



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
A.S.P.I Bahamas Ltd. (formerly R.I.A.F. Ltd)	1. Managing Securities 2. Advising on Securities	601-5334	601-5339	methompson@aspibahamas.com	Ground Floor Ocean Centre, East Bay Street	SP-61913	Magnal Thompson
Abaco Global Advisors Ltd.	1. Managing Securities	328-2131	356-2155	info@abaco-ltd.com	3B One Sandypoint Plaza West Bay Street	SP-64168	Michele Knowles-Doura; Olivier Notz
Adi Dassler International Family Office Limited	1. Managing Securities	356-5454	356-9432	jwright@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	SP-62556	Julian Bradham; David Rounce; Anthony Kevin Burrows
Advantage Financial International Ltd.	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com	5th Floor 308 East Bay Street	N-9058	Francesco Confuorti; Samantha Watson-Crooks
Akelius Invest Ltd.	1. Managing Securities	367-2030	367-5788	demetris.syllouris@akeliusinvest.com	700 Don Mackay Boulevard Abaco	AB-20415	Demetrius Syllouris; Rhonda Hull
Alliance Investment Management Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Advising on Securities	326-7333	326-7336	info@allianceinvest.com; aelcock@allianceinvest.com; jbrown@allianceinvest.com	Carmichael Commercial Centre, 2nd Floor Suite 201, Carmichael Road	SS-19051	Julian Brown; Avril Elcock- Major; Nolan Johnson
Alternative Asset Advisors S.A.	1. Managing Securities 2. Advising on Securities	702-1423	702-1424	jillian.ferguson@syzgroup.com	Building # 2, Old Fort Bay, Windsor Field Road	N-1089	Venetia Dekle-Maura; Jillian Ferguson; Keitra Pratt; Cristiano Pasqualino; Julian Benichoa
Alternative Investment Solutions Ltd.	1. Advising on Securities	362-6071	362-6079	info@ais.solutions	5 Pineapple Grove, Lyford Cay	SP-64284	Samir Lakkis; Wanda Maycock; Julian Benichou



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Amber Bank & Trust Ltd. (formerly Arner Bank & Trust (Bahamas) Ltd.)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	327-6594	327-6584	katriena.marshall@amberbank.com	Suite 2 Building 2, Caves Village	N-3917	Katriena Marshall; Lisa Patterson; Julian Adderley; Alessandro Molo; Andrea Albanese
Analytical Research Advisors, LLC	1. Advising on Securities	322-5448	325-8445	info@sterling-bahamas.com	205A Saffrey Square, Bank Lane and Bay Street	N-9934	Jean-Stephane Marie Lods; Michelle Bain
Andbank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-7090	394-7089	info.bahamas@andbank.com; manel.martinez@andbank.bs	One Montague Place, East Bay Street	AP-59223	Manuel Martinez Campos; Daniel Brunner; Wendell Gardiner; Werner Gruner; George Maillis; Jamaal Wright
Ansbacher (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-1161	326-5020	info@ansbacher.bs; andrew.alexiou@ansbacher.bs	4th Floor Colina Imperial Building, #308 East Bay Street	N-7768	Andrew Alexiou; Christian Coquoz; Catherine L. Fadely; Ricardo Rolle; Lashelle White; Katherine Rolle; Antoin Bowe;
Arbitral Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6750	362-6760	andre@arbitral.com	Bldg No. 4, Old Fort Bay, Lyford Cay	SP-64093	Andre Mendes Senra Souza; Fernando Mauricio Da Rocha Pereira Leite; Rodrigo Paiva; Wendy Warren; Andre Pereira Landi



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Autana Capital Management Limited	1. Managing Securities 2. Advising on Securities	327-3947	327-3958	jme@autanacapital.com	17 Professional Centre, Caves Village, West Bay Street	CB-12762	Jean-Marc Emden
Axia Partners	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com; tamara.bain@apms-ltd.com	Halsbury Commercial Centre, Suite No. 2	SS-19689	Marcel Ott; Tamara Bain
AXMIN CAPITAL LTD. (formerly RIG Investment Advisors Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-5454	356-9432	aroberts@rigadvisors.com	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Roberto A. Roberts; Robert Ingrey
Axxets Management Inc.	1. Managing Securities 2. Advising on Securities	502-7007	393-4639	aferguson@axxets.com	308 East Bay Street	CB-12407	Anthony Ferguson; Yair Esrubilsky; Samuel Wilkinson
Bahamas Central Securities Depository	Clearing Facility	322-5573	356-3613	NBurrows@bahamascsd.com; info@bahamascsd.com	British Colonial Hotel Hilton #202	N-9307	Nerika Burrows
Bahamas International Securities Exchange (BISX)	Exchange	323-2330	323-2320	info@bisxbahamas.com; hgrant@bisxbahamas.com; kdavies@bisxbahamas.com	2nd Floor of the Fort Nassau Centre, British Colonial Hilton	EE-15672	Keith Davies
Bahamas Securities (1987) Limited	1. Advising on Securities	325-6591	325-6592	info@bdobahamas.com	Charlotte Street South	N-10144	George C. Culmer; Myles J. Culmer



