



EMPLOYMENT OPPORTUNITY

The Securities Commission of The Bahamas, a statutory agency responsible for regulating and overseeing investment funds, securities, financial and corporate service providers, digital assets and registered exchanges, carbon credit trading, and the capital markets in The Bahamas, invites applications from qualified individuals for the following position:

OFFICER – EXAMINATIONS DEPARTMENT

We seek to hire a full-time Officer within the Examinations Department. The Officer is responsible for the completion of on-site examination audit working papers/programs and in the preparation of routine examination reports.

Responsibilities:

- Act as the lead examiner for on-site regulatory examinations in accordance with the applicable legislative requirements of each of the Commission's licensees, which includes, but is not limited to:
 - Conduct interviews and liaise with the Commission's licensees.
 - Gather electronic documentation via e-mail correspondence, secure within Pentana audit software, and documentation of regulatory audit work.
 - Review client files and documentation of the results.
- Draft routine examination reports (RERs).
- Attend meetings with licensees at entry, exit and during the course of the on-site examination.
- Assist with updating work programs in Pentana as may be required.
- Assist with updating templates used in the conduct of exams as may be required.
- Assist with the preparation and the conduct of annual agent training.
- Preparation being the updating of the PowerPoint slide show presentation.
- Conduct being the presentation of the PowerPoint slides at the training.
- Perform reviews of the work performed by officers.
- Address review notes passed down by the assistant manager, deputy manager and manager.
- Support management in international regulatory body report submissions, questionnaires, surveys and the like.
- Provide support, training and oversight to junior team members.
- Attend special ad hoc meetings and provide support to superiors in the completion of special projects.
- Assist examinations team members with other routine and ad hoc tasks as necessary.

Qualifications/Experience:

- 5+ years of regulatory and/or financial services experience.
- Bachelor's degree in accounting, finance or law; legal qualification as an attorney-at-law preferred.
- Obtained or actively pursuing CPA or CA designation and/or Anti-money laundering (AML)/Compliance qualifications (ICA or CAMs) would be an asset.

- Broad knowledge of capital markets, products, legislation and regulatory environment.

Compensation and Benefits:

Packages are competitive with the market.

Interested persons should submit their applications no later than **Friday 13 March 2026** to:

Senior Manager, Human Resources Department

Securities Commission of The Bahamas

Tel: (242) 397-4100

E-mail: hrm@scb.gov.bs

Website: www.scb.gov.bs