



Securities Industry Act, 2011
Registrants as at 31 May 2017

Name	Categories	Phone	Fax	Email	Address	P. O. Box	Registered Personnel
A.S.P.I Bahamas Ltd. (formerly R.I.A.F. Ltd)	1. Managing Securities 2. Advising on Securities	601-5334	601-5339	methompson@aspibahamas.com	Ground Floor Ocean Centre, East Bay Street	SP-61913	Magnal Thompson
Abaco Global Advisors Ltd.	1. Managing Securities	328-2131	356-2155	info@abaco-ltd.com	3B One Sandypport Plaza West Bay Street	SS-64168	Michele Knowles-Doura; Olivier Notz
Adi Dassler International Family Office Limited	1. Managing Securities	356-5454	356-9432	jwright@winterbotham.com	Winterbotham Place; Marlborough & Queen Streets	SP-62556	Julian Bradham; David Rounce; Anthony Kevin Burrows
Advantage Financial International Ltd.	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com	5th Floor 308 East Bay Street	N-9058	Francesco Confuorti; Samantha Watson-Crooks
Akelius Invest Ltd.	1. Managing Securities	367-2030	367-5788	demetris.syllouris@akeliusinvest.com	700 Don Mackay Boulevard Abaco	AB-20415	Demetrius Syllouris; Rhonda Hull
Alliance Investment Management Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Advising on Securities	326-7333	326-7336	info@allianceinvest.com	Carmichael Commercial Centre; 2nd Floor Suite 201; Carmichael Road	SS-19051	Julian Brown; Avril Elcock-Major; Nolan Johnson
Alternative Asset Advisors S.A.	1. Managing Securities 2. Advising on Securities	702-1423	702-1424	jillian.ferguson@syzgroup.com	Building # 2; Old Fort Bay; Windsor Field Road	N-1089	Venetia Dekle-Maura; Jillian Ferguson; Keitra Pratt; Cristiano Pasqualino
Alternative Investment Solutions Ltd.	1. Advising on Securities	362-6071	362-6079	info@ais.solutions	5 Pineapple Grove; Lyford Cay	SP-64284	Samir Lakkis, Wanda Maycock
Amber Bank & Trust Ltd. (formerly Arner Bank & Trust (Bahamas) Ltd.)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	327-6594	327-6584	david.thain@arnerbank.ch	Suite 2 Building 2; Caves Village	N-3917	David G. Thain; Lisa Patterson; Jennifer Wells
Analytical Research Advisors, LLC	1. Advising on Securities	322-5448	325-8445	info@sterling-bahamas.com	205A Saffrey Square; Bank Lane and Bay Street	N-9934	Jean-Stephane Marie Lods; Michelle Bain
Andbank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-7090	394-7089	info.bahamas@andbank.com	One Montague Place; East Bay Street	AP-59223	Manuel Martinez Campos; Daniel Brunner; Wendell Gardiner; Werner Gruner; George Maillis; Jamaal Wright