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Banca del Sempione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-8015	356-2030	beat.meier@sempione-overseas.com	3rd Floor George House, George Street	N-8159	Beat Viktor Meier; Neil DeCordova Baker; Edrick Cleare; Stanley Coakley; Simone Bertoldo; Michele Piantoni; Francesca Trizzino
Banco Santander Bahamas International Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7900	322-3585	alfonsocoloma@bstnas.com	3rd Floor Goodman's Bay Corporate Centre, West Bay Street	N-1682	Alfonso Coloma Bello; Roberto Morales
Bank J.Safra Sarasin (Bahamas) Ltd. (formerly Safra International Bank & Trust Ltd.)	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	601-6403	601-6401	bahamas@jsafrasarasin.com; israel.borba@jsafrasarasin.com	Saffrey Square, Suite 103-B, Bay Street & Bank Lane	CB-10988	Isreal Borba; Adrian Zadeh; Yantoni Zeitoune; Joao Franco; Daniel Saralegui; Lianne Johnson
Bank of the Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	397-3000	323-8811	philice.albury@bankbahamas.com	Cloughton House, Shirley & Charlotte Streets	N-7118	Renee Davis; Hubert Edwards; Laura Williams; Philice Albury; Yvette Johnson; Timothy Brown



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Banque Havilland (formerly Pasche Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-2900	362-6186	G.Tiivas@banquehavilland.com	Unit 1 Western Commercial Centre, Mt. Pleasant Village, Western Road	AP-59241	Gert Tiivas; Jemma Miller
Bearbull Degroof International Limited	1. Managing Securities 2. Advising on Securities	362-5959	362-5973	erica.knowles@bearbulldegroof.com	Unit 2 Old Fort Bay Lyford Cay	N-4287	Nicole M. Dupuy; Denise O'Brien
Benchmark Advisors (Bahamas) Ltd.	1. Advising on Securities	326-7333	325-6592	jbrown@allianceinvest.com	Gresham House, Charlotte Street North	N-10144	Julian Brown
Berkeley (Bahamas) Limited	1. Arranging Deals	362-4700	362-5190	contact@bbloffshore.com	9 Pineapple Grove, Old Fort Bay	SP-63893	Warren T. Roberts; Christopher I. Thompson; Frank L. Saunders
Binnacle Advisors Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	502-8822	502-8840	info@groupclaymore.com; diane@groupclaymore.com	2nd Floor, Equity Trust House, Caves Village, West Bay Street	AP-59213	Dianne C. Bowe-Pindling
Binnacle Investment Group Ltd.	1. Managing Securities	502-8822	502-8840	binnacle@groupclaymore.com; diane@groupclaymore.com	2nd Floor, Equity Trust House, Caves Village, West Bay Street	AP-59213	Dianne C. Bowe-Pindling



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Biscayne Capital Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	698-1059	327-1173	carla.taylor@biscaynecapital.com	3rd Floor, Suite # 304 Centre of Commerce, 1 Bay Street	SP-64214	Carla Taylor; Tinessa Diallo; Amaechi Azikiwe;
Black Isle Management Services Ltd.	1. Managing Securities 2. Advising on Securities	394-6870	1-(866) 807-1383	ian@blackislemanagement.com	UBS Annex, East Bay Street	SS-19501	Ian Black; Chamika Gibson; Claudio Muller; Bradley Crawley
Blue Hope Ltd.	1. Managing Securities	327-0689	327-0696	info@hlbgalanis.com; pgalanis@hlbgalanis.com	7 North Buckner Square, Olde Towne, Sandyport	N-3205	Philip Galanis
Blue Line Advisors Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Tamara Bain; Zakyia Butler
Blue Sea Asset Management Ltd.	1. Managing Securities 2. Arranging Securities	502-7010	393-4639	Aferguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Samuel Wilkinson
Bluebay Global Advisors Ltd.	1. Managing Securities 2. Advising on Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Roger Landucci; Tamara Bain
Blueprint Financial Ltd.	1. Managing Securities 2. Advising on Securities	362-4700	362-5190	mhilton@bpfinco.com	9 Pineapple Grove, Old Fort Bay	SP-60765	Melanie Rolle-Hilton; Denise O'Brien
Braun & CIE Ltd.	1. Advising on Securities	325-6591	325-6592	jbrown@allianceinvest.com	Charlotte Street South, Gresham House	N-10144	Julian Brown



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Capital Union Bank	1. Dealing in Securities as Principal or Agent 2. Managing Securities 3. Advising on Securities	362-6880	362-6887	luciana.silvera@capitalunionbank.com; stanya.stuart@capitalunionbank.com; mikeiko.brown@capitalunionbank.com	6 Pineapple Grove, Western Road	AP-59223	Ludavic Chechin-Laurans; Clement Ducasse; Tency Demeritte
Capital Vision Limited	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	info@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Richard Pinder; Samuel Wilkinson;
CBH Bahamas Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-6161	394-6262	dhilton@cbhbank.com	CBH House, East Bay Street	N-1724	Ursula Rolle; Ahmad Strachan; Dorothy Hilton; Charles Newbold; Daniel Ramos Baron
CFAL	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	info@cfal.com;sthurston@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Pamela Musgrove; Samuel Wilkinson
CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-7010	393-4639	info@cfal.com;sthurston@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Shafegah Duncanson; Pamela Quise Musgrove; Tiffany Angelique Smith; Dwayne Swann; Jeanelle Christal Francis; Anna Zervos; Giovannah Evans; Samuel Wilkinson



