



**Securities Industry Act, 2011**  
**Registrants as at 31 March 2017**

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
A.S.P.I Bahamas Ltd. (formerly R.I.A.F. Ltd)	1. Managing Securities 2. Advising on Securities	601-5334	601-5339	methompson@riafbahamas.com	Ground Floor Ocean Centre, East Bay Street	SP-61913	Magnal Thompson
Abaco Global Advisors Ltd.	1. Managing Securities	328-2131	356-2155	info@abaco-ltd.com	3B One Sandyport Plaza West Bay Street	SS-64168	Michele Knowles-Doura; Olivier Notz
Adi Dassler International Family Office Limited	1. Managing Securities	356-5454	356-9432	jwright@winterbotham.com	Winterbotham Place; Marlborough & Queen Streets	SP-62556	Julian Bradham; David Rounce; Anthony Kevin Burrows
Advantage Financial International Ltd.	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com	5th Floor 308 East Bay Street	N-9058	Francesco Confuorti; Samantha Watson-Crooks
Akelius Invest Ltd.	1. Managing Securities	367-2030	367-5788	demetris.syllouris@akeliusinvest.com	700 Don Mackay Boulevard Abaco	AB-20415	Demetrius Syllouris; Rhonda Hull
Alliance Investment Management Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities	326-7333	326-7336	info@allianceinvest.com	Carmichael Commercial Centre; 2nd Floor Suite 201; Carmichael Road	SS-19051	Julian Brown; Avril Elcock-Major; Nolan Johnson
Alternative Asset Advisors S.A.	1. Managing Securities 2. Advising on Securities	702-1423	702-1424	jillian.ferguson@syzgroup.com	Building # 2; Old Fort Bay; Windsor Field Road	N-1089	Venetia Dekle-Maura; Jillian Ferguson; Keitra Pratt; Cristiano Pasqualino
Alternative Investment Solutions Ltd.	1. Managing Securities 2. Advising on Securities	362-6071	362-6079	info@ais.solutions	5 Pineapple Grove; Lyford Cay	SP-64284	Samir Lakkis
Amber Bank & Trust Ltd. (formerly Arner Bank & Trust (Bahamas) Ltd.)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities	327-6594	327-6584	david.thain@arnerbank.ch	Suite 2 Building 2; Caves Village	N-3917	David G. Thain; Lisa Patterson; Jennifer Wells

Analytical Research Advisors, LLC	1. Advising on Securities	322-5448	325-8445	info@sterling-bahamas.com	205A Saffrey Square; Bank Lane and Bay Street	N-9934	Jean-Stephane Marie Lods; Michelle Bain
Andbank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-7090	394-7089	info.bahamas@andbank.com	One Montague Place; East Bay Street	AP-59223	Manuel Martinez Campos; Daniel Brunner; Wendell Gardiner; Werner Gruner; George Maillis; Jamaal Wright
Ansbacher (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-1161	326-5020	info@ansbacher.bs	4th Floor Colina Imperial Building; #308 East Bay Street	N-7768	Catherine L. Fadely; Ricardo Rolle; Mary Rodland; Carlton Newton Mortier; Ginarenae Chuno; Lashelle White; Katherine Rolle; Aruna Rodgers; Shelby Brice
Arbitral Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6750	362-6760	andre@arbitral.com	Bldg No. 4, Old Fort Bay; Lyford Cay	SP-64093	Andre Mendes Senra Souza; Fernando Mauricio Da Rocha Pereira Leite; Rodrigo Paiva; Wendy Warren; Andre Pereira Landi
Autana Capital Management Limited	1. Managing Securities 2. Advising on Securities	327-3947	327-3958	jme@autanacapital.com	Caves Village; West Bay Street	CB-12762	Jean-Marc Emden
Axia Partners	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Georges Marcou; Tamara Bain
AXMIN CAPITAL LTD. (formerly RIG Investment Advisors Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-5454	362-7210	aroberts@rigadvisors.com	Winterbotham Place; Marlborough & Queen Sts.	N-3026	Roberto A. Roberts; Robert Ingrey
Axxets Management Inc.	1. Managing Securities 2. Advising on Securities	502-7010	394-3253	aferguson@axxets.com	308 East Bay Street	CB-12407	Anthony Ferguson; Yair Esrubilsky; Samuel Wilkinson
Bahamas Central Securities Depository	Clearing Facility	322-5573/5	356-3613	NBurrows@bahamascsd.com	British Colonial Hotel Hilton #202	N-9307	Nerika Burrows
Bahamas International Securities Exchange (BISX)	Exchange	323-2330	323-2320	info@bisxbahamas.com; hgrant@bisxbahamas.com; kdavies@bisxbahamas.com	2nd Floor of the Fort Nassau Centre; British Colonial Hilton	EE-15672	Keith Davies
Bahamas Securities (1987) Limited	1. Advising on Securities	325-6591	325-6592	info@bdomannjudd.com	Charlotte Street South	N-10144	George C. Culmer; Myles J. Culmer

