan	
MMG Bank & Trust Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	#204 Church Street, Sandy Port, Olde Towne West Bay Street, Nassau, Bahamas	N-4889	Pachridee Hanna-Ferguson	Cecil Ferguson	(Vacant)	(Vacant)	(Vacant)	
Mosaic Financial Ltd.	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Caves Village, Building #6, West Bay Street	SP-63771	Shameka Fernander	Laterio Culmer	Shameka Fernander	Robert Jensen	Robert Jensen	

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Nexor (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Suite 601 Albany Financial Center, South Ocean Boulevard,	AP-59205-3380	Daniel-Marc Brunner	Michelle Bain	N/A	Werner Gruner; Daniel-Marc Brunner; Patrick Feuz	Daniel-Marc Brunner; Patrick Feuz	
O'CoF Securities Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments	BMCC Square, Suite # 7, Block # II Queens Highway, Freeport, Bahamas	EE 16320	N'Nhyn Mhlin Fernander	Crystal McClain-Turnquest	Kriston Moore	N/A	N/A	
Octogone Fund Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	No. 3 Offices Old Fort Bay, Western Rd.	SP-63157	George Pericles Maillis	Nathan Adderley	N/A	George Pericles Maillis	Joakim Lehmkuhl	
Palm Global Capital Ltd (formerly Destek Global Limited)	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments and trading CFD's	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas	CB-12407	Anthony Ferguson	Prescott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Pepperstone Markets Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments and trading CFD's	Pineapple House, Old Fort Bay, Western Road, Nassau, Bahamas		Sree Gudipati	Shavonna Cordice	Earvin Rahming	N/A	Earvin Rahming	(Vacant)
Phoenix Capital Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	212 Church Street, Old Towne Sandyport	SP-64377	Luca Carlo Pasquale Lanciano	LaTanya Hamilton	N/A	Luca Carlo Pasquale Lanciano; Carla Taylor	Luca Carlo Pasquale Lanciano; Carla Taylor	
Pictet Bank & Trust Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Bayside Executive Park, Building 1, West Bay Street & Blake Road	N-4837	Carlos De La Torre	Janique Duvalier	Tamica Rolle; Miriam Scott; Nikola Ruzicic-Kessler; Melan Georges	Monica Millers; Jeremy Stuby; Isabelle Fiaux; Jose Suarez; Carlos De La Torre; Fernando Luperi Guerra; Nikola Ruzicic-Kessler; Dionne Curry; Jeremy Proffitt; Gabrielle Lefebvre	Nikola Ruzicic-Kessler; Tyrez Sturup	
Platinum Portfolio Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Suite #200, 1 Marina Drive Sterling Commons Paradise Island Nassau, The Bahamas	N-1812	Blair Robert Panes	Endric Deleveaux	N/A	Blair Robert Panes	Blair Robert Panes	
Plotio Global Financial Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Capital Union Bank Financial Centre, Western Road, Lyford Cay, Nassau, Bahamas	N-1879	Vincent Laurent King	Latonia Symonette-Tinker	Tsz Hong Fung	N/A	N/A	(Vacant)
PLUS500BHS Ltd	1. Dealing in Capital Market Instruments as Agent or Principal and trading CFD's	Bayside Executive Park, No. 3, West Bay Street & Blake Road, Nassau, Bahamas		Prescott Edvardo Adderley	Sherella Vernetta Gibson-Sturup	Anthony Ferguson	N/A	N/A	Anthony Ferguson

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Portofino Financial Inc.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Winterbotham Place, Malborough & Queen Streets	N-3026	Guillermo Hernan-Reekstin	Anja Marie Charles	N/A	Guillermo Hernan-Reekstin	Guillermo Hernan-Reekstin	N/A
Prevatt Capital Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Lyford Cay House, Western Road	N 7512	Jonathan Israel Tepper	Indira Ferguson	N/A	Jonathan Israel Tepper	Jonathan Israel Tepper	
Primo Investment Advisors Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	2 Lyford Cay Financial Centre, Western Road, Centre of Commerce Nassau, Bahamas	SP-63948	Ecio Silva	Indira Ferguson	N/A	Ecio Silva	Ecio Silva	