Securities Industry Act, 2011

Registrants as at 30 September 2017

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CIBC Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-1800	322-3692	m.carmen.butler@wi.cibc.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Maria del Carmen Butler; Sean R. Harrington; Norma Major; Natika Whitfield
Citco Bank & Trust Company (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	502-5300	394-0701	lhpburn@citco.com; vgllover@citco.com	One Montaque Place, East Bay Street	N-4906	Tamar M. Armbrister-Delancey; Lyla A. Hepburn; Rupert Walle
Clairmont Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-6071	362-6079	Compliance@clairmontadvisorsgroup.com; wanda.maycock@clairmonttrust.com	#5 Pineapple Grove, Lyford Cay	SP-64284	John Jephson; Benjamin Hein; Sebastian Duenas; Wanda Maycock;
Clairmont Trust Company Limited	1. Advising on Securities	362-6071	362-6079	fsd@clairmonttrust.com; milesevens@clairmonttrust.com	#5 Pineapple Grove, Lyford Cay	SP-64284	Miles Evans; James Hoar; John Jephson; Jaime Stewart; Wanda Maycock
Colonial Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7526	502-7501	Larry.Gibson@atlantichouse.com.bs	2nd Floor Atlantic House, Collins Avenue	SS-6246	Larry Gibson; Desiree L. Parker; Kriston Moore; Antoinette Blair
Core Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	676-2775	323-8036	hbh@ccpl.capital	#15 Cumberland House, Cumberland Street	SS-6836	Heather Bellot-Hazarian; Rochelle Cleare; Kristy Kemp



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Corner Bank (Overseas) Limited	1. Managing Securities 2. Advising on Securities	394-4977	394-5264	info@corner.bs;michele.clivio@corner.bs	1st Floor 308 East Bay Street	N-7134	Colyn Roberts; Michele Clivio; Eirene Gatis Thronebury; Birgit Dridi; Miriam Larbi; Stefano Tartini; Sebastien Kofler; Jennifer Rahming
Credit Suisse AG, Nassau Branch	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	356-8100	326-6589	vanessa.knowles@credit-suisse.com	4th Floor The Financial Centre, Shirley & Charlotte Streets	N-4928	Michael Ranson; Delric Beneby; Leonardo Brayner; Guilherme Simoes Bianchi; Thomas Karrer; Luiz Barros; Vanessa Knowles; Carlos D'Alessandro; Marcelo Aagesen; Indira Godet; Carlos de Souza Fernandes; Douglas de Souza Pereira; Gilmar Rodrigues de Oliveira; Gustavo Fraga Abrunhosa; Martin Kempf; Miguel Angel Daroca Mesino
Credit Suisse Brazil (Bahamas) Limited	1. Dealing in Securities as Principal & Agent	302-4000	302-4049	marcio.macedo@credit-suisse.com	The Financial Centre, Shirley & Charlotte Streets	N-3241	Marcio Macedo
Dartley Securities Limited	1. Dealing in Securities as Agent	327-8663	327-4709	administrator@dartleybank.com; s.patton@dartley.com.bs	No. 9 Caves Village, West Bay Street	CB-13319	Charles Aboulafia; Sally Mae Patton
de la Fontaine & Associates Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com; tamara.bain@apms-ltd.com	Halsbury Commercial Centre, Suite No. 2	SS-19689	Ralf Huber; Tamara Bain; Pierre Monnard



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Delessert Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	AFerguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Samuel Wilkinson
Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-4100	362-4623	teg@deltecbank.com; Dmunoz@deltecbank.com	Deltec House, Lyford Cay	N-3229	Jean Chalopin; David Munoz; Atul Lele; Christopher Cameron Leonard ; Vivienne Watts; Robert Alton Turnquest; Marcia Vinzanna Adderley; Robert Genovese; Giovanni Riccardo Pirana; Therese Lavette Hudson; James Arthur Moss; Audra Oleta Dean; Richard John Keith Heathcote; Richard John Beek; Maria Ntzouvali Sevilla; Paul Stappard; Jeunesse Osadebay-Bullard
Deltec Investment Advisers Limited	1. Managing Securities 2. Advising on Securities	302-4110	362-5926	dmunoz@deltecinvc.com	Deltec House, Lyford Cay	N-3229	David Munoz; Atul Lele; Vivienne Watts; Tonya Rolle; Marcia Vinzanna Adderley; Roberto Genovese; Christopher Cameron Leonard; Therese Lavette Hudson; Richard John Beek; Maria Ntzouvali Sevilla; Robert Alton Turnquest