Banca del Sempione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-8015	356-2030	beat.meier@sempione-overseas.com	3rd Floor George House; George Street	N-8159	Beat Viktor Meier; Neil DeCordova Baker; Edrick Cleare; Stanley Coakley; Simone Bertoldo; Michele Piantoni; Francesca Trizzino
Banco Santander Bahamas International Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7900	322-3585	alfonsocoloma@bstnas.com	3rd Floor Goodman's Bay Corporate Centre ; West Bay Street	N-1682	Alfonso Coloma Bello; Roberto Morales
Bank J.Safra Sarasin (Bahamas) Ltd. (formerly Safra International Bank & Trust Ltd.)	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	601-6403	601-6401	israel.borba@jsafrasarasin.com	Saffrey Square, Suite 103-B; Bay Street & Bank Lane	CB-10988	Adrian Zadeh; Yantoni Zeitoune; Joao Franco; Daniel Saralegui
Bank of the Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	397-3000	323-8811	philice.albury@bankbahamas.com	Claughton House; Shirley & Charlotte Streets	N-7118	Renee Davis; Hubert Edwards; Laura Williams; Philice Albury
Banque Havilland (formerly Pasche Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-2900	362-6186	G.Schanen@banquehavilland.com	Unit 1 Western Commercial Centre; Mt. Pleasant Village; Western Road	AP-59241	Gilles Arnold Schanen; Patrelle Miller
Bearbull Degroof International Limited	1. Managing Securities 2. Advising on Securities	362-5959	362-5973	erica.knowles@bearbulldegroof.com	Unit 2 Old Fort Bay Lyford Cay	N-4287	Nicole M. Dupuy; Denise O'Brien
Benchmark Advisors (Bahamas) Ltd.	1. Advising on Securities	326-7333	325-6592	jbrown@allianceinvest.com	Gresham House; Charlotte Street North	N-10144	Julian Brown
Berkeley (Bahamas) Limited	1. Arranging Deals	362-4700	362-5290	contact@bbloffshore.com	9 Pineapple Grove; Old Fort Bay	SP-63893	Warren T. Roberts, Christopher I. Thompson; Frank L. Saunders
Binnacle Advisors Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	502-8822	502-8840	info@groupclaymore.com	2nd Floor; Equity Trust House; Caves Village; West Bay Street	AP-59213	Dianne C. Bowe-Pindling
Binnacle Investment Group Ltd.	1. Managing Securities	502-8822	502-8840	binnacle@groupclaymore.com	2nd Floor; Equity Trust House; Caves Village; West Bay Street	AP-59213	Dianne C. Bowe-Pindling

Biscayne Capital Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	698-1059	327-1173	carla.taylor@biscaynecapital.com	3rd Floor; Suite # 304 Centre of Commerce; 1 Bay Street	SP-64214	Carla Taylor; Tinessa Diallo; Amaechi Azikiwe; Aldai Kerr
Black Isle Management Services Ltd	1. Managing Securities 2. Advising on Securities	394-6870	1-(866) 807-1383	ian@blackislemanagement.com	UBS Annex; East Bay Street	SS-19501	Ian Black; Chamika Gibson; Claudio Muller; Bradley Crawley
Blue Hope Ltd.	1. Managing Securities	327-0689	327-0696	info@hbgalanis.com	7 North Buckner Square; Olde Towne, Sandypport	N-3205	Philip Galanis
Blue Line Advisors Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	David Puller; Tamara Bain
Blue Sea Asset Management Ltd.	1. Managing Securities 2. Arranging Securities	502-7010	393-4639	Aferguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Samuel Wilkinson
Bluebay Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Roger Landucci; Tamara Bain
Blueprint Financial Ltd.	1. Managing Securities 2. Arranging Securities	362-4700	362-5190	mhilton@bpfinc.com	9 Pineapple Grove; Old Fort Bay	SP-60765	Melanie Rolle-Hilton; Denise O'Brien
Braun & CIE Ltd.	1. Managing Securities 2. Advising on Securities	325-6591	325-6592	jbrown@allianceinvest.com	Ansbacher House; East Street	N-10144	Julian Brown
BSI Overseas (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-2200	502-2300	sanjin.mohorovic@bsibank.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	N-7130	Alain Torchon-Newry; Matteo Raimondo; Fabrizio Alberta Tuletta; Vasilija Victoria Mavros; Alec Rolle; Tonya Sweeting; Andrea Fronzoni; Luca Tamburini; Sanjin Mohorovic;
Capital Union Bank	1. Dealing in Securities as Principal or Agent 2. Managing Securities 3. Advising on Securities	362-6880	362-6887	luciana.silvera@capitalunionbank.com	6 Pineapple Grove; Western Road	AP-59223	Ludavic Chechin-Laurans; Clement Ducasse; Tency Demeritte
CBH (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-6161	394-6262	dhilton@cbhbank.com	CBH House; East Bay Street	N-1724	Ursula Rolle; Ahmad Strachan; Lucethy Smith; Dorothy Hilton; Charles Newbold; Earvin Rahming