Ansbacher (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-1161	326-5020	info@ansbacher.bs	4th Floor Colina Imperial Building; #308 East Bay Street	N-7768	Catherine L. Fadely; Ricardo Rolle; Mary Rodland; Carlton Newton Mortier; Ginarenae Chuno; Lashelle White; Katherine Rolle; Aruna Rodgers; Shelby Brice
Arbitral Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6750	362-6760	andre@arbitral.com	Bldg No. 4, Old Fort Bay; Lyford Cay	SP-64093	Andre Mendes Senra Souza; Fernando Mauricio Da Rocha Pereira Leite; Rodrigo Paiva; Wendy Warren; Andre Pereira Landi
Autana Capital Management Limited	1. Managing Securities 2. Advising on Securities	327-3947	327-3958	jme@autanacapital.com	Caves Village; West Bay Street	CB-12762	Jean-Marc Emden
Axia Partners	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre, Suite No. 2	SS-19689	Marcel Ott; Tamara Bain
AXMIN CAPITAL LTD. (formerly RIG Investment Advisors Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-5454	356-9432	aroberts@rigadvisors.com	Winterbotham Place; Marlborough & Queen Sts.	N-3026	Roberto A. Roberts; Robert Ingrey
Axxets Management Inc.	1. Managing Securities 2. Advising on Securities	502-7007	393-4639	aferguson@axxets.com	308 East Bay Street	CB-12407	Anthony Ferguson; Yair Esrubilsky; Samuel Wilkinson
Bahamas Central Securities Depository	Clearing Facility	322-5573/5	356-3613	NBurrows@bahamascsd.com; info@bahamascsd.com	British Colonial Hotel Hilton #202	N-9307	Nerika Burrows
Bahamas International Securities Exchange (BISX)	Exchange	323-2330	323-2320	info@bisxbahamas.com; hgrant@bisxbahamas.com; kdavies@bisxbahamas.com	2nd Floor of the Fort Nassau Centre; British Colonial Hilton	EE-15672	Keith Davies
Bahamas Securities (1987) Limited	1. Advising on Securities	325-6591	325-6592	info@bdomannjudd.com	Charlotte Street South	N-10144	George C. Culmer; Myles J. Culmer
Banca del Sempione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	322-8015	356-2030	beat.meier@sempione-overseas.com	3rd Floor George House; George Street	N-8159	Beat Viktor Meier; Neil DeCordova Baker; Edrick Cleare; Stanley Coakley; Simone Bertoldo; Michele Piantoni; Francesca Trizzino
Banco Santander Bahamas International Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7900	322-3585	alfonsocoloma@bstnas.com	3rd Floor Goodman's Bay Corporate Centre; West Bay Street	N-1682	Alfonso Coloma Bello; Roberto Morales
Bank J.Safra Sarasin (Bahamas) Ltd. (formerly Safra International Bank & Trust Ltd.)	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	601-6403	601-6401	bahamas@jsafrasarasin.com	Saffrey Square, Suite 103-B; Bay Street & Bank Lane	CB-10988	Adrian Zadeh; Yantoni Zeitoune; Joao Franco; Daniel Saralegui; Lianne Johnson

Bank of the Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	397-3000	323-8811	philice.albury@bankbahamas.com	Claughton House; Shirley & Charlotte Streets	N-7118	Renee Davis; Hubert Edwards; Laura Williams; Philice Albury; Yvette Johnson
Banque Havilland (formerly Pasche Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-2900	362-6186	G.Tiivas@banquehavilland.com; P.Miller@banquehavilland.com	Unit 1 Western Commercial Centre; Mt. Pleasant Village; Western Road	AP-59241	Gert Tiivas; Patrelle Miller
Bearbull Degroof International Limited	1. Managing Securities 2. Advising on Securities	362-5959	362-5973	erica.knowles@bearbulldegroof.com	Unit 2 Old Fort Bay Lyford Cay	N-4287	Nicole M. Dupuy; Denise O'Brien
Benchmark Advisors (Bahamas) Ltd.	1. Advising on Securities	326-7333	325-6592	jbrown@allianceinvest.com	Gresham House; Charlotte Street North	N-10144	Julian Brown
Berkeley (Bahamas) Limited	1. Arranging Deals	362-4700	362-5190	contact@bbloffshore.com	9 Pineapple Grove; Old Fort Bay	SP-63893	Warren T. Roberts, Christopher I. Thompson; Frank L. Saunders
Binnacle Advisors Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	502-8822	502-8840	info@groupclaymore.com	2nd Floor; Equity Trust House; Caves Village; West Bay Street	AP-59213	Dianne C. Bowe-Pindling
Binnacle Investment Group Ltd.	1. Managing Securities	502-8822	502-8840	binnacle@groupclaymore.com	2nd Floor; Equity Trust House; Caves Village; West Bay Street	AP-59213	Dianne C. Bowe-Pindling
Biscayne Capital Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	698-1059	327-1173	carla.taylor@biscaynecapital.com	3rd Floor; Suite # 304 Centre of Commerce; 1 Bay Street	SP-64214	Carla Taylor; Tinessa Diallo; Amaechi Azikiwe; Aldai Kerr
Black Isle Management Services Ltd	1. Managing Securities 2. Advising on Securities	394-6870	1-(866) 807-1383	ian@blackislemanagement.com	UBS Annex; East Bay Street	SS-19501	Ian Black; Chamika Gibson; Claudio Muller; Bradley Crawley
Blue Hope Ltd.	1. Managing Securities	327-0689	327-0696	info@hlbgalanis.com	7 North Buckner Square; Olde Towne, Sandyport	N-3205	Philip Galanis
Blue Line Advisors Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	David Puller; Tamara Bain
Blue Sea Asset Management Ltd.	1. Managing Securities 2. Arranging Securities	502-7010	393-4639	Aferguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Samuel Wilkinson
Bluebay Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Roger Landucci; Tamara Bain
Blueprint Financial Ltd.	1. Managing Securities 2. Arranging Securities	362-4700	362-5190	mhilton@bpfinco.com	9 Pineapple Grove; Old Fort Bay	SP-60765	Melanie Rolle-Hilton; Denise O'Brien