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Deltec Securites Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	302-4110	362-5926	dmunoz@deltecbank.com	Deltec House, Lyford Cay	N-3229	David Munoz; Jeunesse Osadebay-Bullard; Richard John Keith Heathcote; Audra Oleta Dean
Eclipse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	676-8188	676-8199	smancilla@equitybahamas.com; jmonreal@equitybahamas.com; ddean@equitybahamas.com	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean; Ivylyn Cassar; Monalisa Hanna; Jordan Monreal; Stephanie Mancilla
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-5400	502-5428	efgbahamas@efgbank.com; ian.comins@efgbank.com	Centre of Commerce; 2nd Floor 1; Bay Street	SS-6289	Ian Comins; Denora Marshall-McPhee; Scott Morrison; Neil Emery; Karen Daly Pinder; Gia Singh; Jorge Carlos Borlandelli Zeballos; Tisca Pratt Armbrister
Elco Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	367-2558	367-2568	elcobank@batelnet.bs	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	John D. Benjamin; Ellison Collie; Lawrence Collie; David Hoang;
Equity Bank and Trust Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	676-8188	676-8199	info@equitybahamas.com	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean; Monalisa Hanna;



Securities Industry Act, 2011

Registrants as at 30 September 2017

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EuroBanco Bank Limited	1. Dealing in Securities as Agent	327-4157	327-4159	ebenitez@eurobancobankltd.com	Suite 12 Caves Professional Center, West Bay Street & Blake Road	N-1114	Natividad Esther Benitez
Euro-Dutch Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent	362-6015	362-5257	ceo@euro-dutch.com	Templeton Building, Lyford Cay	N-9204	Dawn E. Goodwin-Davies; Antonius L. Inder-Rienden; Eric Strachan
Exmer Partners Limited	1. Managing Securities	356-5454	356-9432	drounce@winterbotham.com; kbeneby@winterbotham.com	Marlborough & Queen Streets	N-3026	Jose Malbran; David Rounce; Juan Manuel Sosa; Francisco Verstraeten
Extiber Ltd.	1. Managing Securities 2. Advising on Securities	698-0390	698-0391	Nassau@extiber.com; wjc@extiber.com	Building #7 Caves Village, West Bay Street	SP-62238	Nathan Adderley; Walter Christen
FAM Fund & Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	394-4182	394-4183	contact@apms-ltd.com	Halisbury Commercial Centre, Suite #2, Village Road North	SS - 19689	Zakiya Butler; Tamara Bain
FC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	677-9661	356-5875	leo@fccibahamas.com	Dominion House, 60 Montrose Avenue	SS-19027	Leo Betschart; Beverly Rahming; Tiffany Jones



Securities Industry Act, 2011

Registrants as at 30 September 2017

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FG Capital Markets Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	396-4050	396-1628	info@fgcapitalmarkets.com	Family Guardian Corporate Centre, East Bay & Shirley Streets	SS-6232	Levonne Edgar Moxey; Cardinal McCardy; Stuart Ambrose Kelly; Ashley Reckley; G. Andre White; Sherell Woodside-Conliffe; David Slatter; Kerah Farquharson
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	327-4775	327-4776	info@firstoverseasbank.com; jshedden@firstoverseasbank.com	Office #2, Building #8, Caves Village Professional Centre, West Bay Street	CB-11903	Jorge Horacio Shedden; Carlos Patricio Walton
FirstCaribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	325-7384	323-1087	sherrylyn.bastian@firstcaribbeanbank.com	Caves Village, Building #8, Office #2, West Bay St., Nassau	N-3221	Sean Blyden; Ianthe Moxey; Randy Taylor; Marie Rodland-Allen; Gina MacKenzie
Fitpart Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	356-2340	356-3470	bethell@fitpart.bs	Bahamas Financial Centre, Shirley & Charlotte Streets	CB-13515	Fernando Antonio Prado; Indira Ferguson
FK Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	362-4145	362-4145	efinkler@fkcapitalmanagement.com; contact@caystonesolutions.com	Lyford Financial Centre #2, Lyford Cay	SP-64283	Jorge Braverman; Eduardo Braverman; Wendy Warren
FxPro Global Markets Ltd.	1. Dealing in Securities as Principal & Agent	362-5333	362-5333	support@fxpro.com	Lyford Cay, West Bay Street	N-7776	Casandra Eileen Johnson; Charalambos Psimoliphitis