CFAL	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	info@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Pamela Musgrove; Samuel Wilkinson
CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-7010	393-4639	info@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Shafegah Duncanson; Pamela Quise Musgrove; Tiffany Angelique Smith; Dwayne Swann; Jeanelle Christal Francis; Anna Zervos; Giovannah Evans; Samuel Wilkinson
CIBC Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-1800	322-3692	m.carmen.butler@wi.cibc.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	N-3933	Maria del Carmen Butler; Sean R. Harrington; Norma Major; Natika Whitfield
Citco Bank & Trust Company (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	502-5300	394-0701	lhpburn@citco.com	One Montaque Place; East Bay Street	N-4906	Natasha M. Dean; Tamar M. Armbrister-Delancey; Lyla A. Hepburn; Rupert Walle
Clairmont Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-6071	362-6079	miles.evans@clairmonttrust.com	#5 Pineapple Grove; Lyford Cay	SP-64284	Miles Evans; James Hoar; John Jephson; Jaime Stewart; Wanda Maycock
Clairmont Trust Company Limited	1. Advising on Securities	362-6071	362-6079	miles.evans@clairmonttrust.com	#5 Pineapple Grove; Lyford Cay	SP-64284	Miles Evans; James Hoar; John Jephson; Jaime Stewart; Wanda Maycock
Colonial Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7526	502-7501	Larry.Gibson@atlantichouse.com.bs	2nd Floor Atlantic House; Collins Avenue	SS-6246	Larry Gibson; Desiree L. Parker
Core Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	676-2775/6	676-2775/6	hbh@ccpl.capital	#15 Cumberland House; Cumberland Street	SS-6836	Heather Bellot-Hazarian; Rochelle Cleare; Kristy Kemp
Corner Bank (Overseas) Limited	1. Managing Securities 2. Advising on Securities	394-4977	394-5264	info@corner.bs	1st Floor 308 East Bay Street	N-7134	Colyn Roberts; Michele Clivio; Eirene Gatis Thronebury; Marco Villi; Birgit Dridi; Miriam Larbi; Stefano Tartini

Credit Suisse AG, Nassau Branch	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	356-8100	326-6589	vanessa.knowles@credit-suisse.com	4th Floor The Financial Centre; Shirley & Charlotte Streets	N-4928	Michael Ranson; Delric Beneby; Alexandre Sampedro; Marcio Claudino Macedo; Leonardo Brayner; Guilherme Simoes Bianchi; Juan Zatarain; Thomas Karrer; Luiz Barros; Darren Campbell; Vanessa Knowles; Phillippe Szymanowski; Rodrigo Paiva; Carlos D'Alessandro; Marcelo Aagesen; Indira Godet; Carlos de Souza Fernandes; Douglas de Souza Pereira;
Credit Suisse Brazil (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent	302-4000	302-4049	marcio.macedo@credit-suisse.com	The Financial Centre; Shirley & Charlotte Streets	N-3241	Marcio Macedo
Dartley Securities Limited	1. Dealing in Securities as Agent	327-8663	327-4709	administrator@dartleybank.com	No. 9 Caves Village; West Bay Street	CB-13319	Sean Munnings; Charles Aboulafia; Sally Mae Patton
de la Fontaine & Associates Ltd.	1. Managing Securities	394-0128	394-4183	tamara.bain@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Ralf Huber; Tamara Bain
Delessert Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	AFerguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Samuel Wilkinson
Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-4100	362-4623	teg@deltecbank.com	Deltec House; Lyford Cay	N-3229	Terrance Girling; James Moss; Lianne Johnson; Richard Beek; Atul Lele; David Munoz; Robert Turnquest; Vivienne Watts; Roberto Genovese; Marcia Adderley; Giovanni Pirana; Richard Heathcote; Christopher Leonard; Maria Sevilla; Jeunesse Osadebay-Bullard