Providence Advisors Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	1st Floor, Goodman's Bay Corporate Centre	AP-59223-409	Kenwood Kerr	Indira Ferguson	Racquel Coleby-Grant	N/A	Kenwood Kerr Racquel Coleby-Grant	
Q I Advisors (Bahamas) Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	#3 Windsor Business Park, Building B-1, Windsor Field Road Nassau, Bahamas	SP-63035		Kristy Kemp	(Vacant)	Alma Moultrie-McIntosh	Alma Moultrie-McIntosh	
Quantfury Trading Americas Limited (formerly, Quantfury Trading Limited)	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Lyford Cay House, 4th Floor, Western Road, Nassau, Bahamas	CB 12407	Nikolai Sawyer	Prescott Adderley	Angelo Butler Jr.	Angelo Butler Jr.	Angelo Butler Jr.	Anthony Ferguson
RBC Dominion Securities (Global) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Suite 201, Albany Financial Center, South Ocean Boulevard	N-3234	Andrew McCartney	Mario Smith	John Rodney Bond; Francisco Goncalves; Gregory Metrailler; Amelia Jenice Bain	John Rodney Bond; Carol Denis Newbold; Sophia Eloise McKenzie; Francisco Goncalves; Gregory Metrailler	Francisco Goncalves; Gregory Metrailler	
RIA Company Ltd.	1. Managing Capital Market Instruments	Winterbotham Place, Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena	Anja Marie Charles	N/A	N/A	Alejandro Luis Barroetavena	
RF Bank & Trust (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Fidelity Financial Centre, 51 Frederick Street	N-4853	Michael Anderson	Garnal Brown	Belinda Payne; Quentin Euriel Gibson; Charmaine Williams; Janeen Bethel;Tajano Minnis	Tishree Grey; Dominic Aiyon Stubbs ; Patrick Ferguson; Janeen Bethel Leo Huber	David Craig Van Onselen; David Slatter Harold Munnings III	
Scotiabank (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Rawson Square, Bay Street, Nassau, Bahamas	N-7518	Roger Archer	Rico Russell	Deno Moss; Janae Francis-Ingraham	Deno Moss;	(Vacant)	
Sinclair Asset Management Ltd.	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	CUB Financial Center, Room TF3, Lyford Cay, Nassau, Bahamas	N/A	Jean-Marc Wenger	Indira Ferguson	N/A	Jean-Marc Wenger; Joel Johnson	Jean-Marc Wenger; Joel Johnson	

Securities Industry Act, 2024									
 Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
SIS Solutions Ltd.	1. Managing Capital Market Instruments	Cumberland House, 15 Cumberland Street	SP-64111	Keva McPhee	Nathan Adderley	N/A		Keva McPhee	
ST Global Markets Ltd.	1. Dealing in Capital Market Instruments as Agent	Building 1 Unit 9, Old Fort Bay Town Centre Nassau, Bahamas	SP-64427	Michael Bassett	(Vacant)	(Vacant)	N/A	N/A	
Sterling (Bahamas) Limited	1. Dealing in Capital Market Instruments as Agent 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	202 Marina Lane Sandyport, Nassau, Bahamas	N-9934	Alan Edward Cole	Raynell Naomi Miller	Christopher Claude Cole	Christopher Claude Cole	Christopher Claude Cole	
Swan Capital Ltd.	1. Managing Capital Market Instruments	Hallsbury Commercial Centre, #10, Village Road, Nassau, Bahamas	SS-6333	Jorge Isaac Moreira Barrow	Rosemary Barrett	N/A	N/A	Jorge Isaac Moreira Barrow	
Syncora Securtities Ltd.	1. Managing Capital Market Instruments	Albany Financial Center Suite 601 South Ocean Boulevard Nassu, The Bahamas	N-9204	Eric Strachan	Jasmine Cartwright-King	N/A	N/A	Joao Estelreiro	
Talent Asset Management Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	INCUDESK West, Windsor Field Rd., Old Fort Bay Town Centre, Building #3, Suit #13, Nassau, Bahamas	N-3026	Jose Ignacio Chercasky	Anja Marie Charles	N/A	Jose Ignacio Chercasky	Jose Ignacio Chercasky	
Techfin Markets Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments and trading CFD's	Balmoral Business Center, Prospect Ridge, Nassau, Bahamas	N-1879	Theresa Haven-Adderley	Latonia Symonette-Tinker	Constantinos Michaelides	N/A	N/A	(Vacant)