Securities Industry Act, 2011

Registrants as at 30 September 2017

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Gabarit International Ltd.	1. Managing Securities 2. Advising on Securities	636-1292	636-1292	xdelattre@gabaritmanagement.com	Caprice 5 West Bay Street	AP-59223 Slot # 623	Xavier Delattre; Jean-Pierre Ribes; Endric Deleveaux
Galed Asset Management Ltd.	1. Managing Securities	325-4510	326-2151	galed@leadingservices.net	2nd Terr. West Centreville	N-7755	Olivier Chaponnier; Indira Ferguson
GEM Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	362-6015	362-5257	ceo@euro-dutch.com	Templeton Building, West Bay Street	N-9204	Anthony L-M. Inder-Rieden; Eric Strachan
Gems Management Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	326-1905	326-3839	cedric.carroll@gemsadvisors.com	Centreville House, 2nd Terrace West Centreville	CB-12809	Cedric Carroll; Wendy Warren
GL Capital Ltd.	1. Managing Securities 2. Advising on Securities	397-6500	398-6501	pgalvao@glholdings.com.br	2nd Floor Goodman's Bay Corporate Centre, West Bay Street	SP-61567	Paulo Sergio Filho; Indira Ferguson
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	323-1622	677-6936	ivano@globalnassau.com	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Ivano Alliata; Alexander Vancoff; Edgar Seligman;
Global Private Portfolio Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	327-1097	327-1070	HenioGPPM@coralwave.com	Suite 232, 2nd Floor, Island Lane Building, Olde Towne, Sandypport	N-4487	Henry A. Podlewski; Micheal I. Slack; Elsworth Moss; Nathan Adderley



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
GMP Securities Emerging Markets Corp.	1. Arranging Deals. 2. Advising on Securities	(416) 367-8600	(416) 943-6160	genem@gmpsecurities.com	c/o Lennox Paton Corporate Services Ltd. Bayside Executive Park, Building 3, West Bay Street and Blake Road	N-4875	Gene McBurney; Endric Deleveaux; Denise Carol O'Brien
Goldensheikh Overseas Limited	1. Managing Securities 2. Advising on Securities	327-0689	327-0696	gsoslimited@gmail.com	202 Church Street, Nassau	N-3205	Riccardo Sigon; Latonia Symonette-Tinker
Gonet Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	362-5406	362-5405	phammerer@bankgonet.com	Offices at Old Fort Bay, West Bay St.	SP-61302	Pascal Hammerer; Reniskha Missick; Raquel Jacqueline Duncombe; James French; Kevin Price; Ingrid Taylor
Green Cay Private Client Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6400	362-6402	jib@greencay.com.bs	Lyford Cay Drive	N-7776	Jane Marie Siebels; Joseph Byrne; Wendy Warren
Holdun Family Office (Bahamas) Limited (formerly Holdun Investment Partners Inc.)	1. Managing Securities	356-5454	356-9432	drounce@winterbotham.com; bdunn@holdun.com	Winterbotham Place Marlborough & Queen Streets	N-3026	Brendan Holt Dunn; David Rounce



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Holowesko Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Richard Farrington; Mark Holowesko; Gregory Cleare; Karen Zyp
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko; Gregory Cleare; Karen Zyp; Richard S. Farrington
Huber Management Ltd.	1. Managing Securities 2. Advising on Securities	323-7585	323-7284	bh@huberint.com	1st Floor ,Charlotte House, Shirley & Charlotte Streets	N-529	Bernard Huber; Richard Coulson
Independent Advisory Services Ltd.	1. Managing Securities 2. Advising on Securities	327-6057	327-6058	ndias@inadservices.com	Lagoon Court Suite 215, Olde Town Mall, Sandport	SP-63924	Adolfo F. Miranda; Natalee Dias; Beat Wernli
Investar Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	326-1390	326-1389	kburrows@ivstar.com	601 East Bay Street	SS-19086	Kevin Burows; Hillary Deveaux; Paul Major; Omar Sands
J. Safra Sarasin Asset Management (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	601-6400	601-6401	israel.borba@jsafrasarasin.com	Suite 103-B, Saffrey Square Building, Bay Street & Bank Lane	CB-10988	Daniel Kamkhaji; Lianne Johnson
Jefferson Capital Limited (formerly WMG Management Ltd.)	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesisservices.com	5th Floor 308 East Bay Street	N-9058	Firdavskhon Shakhidi; Jennifer Wells; Khofiz Shakhidi



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Julius Baer Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-9100	394-0212	rochelle.rolle@juliusbaer.com	Ocean Centre, Montague Foreshore	N-4890	Rochelle Rolle; Patrick Feuz; Patrick Guye-Bergeret; Sharon Lafleur-Stuart; Aneka Rolle; Anna Zywiolok-Greene; Latoya Mcphee-Paul; Orazio Defilippis; Valentin Sevilla; Frederico Riege; Gregory Schueller; Khalila Tsavousos-Duncombe; Shelly Ward; Pablo Nahmias
KP Investment Advisors Ltd.	1. Managing Securities 2. Advising on Securities	394-4182	394-4183	contact@apms-ltd.com; tamara.bain@apms-ltd.com	Halsbury Commercial Centre, Village Road	SS-19689	Marcel Ott; Pierre M. Monnard; Tamara Bain
Leighton Global Advisors Ltd.	1. Managing Securities 2. Advising on Securities	356-5454	356-9432	ihoooper@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	N-3026	David Rounce; Miguel Sulichan
Leno Corporate Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	396-3225	396-3249	slongley@lenocorporate.com	2nd Floor Pineapple Place	N-8339	Sean Longley; Khalil Braithwaite; Tricetta Small; Julian Bostwick
Lighthouse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	601-5242	601-5243	rgd@lighthouse-am.com	Offices at Old Fort Bay, Building 4, Lyford Cay	N-7776	Riccardo Romani; Rosella Carmela Grilli-Dack; Walter Christen