Deltec Investment Advisers Limited	1. Managing Securities 2. Advising on Securities	302-4110	362-4623	dmunoz@deltecinv.com	Deltec House; Lyford Cay	N-3229	Lianne Johnson; David McNaughton; David Munoz; Atul Lele; Vivienne Watts; Christopher Leonard; Marcia Adderley; Richard Beek; Maria Sevilla; Robert Turnquest; Roberto Genovese
Deltec Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	302-4100	362-5926	dmunoz@deltecinv.com	Deltec House; Lyford Cay	N-3229	David Munoz; Lianne Johnson; Richard Heathcote
DeVere Bahamas Inc.	1. Advising on Securities	465-6936	328-8008	dpickstock@gso.com	Unit 1-5 Church Street; Sandy Port Marina Village	N-492	Benjamin Alderson; Adrian Flambard; Mary Kate Rice; Kumar Patel; Zachary Evans; Stuart Link
Eclipse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	676-8188	676-8199	kthompson@equitybahamas.com	Equity Trust House; Caves Village; West Bay Street	N-10697	Dillon Dean; Ivlylyn Cassar; Monalisa Hanna
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-5400	502-5428	efgbahamas@efgbank.com	2nd Floor Centre of Commerce; 1 Bay Street	SS-6289	Karen Pinder; Robert Morrison; Theresa Haven-Adderley; Jorge Zeballos; Gia Singh; Neil Emery; Tisca Armbrister; Jaime Ensenat; Denora Marshall-McPhee
Elco Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	367-2558	367-2568	elcobank@batelnet.bs	Loyalist Plaza; Don Mackey Boulevard; Marsh Harbour, Abaco	AB-20377	John D. Benjamin; Ellison Collie; Lawrence Collie; David Hoang; Linda Caroline Leanardon
Enterprise (Bahamas) Ltd.	1. Managing Securities	326-2150	326-2151	enterprise@leadingservices.net	2nd Terrace West Centreville	N-10567	Olivier Chaponnier; Wendy Warren
Equity Bank and Trust Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	676-8188	676-8199	info@equitybahamas.com	Equity Trust House; Caves Village; West Bay Street	N-10697	Dillon Dean; Monalisa Hanna; Alain Kunz

Eurobanco Bank Limited	1. Dealing in Securities as Agent	327-4157	327-4159	ebenitez@eurobancobankltd.com	Suite 12 Caves Professional Center; West Bay Street & Blake Road	N-1114	Natividad Esther Benitez
Euro-Dutch Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent	362-6015	362-5257	ceo@euro-dutch.com	Templeton Building; Lyford Cay	N-9204	Dawn E. Goodwin-Davies; Antonius L. Inder-Rienden; Eric Strachan
Exmer Partners Limited	1. Managing Securities	356-5454	356-9432	drounce@winterbotham.com	Marlborough & Queen Streets	N-3026	Jose Malbran; David Rounce; Juan Manuel Sosa; Francisco Verstraeten
Extiber Ltd.	1. Managing Securities 2. Advising on Securities	698-0390	698-0391	hbh@extiber.com	Building #7 Caves Village; West Bay Street	SP-62238	Heather Bellot-Hazarian; Simone Zambelli; Rochelle Cleare; Nathan Adderley
FAM Fund & Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	3944182	394-4183	contact@apms-ltd.com	Halisbury Commercial Centre; Suite #2; Village Road North	SS - 19689	Zakiya Butler; Christian Couquoz; Pierre Monnard; Peter Fletcher
FC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	677-9661	356-5875	leo@fccibahamas.com	Dominion House; Montrose Avenue ☐	SS-19027	Leo Betschart; Beverly Rahming; Tiffany Jones
FG Capital Markets Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	396-4027	396-1628	info@fgcapitalmarkets.com	Family Guardian Corporate Centre; East Bay & Shirley Streets	SS-6232	Levonne Edgar Moxey; Lyrone Burrows; Cardinal McCarty; Stuart Ambrose Kelly; Ashley Reckley; G. Andre White; Sherell Woodside-Conliffe; David Slatter; Kerah Farquharson
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	327-4775	327-4776	info@firstoverseasbank.com	Office #1, Building #2; Caves Village Professional Centre; 2nd Floor	CB-11903	Jorge Horacio Shedden; Carlos Patricio Walton
FirstCaribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	325-7384	323-1087	sherrylyn.bastian@firstcaribbeanbank.com	FirstCaribbean International Bank Financial Centre; Shirley Street	N-3221	Sean Blyden; Ianthe Moxey; Randy Taylor; Marie Rodland-Allen; Gina MacKenzie
Fitpart Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	356-2340	356-3470	bethell@fitpart.bs	Financial Centre; Shirley & Charlotte Streets	CB-13515	Fernando Antonio Prado; Indira Ferguson
FK Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	328-7000	328-7001	efinkler@fkcapitalmanagement.com	8 Pineapple Grove; Old Fort Bay	SP-64283	Jorge Braverman; Eduardo Braverman; Wendy Warren