Templeton Global Advisors Limited	1. Managing Capital Market Instruments 2. Advising on Capital Market Instruments	Templeton House, Lyford Cay, Nassau, Bahamas	N-7759	Christopher Peel	(Vacant)	N/A	Warren Pustam; Peter Sartori	Christopher Peel; Warren Pustam; Peter Sartori	
The Bank of Nova Scotia Trust Company (Bahamas) Ltd.	1. Dealing in Capital Market Instruments as Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Scotia House, 404 East Bay Street	N-3016	Neil Macdonald	Chaquita Taylor	Jerome Franks Locksley Knowles II	Jerome Franks; Juan Giraldo Locksley Knowles II	Jerome Franks Locksley Knowles II	
The Private Trust Corporation Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments	2nd Floor, Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones	Natasha Dean	Charlene Storr; Bruno Roberts	N/A	N/A	
The Winterbotham Trust Company Limited	1. Dealing in Capital Market Instruments as Agent 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Winterbotham Place, Marlborough & Queen Streets	N-3026	Ivan Hooper	Anja Marie Charles	Ivan Hooper; Jessica Thornley-Mitchell, Andrea Quant, Nicola Patrice Rolle	Jessica Thornley-Mitchell	David Thain	

Securities Industry Act, 2024									
 OF THE BAHAMAS									
Registrants as at 31 August 2026									
LICENSEE	CATEGORIES	ADDRESS	P.O. BOX	Registered Representatives					
				CEO	COMPLIANCE OFFICER	TRADING REP	ADVISING REP	MANAGING REP	CFD SUPERVISORY OFFICER
Trade Nation Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments and trading CFD's	Office 2B, One Sandypoint Plaza, West Bay Street, Nassau, Bahamas	CB-12407	Richard Daniel Nathan	Aruna Tara Rodgers	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
TradeZero Inc.	1. Dealing in Capital Market Instruments as Agent or Principal	436 West Bay Street Nassau, Bahamas	SP-62904	Kay Briggs	Lizzette McKinney	Kay Briggs; Shanae Mackey	N/A	N/A	
UBS AG, Nassau Branch (formerly known as Credit Suisse AG Nassau Branch)	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	4th Floor The Financial Centre, Shirley & Charlotte Streets	N-4928	Ghislane Wilkinson	Cherise Cox-Nottage	Caromell K. Farrington	Caromell K. Farrington	(Vacant)	
UBS Brazil (Bahamas) Limited (formerly Credit Suisse Brazil (Bahamas) Limited)	1. Dealing in Capital Market Instruments as Agent or Principal	4th Floor Bahamas Financial Centre, Shirley & Charlotte Streets	N-3241	(Vacant)	(Vacant)	N/A	N/A	N/A	
UCAP Bahamas Limited	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	CUB Financial Center, Lyford Cay, Nassau, Bahamas	N/A	Patrick Zbinden	Indira Ferguson	Pierre Soron Christian Patonm Clement Ducasse	Clement Ducasse Marvin Riera	Chiarel Quentin Clement Ducasse	
Virtus Capital Ltd. (formerly Oracle Capital Advisors Ltd.)	1. Dealing in Capital Market Instruments as Agent 2. Managing Capital Market Instruments 3. Advising on Capital Market Instruments	202 Marina Lane Sandypoint, Nassau, Bahamas	N-9934	Oleg Nikityuk	Raynell Miller	Oleg Nikityuk	Oleg Nikityuk	Oleg Nikityuk	
WG Capital Ltd.	1. Dealing in Capital Market Instruments as Agent or Principal 2. Arranging Deals in Capital Market Instruments 3. Managing Capital Market Instruments 4. Advising on Capital Market Instruments	Unit A, Balmoral Corporate Center, Balmoral Development	AP-59223 Slot 501	Stavros Spyropoulos	Shelby Brice	Robbi Mathie	(Vacant)	(Vacant)	
Windermere Corporate Management Limited	1. Managing Capital Market Instruments	200 Sterling Commons, Harbour Drive, Paradise Island, Nassau, Bahamas	N-7797, Suite A-084	John Lawrence	Millicent Clarke	N/A	N/A	John Lawrence	
XL Partners Ltd.	1. Managing Capital Market Instruments	APMS House #8 Pineapple Grove, Old Fort Bay, Western Road, Nassau, The Bahamas	N/A	Mitsuo Alves	Indira Ferguson	N/A	N/A	Mitsuo Alves	