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
LOM Financial (Bahamas) Limited (formerly LOM Stockbrokers International Ltd.)	1. Dealing in Securities as Agent 2. Advising on Securities	327-5100	327-5101	craig.lines@lom.com	Building 4 Caves Village , West Bay Street	AB-12762	Craig Lines; Semoy Scully; Cheryl Watts
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2100	302-2101	n.horton@lombardodier.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	Niekia Horton; Rosemary Barrett ; Maurice Chiacchiari; Claudio Godenzi; Renaud Vielfaure; Herve Felli;
LT Green Capital Investment Limited	1. Managing Securities 2. Advising on Securities	5027010	502-7010	trobenson@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Edson Massaharu Ito; Indira Ferguson; Joaquin Cascallar
Lydda Capital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7010	502-7010	info@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Anthony Ferguson; Antoine Bastian; Wendy Warren
Lyford International Bank Ltd (formerly Lyford International Bank & Trust Ltd)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-7000	362-7007	compliance@hottingerbank.bs; portia.smith@lyfordbank.bs	Lyford Manor, West Bay Street	CB-13012	Devaughn Edgecombe; Noelle Scott; Jason Oliver;



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	327-6418	327-6427	rsimeto@macrobankltd.com	Building 8 Caves Village Professional Centre, West Bay Street	N-4444	Rosina Simeto; Stella Giani
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	326-2700	326-2710	bdavidowski@magellansecurities.net	Goodman's Bay Corporate Centre, Suite 261 West Bay Street	CB-13366	Robert Davidowski; Meredith Miller
Magnum Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com; pimo@apms-ltd.com	Halsbury Commercial Centre, Village Road	SS-19689	Marcel Ott; Pierre M. Monnard; Tamara Bain
Metropolitan Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals in Securities	677-1925	394-2142	john.lawrence@metrobankbahamas.com	Suite 700 2nd Floor, New Providence Financial Centre, East Bay St.	CR-56766	John Lawrence; Indrawati Gibson; Ferdinand Fabroa; Mikita Butterfield-Morley; Geneen Riviere
Millennium Investment Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com; tamara.bain@apms-ltd.com	Halsbury Commercial Centre, Village Road	SS-19689	Pierre M. Monnard; Fabrice Carpentier de Caro; Tamara Bain
MIP Bahamas Ltd. (formerly MIP Global Bahamas Ltd.)	1. Advising on Securities	677-6770	677-6770	steve@mipbahamas.com; smackey@mipglobal.com	Unit 8 Professional Centre, Caves Village	CB-10955	Stephen Mackey; Endric Deleveaux
MMG Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	323-1195	323-2619	laverne.thompson@mmgbank.com	Suite 102 Saffrey Square, Bay Street & Bank Lane	CB-13937	Laverne Thompson; Lowrell Edgcombe



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Mont Blanc Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	502-7089	393-4639	info@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Claudio Schreier; Anthony Ferguston; Indira Ferguson
Morgan and Drake Ltd.	1. Managing Securities 2. Advising on Securities	362-5333		trobenson@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Nicholas Wright; Andrea Ferraroni
Nordica Investment Management Limited	1. Advising on Securities	322-5448	325-8445	info@nordicamanagement.com	Suite 205A Saffrey Square, Bay Street & Bank Lane	N-9934	Daniel Hedkrok; Anna Hedkrok
Octogone Fund Management Limited	1. Managing Securities 2. Advising on Securities	676-8120	362-4996	rcm@octogone.com.bs	No. 3 Offices Old Fort Bay	SP-63157	R. Clive Moore; Cindy Thompson
Old Fort Financial	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6900	362-6901	shameka.fernander@oldfortfinancial.com	Offices at Old Fort Bay Building #4, Western Road	SP-63771	Robert P. Jensen; Shameka Fernander; Laterio Culmer
Omni Corporate Services Ltd.	1. Managing Securities 2. Advising on Securities	326-0071	326-0072	fmott@ocsbahamas.com	#22 Lot 39 South Westridge	SP-63143	Felicia Mott-Smith; Lynden Maycock
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	322-1658	322-1658	mbain@sterling-bahamas.com; oleg.n@orcap-group.com	Saffrey Square, Bank Lane and Bay Street	N-9934	Oleg Nikityuk; Michelle Bain



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Pacifico Global Advisors Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	698-0362	698-0367	info@pacifico-ltd.com; tamara.bain@apms-ltd.com	2nd Floor Royal Star House, JFK Drive	SS-19371	Eliano Tamburini; Arturo Klein; Luca Lanciano; Candice McPhee
Pebble Global Advisors Ltd.	1. Managing Securities	394-0128	394-4183	contact@apms-ltd.com; tamara.bain@apms-ltd.com	Halsbury Commercial Centre	SS-19689	Pierre Moncheur de Rieudotte; Tamara Bain
Pictet Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2222	327-6610	jmezulanik@pictet.com	Bayside Executive Park, West Bay Street & Blake Road	N-4837	Gregoire Pictet; Janique Duvalier; Jan Mezulanic; Erich Messmer; David Ramirez Diaz; Anthony Barrow; Theresa Bastian; Jeremy Stuby; Tamica Rolle; Isabelle Fiaux; Pierre Colle; Donna Storr; Miriam Scott; Jose Suarez; Karol Czartoryski; Carlos Alberto De La Torre
PNC Asset Management Ltd.	1. Advising on Securities	322-5448	325-8445	acole@sterling-bahamas.com; mbain@sterling-bahamas.com; info@sterling-bahamas.com	2nd Floor Suite 205A, Saffery Square Building, Bank Lane and Bay Street, Nassau	N-9934	Alan Edward Cole; Elena Emanuela Prandoni; Michelle Bain