Gabarit International Ltd.	1. Managing Securities 2. Advising on Securities	636-1292	636-1292	xdelattre@gabaritmanagement.com	Caprice 5 West Bay Street	AP-59223 Slot # 623	Xavier Delattre; Jean-Pierre Ribes; Endric Deleveaux
Galed Asset Management Ltd.	1. Managing Securities	326-2150	326-2151	galed@leadingservices.net	2nd Terr. West Centreville	N-7755	Olivier Chaponnier; Indira Ferguson
GEM Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	362-6015	362-5257	ceo@euro-dutch.com	Templeton Building; West Bay Street	N-9204	Anthony L-M. Inder-Rieden; Eric Strachan
Gems Management Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	326-1905	326-3839	cedric.carroll@gemsadvisors.com	Centreville House; 2nd Terrace West Centreville	CB-12809	Cedric Carroll; Wendy Warren
GL Capital Ltd.	1. Managing Securities 2. Advising on Securities	397-6500	398-6501	pgalvao@glholdings.com.br	2nd Floor Goodman's Bay Corporate Centre; West Bay Street	SP-61567	Paulo Sergio Filho
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	323-1622	677-6936	ivano@globalnassau.com	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Ivano Alliata; Alexander Vancoff; Edgar Seligman;
Global Private Portfolio Management Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	327-1097	327-1070	HenioGPPM@coralwave.com	Suite 232, 2nd Floor; Island Lane Building; Olde Towne, Sandyport	N-4487	Henry A. Podlewski; Micheal I. Slack; Elsworth Moss; Nathan Adderley
GMP Securities Emerging Markets Corp	1. Arranging Deals 2. Advision on Securities	502-5000	327-6123	genem@gmpsecurities.com	c/o Lennox Paton Corporate Services Ltd. Bayside Executive Park, Building 3 West Bay Street and Blake Road	N-4875	Gene McBurney; Endric Deleveaux
Goldensheikh Overseas Limited	1. Managing Securities 2. Advising on Securities	327-0689	327-0696	gsoslimited@gmail.com	202 Church Street; Nassau	N-3205	Riccardo Sigon; Latonia Symonette-Tinker
Gonet Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	362-5406	362-5405	phammerer@bankgonet.com	Offices at Old Fort Bay; West Bay St.	SP-61302	Pascal Hammerer; Reniskha Missick; Raquel Jacqueline Duncombe; James French; Kevin Price; Ingrid Taylor

Green Cay Private Client Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6400	362-6402	jb@greencay.com.bs	Lyford Cay Drive	N-7776	Jane Marie Siebels; Joseph Byrne; Wendy Warren
Holdun Family Office (Bahamas) Limited (formerley Holdun Investments Partners Inc.)	1. Managing Securities	356-5454	356-9432	drounce@winterbotham.com	Winterbotham Place Marlborough & Queen Streets	N-3026	Brendan Holt Dunn; David Rounce
Holowesko Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shipston House; West Bay Street; Lyford Cay	N-7776(78)	Richard Farrington; Mark Holowesko; Gregory Cleare; Karen Zyp
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shipston House; West Bay Street; Lyford Cay	N-7776(78)	Mark Holowesko; Gregory Cleare; Karen Zyp; Richard S. Farrington
Huber Management Limited	1. Managing Securities 2. Advising on Securities	323-7585	323-7284	bh@huberint.com	1st Floor ;Charlotte House; Shirley & Charlotte Streets	N-529	Bernard Huber; Richard Coulson
Independent Advisory Services Limited	1. Managing Securities 2. Advising on Securities	327-6057	327-6058	ndias@inadservices.com	Lagoon Court Suite 215; Olde Town Mall; Sandyport	SP-63924	Adolfo F. Miranda; Natalee Dias; Beat Wernli
J. Safra Sarasin Asset Management Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	601-6400	601-6401	israel.borba@jsafrasarasin.com	Suite 103-B; Saffrey Square Building; Bay Street & Bank Lane	CB-10988	Daniel Kamkhaji
Jefferson Capital Limited (formerly WMG Management Ltd.)	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com	5th Floor 308 East Bay Street	N-9058	Ali Bilgrami; Samantha Watson-Crooks
Julius Baer Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-9100	394-9140	rochelle.rolle@juliusbaer.com	Ocean Centre; Montague Foreshore	N-4890	Rochelle Rolle; Patrick Feuz; Patrick Guye-Bergeret; Sharon Lafleur-Stuart; Aneka Rolle; Anna Zywiolk-Greene; Latoya Mcphee-Paul; Orazio Defilippis; Valentin Sevilla; Frederico Riege; Gregory Schueller; Khalila Tsavousos-Duncombe; Shelly Ward; Pablo Nahmias

K.P. Investment Advisors Ltd.	1. Managing Securities 2. Advising on Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Marcel Ott; Pierre M. Monnard; Tamara Bain
Leighton Global Advisors Ltd.	1. Managing Securities 2. Advising on Securities	356-5454	356-9432	ihoooper@winterbotham.com	Winterbotham Place; Marlborough & Queen Streets	N-3026	David Rounce; Miguel Sulichan
Leno Corporate Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	396-3225	396-3249	slongley@lenocorporate.com	2nd Floor Pineapple Place	N-7535	Sean Longley; Khalil Braithwaite; Tricetta Small; Julian Bostwick
Lighthouse Asset Mangement Ltd.	1. Managing Securities 2. Advising on Securities	601-5242	601-5243	rgd@lighthouse-am.com	Offices at Old Fort Bay; Building 4; Lyford Cay	N-7776	Riccardo Romani; Rosella Carmela Grilli-Dack; Walter Christen
LOM Financial (Bahamas) Limited (formerly LOM Securities (Bahamas) Limited)	1. Dealing in Securities as Agent 2. Advising on Securities	327-5100	327-5101	craig.lines@lom.com	Building 4 Caves Village ; West Bay Street	AB-12762	Craig Lines; Semoy Scully; Cheryl Watts
Lombard Odier & Cie (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2100	302-2101	niekia.horton@lodh.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	N-4938	Niekie Horton; Rosemary Barrett ; Maurice Chiacchiari; Claudio Godenzi; Renaud Vielfaure; Herve Felli;
LT Green Capital Investment Ltd.	1. Managing Securities 2. Advising on Securities	5027010	502-7010	trobenson@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Edson Massaharu Ito; Indira Ferguson; Joaquin Cascallar
Lydda Capital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7010	502-7010	info@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Anthony Ferguson; Antoine Bastian; Wendy Warren
Lyford International Bank & Trust Limited (formerly Hottinger Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-7000	362-7007	compliance@hottingerbank.bs	Lyford Manor; West Bay Street	CB-13012	Frederic Hottinger; Devaughn Edgecombe; Noelle Scott; Jason Oliver
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	327-6418	327-6427	rsimeto@macrobankltd.com	Building 8 Caves Village Professional Centre; West Bay Street	N-4444	Rosina Simeto; Stella Giani
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	326-2700	326-2710	bdavidowski@magellansecurities.net	Goodman's Bay Corporate Centre 2nd Floor	CB-13366	Robert Davidowski; Alicia Roberts; Meredith Miller

Magnum Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Marcel Ott; Tamara Bain
Metropolitan Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals in Securities	677-1925	394-2142	john.lawrence@metrobankbahamas.com	Suite 700 2nd Floor; New Providence Financial Centre; East Bay St.	CR-56766	John Lawrence; Indrawati Gibson; Ferdinand Fabroa; Mikita Butterfield-Morley; Geneen Riviere
Millennium Investment Advisors Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Pierre M. Monnard; Fabrice Carpentier de Caro; Tamara Bain
MIP Bahamas Ltd formally known as MIP Global Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	677-6770	677-6770	steve@mipglobal.com	Unit 8 Professional Centre; Caves Village	CB-10955	Stephen Mackey; Endric Deleveaux
MMG Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	326-2400	322-5567	laverne.thompson@mmgbank.com	Suite 102 Saffrey Square; Bay Street & Bank Lane	N-4889	Laverne Thompson; Pachridee Hanna-Ferguson
Mont Blanc Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	info@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Claudio Schreier; Anthony Ferguston; Indira Ferguson
Morgan and Drake Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	trobenson@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Nicholas Wright; Andrea Ferraroni; Indira Ferguson
Nordica Investment Management Limited	1. Managing Securities 2. Advising on Securities	323-2600	325-8445	info@nordicamanagement.com	Suite 205A Saffrey Square; Bay Street & Bank Lane	N-10222	Daniel Hedkrok; Anna Hedkrok
Octogone Fund Management Limited	1. Managing Securities 2. Advising on Securities	676-8120	3624996	rcom@octogone.com.bs	No. 3 Offices Old Fort Bay	SP-63157	R. Clive Moore; Cindy Thompson
Old Fort Financial	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6900	362-6901	shameka.fernander@oldfortfinancial.com	Offices at Old Fort Bay Building #4; Western Road	SP-63771	Robert P. Jensen; Shameka Fernander; Laterio Culmer; Luis Reverter Gallego
Omni Corporate Services Ltd.	1. Managing Securities 2. Advising on Securities	326-0071	326-0072	fmott@ocsbahamas.com	#22 Lot 39 South Westridge	SP-63143	Felicia Mott-Smith; Lynden Maycock
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	322-5448	325-5448	info@sterling-bahamas.com	Saffrey Square; Bank Lane and Bay Street	N-9934	Oleg Nikityuk; Michelle Bain