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Private Investment Bank Limited	1. Dealing in Securities as Agent	302-5950	302-5974	pibbank@pib.bs; pibl.general-management@pib.bs	Devonshire House, Queen Street	N-3918	Duhiza Swaby- Smith
Providence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	328-7115	328-7129	kenwood.kerr@providenceadvisors.net	3rd Floor, Goodman's Bay Corporate Centre	AP-59223-409	Kenwood N. Kerr; Carrol E. Burrows; Monique N. Cooper; Wendy Warren
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	702-5950	702-5959	mario.smith@rbc.com;sophia.mckenzie@rbc.com;andrew.mccartney@rbc.com	Lyford Manor, Lyford Cay	N-3234	John R. Bond; Andrew R. McCartney; Sophia E. McKenzie; Carol D. Newbold; Michael Unwin; Mario Smith
RIA Company Ltd.	1. Managing Securities	356-5454	356-9432	baroetavena@riacoltd.com; kbeneby@winterbotham.com; wfs@winterbotham.com	Winterbotham Place, Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena
Royal Fidelity Merchant Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-9801	326-3000	michael.anderson@royalfidelity.com	Fidelity Financial Centre, 51 Frederick Street	N-4853	Michael Anderson; Cleora Farquharson; Joseph James Euteneuner; Paulette Lozaique; Tishrea Grey; Karen Major; Spencer Von Dean; Belinda Payne; Candice Forbes; Quentin Gibson; Janeen Bethel



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
RQSI Limited	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com; swatson@genesishfundservices.com	5th Floor, 308 East Bay Street	N-9058	Christopher Wesley Satterfield; Neil Patrick Ramsey; Samantha Watson-Crooks
Scotiabank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-1995	356-1668	maureen.wallace@bns.scotiabank.com	Rawson Square, Bay Street, Nassau, Bahamas	N-7518	Deno Moss; Marcia Laramore; Lisa Taylor; Penny Ferguson; Sean Albert; Tamara Van Breugel; Maureen Wallace
Scotiabank Caribbean Treasury Limited	1. Managing Securities 2. Advising on Securities	302-2953	328-7432	donna.gardiner@scotiabank.com; christine.archer@scotiabank.com	Suite 300, British Colonial Hilton Centre of Commerce, 1 Bay Street	N-7518	Wayne Howard; Christine Archer
Seacapital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	327-6953	356-0615	cesar@seacapitalinvestments.com	Compass Point Suite #2	CB-13027	Cesar Dominguez; Reece Chipman; Francina Horton
Seton Securities International Ltd	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6390	362-6391	jay@setonsecuritiesinternational.com	Lyford Cay House 1st Floor, Lyford Cay	CB-13041	Jay Gotlieb; Endric Deleveaux



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Sinclair Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	327-2668	327-2668	mtaylor@caystonesolutions.com; iferguson@caystonesolutions.com	2nd Floor, Goodman's Bay Corporate Centre	SP-61567	Joel Johnson; Indira Ferguson; Jean-Marc Wagner
SIS Solutions Ltd. (formerly Swiss Investment Solutions (SIS) Ltd.)	1. Managing Securities	601-5345	601-5340	keva.mcphee@sis-solutions-ltd.com	Devonshire House, Queen Street	SP-64111	Keva Mcphee; Shacara Wells
St. Matthew Capital Ltd.	1. Managing Securities 2. Advising on Securities	502-7020	393-4639	aferguson@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Antoine Bastian; Anthony Ferguson; Samantha Watson-Crooks
Sterling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	677-1900	677-1910	info@sterlingbahamas.com	New Providence Financial Centre, East Bay Street	N-1812	David Kosoy; Tangela Russell; Stephen Tiller; Sunita Maharaj-Bonamy
Stone Tower Management Ltd.	1. Managing Securities	326-2150	326-2151	stonetower@leadingservices.net	2nd Terrace West, Centreville	N-7755	Olivier Chaponnier; Wendy Warren
Swan Capital Ltd.	1. Managing Securities	603-2000	603-2001	jm@swanap.net	Old Fort Bay Town Centre Unit 7, Building 2	SS-6333	Maxime Rambaud; Gine Major
Swiss America Securities, Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	603-8600	323-7803	info@suretrader.com	Suite # 17, Elizabeth on Bay Plaza, Elizabeth Ave. & Bay Street	N-8340	Guy Gentile; Tiffany Donaldson; Drameko Moore