Pacifico Global Advisors Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	698-0362	698-0367	info@pacifico-ltd.com	2nd Floor Royal Star House; JFK Drive	SS-19371	Eliano Tamburini; Arturo Klein; Luca Lanciano; Candice McPhee
Pebble Global Advisors Ltd.	1. Managing Securities	394-0128	394-4183	tamara.bain@apms-ltd.com	Halsbury Commercial Centre	SS-19689	Pierre Moncheur de Rieudotte; Tamara Bain
Pictet Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2222	327-6610	jmezulanik@pictet.com	Bayside Executive Park; West Bay Street & Blake Road	N-4837	Yves V. Lourdin; Erich W. Messmer; Jan F-C. Mezulanik; Jeremy Stuby; Allyson Moss; Tamica Rolle; Leo Huber; Pierre Colle; Juan Villamil; Tyrone Fowler; Sandra Walker; Miriam Scott; Donna Storr; Michael Reckley; Jose Suarez; Karol Czartoryski; Janique Duvalier
PNC Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	322-4579	325-8445	info@sterling-bahamas.com	2nd Floor Suite 205A; Saffery Square Building; Bank Lane and Bay Street; Nassau	N-9934	Alan Edward Cole; Elena Emanuela Prandoni; Michelle Bain
Private Investment Bank Limited	1. Dealing in Securities as Agent	302-5950	302-5970	pibbank@pib.bs	Devonshire House; Queen Street	N-3918	Roger Duerig; Jacqueline Bain; Vanessa Coleby; Glenice Thompson; Valerio Zanchi; Duhiza Smith
Providence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	328-7115	328-7129	kenwood.kerr@providenceadvisors.net	3rd Floor; Goodman's Bay Corporate Centre	AP-59223-409	Kenwood N. Kerr; Carrol E. Burrows; Monique N. Cooper; Bradley S. Cunningham; Olive C. Gaitor; Florabelle Rodgers; Claudette Loretta Estwick; Wendy Warren
R.R. Management Limited (formerly F.I. Management Ltd.)	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	n.silvestri@irv.edu	5th Floor; 308 East Bay Street	N-9058	Nicola Silvestri; Shonalee Munroe
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	702-5950	702-5959	sophia.mckenzie@rbc.com	Lyford Manor; Lyford Cay	N-3234	John R. Bond; Andrew R. McCartney; Sophia E. McKenzie; Carol D. Newbold; Michael Unwin; Mario Smith

RIA Company Ltd.	1. Managing Securities	356-5454	356-9432	baroetavena@riacoltd.com	Winterbotham Place; Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena
Royal Fidelity Merchant Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-9801	326-3000	michael.anderson@fidelitybahamas.co m	Fidelity Financial Centre; 51 Frederick Street	N-4853	Michael Anderson; Cleora Farquharson; Joseph James Euteneuner; Paulette Lozaique; Tishrea Grey; Karen Major; Spencer Von Dean; Belinda Payne; Candice Forbes; Stefano Pral
RQSI Limited	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com	5th Floor; 308 East Bay Street	N-9058	Christopher Wesley Satterfield; Neil Patrick Ramsey; Samantha Watson- Crooks
Scotiabank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-1995	356-1668	maureen.wallace@bns.scotiabank.com	Rawson Square; Bay Street; Nassau, Bahamas	N-7518	Deno Moss; Marcia Laramore; Lisa Taylor; Penny Ferguson; Sean Albert; Tamara Van Breugel; Maureen Wallace
Scotiabank Caribbean Treasury Limited	1. Managing Securities 2. Advising on Securities	302-2953	328-7432	donna.gardiner@scotiabank.com	Suite 300; British Colonial Hilton Centre of Commerce; 1 Bay Street	N-7518	Wayne Howard; Christine Archer
Seton Securities International Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6390	362-6391	jay@setonsecuritiesinternational.com	Lyford Cay House 1st Floor; Lyford Cay	CB-13041	Jay Gotlieb; Craig Spivey; Endric Deleveaux;
Sinclair Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	362-6500	397-6501	mtaylor@caystonesolutions.com	2nd Floor; Goodman's Bay Corporate Centre	SP-61567	Joel Johnson; Indira Ferguson; Jean Wagner
SIS Solutions Ltd. (formerly Swiss Investment Solutions (SIS) Ltd.)	1. Managing Securities	601-5345	601-5340	keva.mcphee@sis-solutions-ltd.com	Devonshire House; Queen Street	SP-64111	Keva Mcphee; Shacara Wells
St. Matthew Capital Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	aferguson@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Antoine Bastian; Anthony Ferguson
Sterling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	677-1900	677-1910	info@sterlingbahamas.com	New Providence Financial Centre; East Bay Street	N-1812	David Kosoy; Endric Deleveaux; Tangela Russell; Stephen Tiller

Stone Tower Management Ltd.	1. Managing Securities	326-2150	326-2151	stonetower@leadingservices.net	2nd Terrace West; Centreville	N-7755	Olivier Chaponnier; Wendy Warren
Swan Capital Ltd.	1. Managing Securities	603-2000	603-2001	jm@swancap.net	Old Fort Bay Town Centre Unit 7, Building 2	SS-6333	Maxime Rambaud; Gine Major
Swiss America Securities, Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	328-7800	324-6963	info@suretrader.com	Suite # 17; Elizabeth on Bay Plaza; Elizabeth Ave. & Bay Street	N-8340	Guy Gentile; Phillip Dorsette; Tiffany Donaldson; Drameko Moore
Swiss Atlantic S.A.	1. Managing Securities 2. Advising on Securities	356-6430	322-6694	sasa@leadingservices.net	2nd Terrace West; Centreville	N-10567	Alisa Richardson; Olivier Chaponnier; Wendy Warren; Peter Wirth
Syz Bank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-1400	327-6629	jillian.ferguson@syzbank.com	Building No. 2 Old Fort Bay; Windsor Field Road	N-1089	Daniel Brunner; Venetia Ruth Dekle-Maura; Jacqueline Townend; Frank Negri; Keitra Pratt; Jillian Ferguson; Werner Gruner
Templeton Global Advisors Limited	1. Managing Securities 2. Advising on Securities	302-3691	362-5281	tonia.sands@franklintempleton.com	Templeton House; Lyford Cay	N-7759	James Harper; Cynthia Sweeting; Edgerton Scott III; Norman Boersma; Michael D'Agrosa; Peter Palleija; Heather Arnold; Herbert Arnett Jr; Christopher Peel
The Bank of Nova Scotia Trust Company (Bah) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-5700	326-0991	derek.pattison@scotiabank.com	Scotia House; 404 East Bay Street	N-3016	Shamini Symonette-Gibson; Gregory Walker; Glenette Reckley; Derek Pattison; Jillian Dames
The Private Trust Corporation Limited	1. Dealing in Securities as Agent 2. Arranging Deals	397-8000	326-8388	acj@privatetrustco.com	Charlotte House; Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones; Bruno Roberts; Charlene Y. Storr; Monique Bowe
The Street Trader Limited	1. Dealing in Securities as Agent	324-6963		hubleymark@hotmail.com	Lagoon Court; Sandyport	EE-17971	Edward Cooper; Christopher Lunn; Cherrisse Forbes
The Winterbotham Trust Company Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-5454	356-9432	nassau@winterbotham.com	Winterbotham Place; Marlborough & Queen Streets	N-3026	Ivan G. Hooper; David Rounce; Jessica Thornley-Mitchell

TradeZero Inc.	1. Dealing in Securities as Agent	324-6963		tz@tradezero.co	Suite 105 Sulgrave Manor; West Bay Street	EE-17971	Antonio Libreros; L.Sydney Saunders
UCAP Bahamas Limited	1. Managing Securities 2. Advising on Securities	362-4589	362-6887	krystal@ucapbh.com	6 Pineapple Grove; Old Fort Bay	AP-59223	Clemente Ducasse; Wendy Warren
Union Bancaire Privee (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-4207	362-4338	edy@ubp.ch	5th Floor Lyford Cay House; West Bay Street	N-7529	Vandyke Saunders; Peter Butler; Brenda Powell; Davawn Turner; Paul Russell; Kyosen Mcphee-Young
Union Bancaire Privee, UBP SA	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-4207	362-4338	edy@ubp.ch	5th Floor Lyford Cay House; West Bay Street	N-7529	Brenda Powell; Davawn Turner; Van-dyke Saunders; Paul Russell; Peter Butler; Kyosen Mcphee-Young
Vauban Asset Management Limited	1. Managing Securities 2. Advising on Securities	327-1097	327-1084	vauban@coralwave.com	Suite 232 2nd Floor; The Island Lane Building; West Bay Street	SP-61708	James Burrows; Nathan Adderley; Hubert Francois-Poncet
Weiser Asset Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	698-6622	698-6601	info@weiser.com.bs	Offices at Old Fort Bay Building #9; Pineapple Place Lyford Cay	AP-59223 Slot 501	William Lowry; Elias Soursos; Terah Rahming
Wells Capital Management Inc.	1. Managing Securities 2. Advising on Securities	362-3082	362-6950	kerri-singer@wellsfargo.com	Lyford Manor; Western Road	N-7776	Dale Winner; Christine Donahue
Wincrest Capital Ltd.	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	603-2015	603-2019	bb@wincrest.capital	#7 Old Fort Bay; Lyford Cay	N-7776	Wendy Warren; Barbara Ann Bernard; Rebecca Fernie
Windermere Corporate Management Limited	1. Managing Securities	677-1920	394-8326	john@windermerecm.com	Suite 1000; New Providence Financial Centre; East Bay Street	CR-56766	John Lawrence; Millicent Clarke
WMCG Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Charles de la Baume; Tamara Bain
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	322-2060	322-2114	jpgomez@globalcmltd.com	21 Rosetta Street	SS-5212	Jerome Gomez; Garcia Whymys; Dave Smith; Mitsuo Alves