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Swiss Atlantic S.A.	1. Managing Securities 2. Advising on Securities	356-6430	322-6694	sasa@leadingservices.net	2nd Terrace West, Centreville	N-10567	Alisa Richardson; Olivier Chaponnier; Wendy Warren; Peter Wirth
Syz Bank (Bahamas) Ltd	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-1400	327-6629	jillian.ferguson@syzbank.com	Building No. 2 Old Fort Bay, Windsor Field Road	N-1089	Daniel Brunner; Venetia Ruth Dekle-Maura; Jacqueline Townend; Frank Negri; Keitra Pratt; Jillian Ferguson; Werner Gruner
Templeton Global Advisors Limited	1. Managing Securities 2. Advising on Securities	362-4600	362-5281	tsands@templeton.com; mdagrosa@franklintempleton.ca	Templeton House, Lyford Cay	N-7759	James Harper; Cynthia Sweeting; Edgerton Scott III; Norman Boersma; Peter Palleija; Heather Arnold; Herbert Arnett Jr; Christopher Peel
The Bank of Nova Scotia Trust Company (Bah) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-5700	326-0991	derek.pattison@scotiabank.com;glennette.reckley@scotiawealth.com	Scotia House, 404 East Bay Street	N-3016	Shamini Symonette-Gibson; Gregory Walker; Glenette Reckley; Derek Pattison; Jillian Dames; Mario Causarano
The Private Trust Corporation Limited	1. Dealing in Securities as Agent 2. Arranging Deals	397-8000	326-8388	acj@privatetrustco.com	Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones; Bruno Roberts; Charlene Y. Storr; Monique Bowe



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
The Street Trader Limited	1. Dealing in Securities as Agent	324-6963		hubleymark@hotmail.com	Lagoon Court, Sandyport	EE-17971	Edward Cooper; Christopher Lunn; Cherrisse Forbes
The Winterbotham Trust Company Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-5454	356-9432	nassau@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	N-3026	Ivan G. Hooper; David Rounce; Jessica Thornley-Mitchell
TigerWit Financial Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	376-3226	376-3226	compliance@tigerwit.com	201 Church Street	SS-19086	Andrew Rolle
Touchstone Advisory Ltd. (formerly deVere Bahamas Inc.)	1. Advising on Securities	465-6936	328-8008	dpickstock@gso.com	Unit 1-5 Church Street, Sandy Port Marina Village	N-492	Benjamin Alderson; Adrian Flambard; Endric Deleveaux
TradeZero Inc.	1. Dealing in Securities as Agent	(954)944-3885 (242)677-0380	(954)944-3885	tz@tradezero.com	402-Building #2 Ocean Place West Bay Street, Cable Beach Nassau, Bahamas	EE-17971	Antonio Libreros; L.Sydney Saunders; Kay Briggs
UCAP Bahamas Limited	1. Managing Securities 2. Advising on Securities	362-4589	362-6887	krystal@ucapbh.com	Lyford Financial Centre #2, Lyford Cay	AP-59223	Clemente Ducasse; Wendy Warren



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
Union Bancaire Privee, UBP SA	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-4207	362-4338	kip@ubp.ch; edy@ubp.ch	5th Floor Lyford Cay House, West Bay Street	N-7529	Brenda Powell; Davawn Turner; Van-dyke Saunders; Paul Russell; Peter Butler; Kyosen Mcphee-Young; Ricardo Aizenman
Vauban Asset Management Limited	1. Managing Securities 2. Advising on Securities	327-1097	327-1084	hfp@vam.business; Vauban@coralwave.com; JamesVAM@coralwave.com; KishnaVAM@coralwave.com	Suite 232 2nd Floor, The Island Lane Building, West Bay Street	SP-61708	James Burrows; Nathan Adderley; Hubert Francois-Poncet
Weiser Asset Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	698-6600	698-6601	info@weiser.com.bs; terah.rahming@weiser.com.bs	Offices at Old Fort Bay Building #9, Pineapple Place Lyford Cay	AP-59223 Slot 501	William Lowry; Terah Rahming; Marianne Tremblay
Wells Capital Management Incorporated	1. Managing Securities 2. Advising on Securities	362-3082	362-6950	kerri.singer@wellsfargo.com; christine.donahue@wellsfargo.com	Lyford Manor, Western Road	N-7776	Dale Winner; Christine Donahue
Wincrest Capital Ltd.	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	603-2015	603-2019	bb@wincrest.capital; ca@wincrest.capital	#7 Old Fort Bay, Lyford Cay	N-7776	Wendy Warren; Barbara Ann Bernard; Connie Albury
Windermere Corporate Management Limited	1. Managing Securities	677-1920	394-8326	john@windermerecm.com	Suite 1000, New Providence Financial Centre, East Bay Street	CR-56766	John Lawrence; Millicent Clarke



Securities Industry Act, 2011

Registrants as at 30 September 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
WMCG Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Charles de la Baume; Tamara Bain
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	322-2060	322-2114	mitsuo@xlpartnersltd.com; lmaycock@aosbahamas.com	21 Rosetta Street	SS-5212	Garcia Whyms; Dave Smith; Mitsuo Alves; Lynden Maycock