Braun & CIE Ltd.	1. Advising on Securities	325-6591	325-6592	jbrown@allianceinvest.com	Charlotte Street South; Gresham House	N-10144	Julian Brown
BSI Overseas (Bahamas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-2200	502-2300	sanjin.mohorovic@bsibank.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	N-7130	Alain Torchon-Newry; Matteo Raimondo; Fabrizio Alberta Tuletta; Vasilina Victoria Mavros; Tonya Sweeting; Andrea Fronzoni; Sanjin Mohorovic;
Capital Union Bank	1. Dealing in Securities as Principal or Agent 2. Managing Securities 3. Advising on Securities	362-6880	362-6887	luciana.silvera@capitalunionbank.com	6 Pineapple Grove; Western Road	AP-59223	Ludavic Chechin-Laurans; Clement Ducasse; Tency Demeritte
CBH Bahamas Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-6161	394-6262	dhilton@cbhbank.com	CBH House; East Bay Street	N-1724	Ursula Rolle; Ahmad Strachan; Lucethy Smith; Dorothy Hilton; Charles Newbold; Earvin Rahming
CFAL	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	info@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Pamela Musgrove; Samuel Wilkinson
CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-7010	393-4639	info@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Shafegah Duncanson; Pamela Quise Musgrove; Tiffany Angelique Smith; Dwayne Swann; Jeanelle Christal Francis; Anna Zervos; Giovannah Evans; Samuel Wilkinson
CIBC Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-1800	322-3692	m.carmen.butler@wi.cibc.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	N-3933	Maria del Carmen Butler; Sean R. Harrington; Norma Major; Natika Whitfield
Citco Bank & Trust Company (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	502-5300	394-0701	ihepburn@citco.com	One Montaque Place; East Bay Street	N-4906	Natasha M. Dean; Tamar M. Armbrister-Delancey; Lyla A. Hepburn; Rupert Walle
Clairmont Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-6071	362-6079	Compliance@clairmontadvisorsgroup.com	#5 Pineapple Grove; Lyford Cay	SP-64284	John Jephson; Benjamin Hein; Sebastian Duenas; Wanda Mavcock;
Clairmont Trust Company Limited	1. Advising on Securities	362-6071	362-6079	fsd@clairmonttrust.com	#5 Pineapple Grove; Lyford Cay	SP-64284	Miles Evans; James Hoar; John Jephson; Jaime Stewart; Wanda Mavcock

Colonial Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7526	502-7501	Larry.Gibson@atlantichouse.com.bs	2nd Floor Atlantic House; Collins Avenue	SS-6246	Larry Gibson; Desiree L. Parker; Kriston Moore; Antoinette Blair
Core Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	676-2775	323-8036	hbh@ccpl.capital	#15 Cumberland House; Cumberland Street	SS-6836	Heather Bellot-Hazarian; Rochelle Cleare; Kristy Kemp
Corner Bank (Overseas) Limited	1. Managing Securities 2. Advising on Securities	394-4977	394-5264	info@corner.bs	1st Floor 308 East Bay Street	N-7134	Colyn Roberts; Michele Clivio; Eirene Gatis Thronebury; Birgit Dridi; Miriam Larbi; Stefano Tartini; Sebastien Kofler; Jennifer Rahming
Credit Suisse AG, Nassau Branch	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	356-8100	326-6589	vanessa.knowles@credit-suisse.com	4th Floor The Financial Centre; Shirley & Charlotte Streets	N-4928	Michael Ranson; Delric Beneby; Alexandre Sampedro; Leonardo Brayner; Guilherme Simoes Bianchi; Thomas Karrer; Luiz Barros; Darren Campbell; Vanessa Knowles; Phillippe Szymanowski; Rodrigo Paiva; Carlos D'Alessandro; Marcelo Agesen; Indira Godet; Carlos de Souza Fernandes; Douglas de Souza Pereira; Gilmar Rodrigues de Oliveira, Gustavo Fraga Abrunhosa, Martin Kemp, Miguel Angel Daroca Mesino
Credit Suisse Brazil (Bahamas) Limited	1. Dealing in Securities as Principal & Agent	302-4000	302-4049	marcio.macedo@credit-suisse.com	The Financial Centre; Shirley & Charlotte Streets	N-3241	Marcio Macedo
Dartley Securities Limited	1. Dealing in Securities as Agent	327-8663	327-4709	administrator@dartleybank.com	No. 9 Caves Village; West Bay Street	CB-13319	Charles Aboulaflia; Sally Mae Patton
de la Fontaine & Associates Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre, Suite No. 2	SS-19689	Ralf Huber; Tamara Bain; Pierre Monnard
Delessert Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	A Ferguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson; Samuel Wilkinson

Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-4100	362-4623	teg@deltecbank.com	Deltec House; Lyford Cay	N-3229	David Munoz, Atul Lele, Christopher Cameron Leonard, Vivienne Watts, Robert Alton Turnquest, Marcia Vinzanna Adderley, Robert Genovese, Giovanni Riccardo Pirana, Therese Lavette Hudson, James Arthur Moss, Audra Oleta Dean, Richard John Keith Heathcote, Richard John Beek, Maria Ntzouvali Sevilla, Paul Stappard, David McNaughtan, Jean Chalopin, Jeunesse Osadebay-Bullard
Deltec Investment Advisers Limited	1. Managing Securities 2. Advising on Securities	302-4110	362-5926	dmunoz@deltecin.com	Deltec House; Lyford Cay	N-3229	David Munoz, Atul Lele, Vivienne Watts, Tonya Rolle, Marcia Vinzanna Adderley, Roberto Genovese, Christopher Cameron Leonard, Therese Lavette Hudson, Richard John Beek, Maria Ntzouvali Sevilla
Deltec Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.	302-4110	362-5926	dmunoz@deltecin.com	Deltec House; Lyford Cay	N-3229	David Munoz, Jeunesse Osadebay-Bullard, Richard John Keith Heathcote, Audra Oleta Dean
deVere Bahamas Inc.	1. Advising on Securities	465-6936	328-8008	dpickstock@gso.com	Unit 1-5 Church Street; Sandy Port Marina Village	N-492	Benjamin Alderson; Adrian Flambard; Mary Kate Rice; Kumar Patel; Zachary Evans; Stuart Link
Eclipse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	676-8188	676-8199	smancilla@equitybahamas.com; jmonreal@equitybahamas.com; ddean@equitybahamas.com	Equity Trust House; Caves Village; West Bay Street	N-10697	Dillon Dean; Ivylyn Cassar; Monalisa Hanna; Jordan Monreal; Stephanie Mancilla
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-5400	502-5428	efgbahamas@efgbank.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	CB-10956	Ian Comins, Denora Marshall-McPhee, Theresa Haven-Adderley, Scott Morrison, Neil Emery, Karen Daly Pinder, Gia Singh, Jorge Carlos Borlandelli Zeballos, Tisca Pratt Armbrister
Elco Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	367-2558	367-2568	elcobank@batelnet.bs	Loyalist Plaza; Don Mackey Boulevard; Marsh Harbour, Abaco	AB-20377	John D. Benjamin; Ellison Collie; Lawrence Collie; David Hoang;
Enterprise (Bahamas) Ltd.	1. Managing Securities	326-2150	326-2151	enterprise@leadingservices.net	2nd Terrace West Centreville	N-10567	Olivier Chaponnier; Wendy Warren

Equity Bank and Trust Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	676-8188	676-8199	info@equitybahamas.com	Equity Trust House; Caves Village; West Bay Street	N-10697	Dillon Dean; Monalisa Hanna; Alain Kunz
Eurobanco Bank Limited	1. Dealing in Securities as Agent	327-4157	327-4159	ebenitez@eurobancobankltd.com	Suite 12 Caves Professional Center; West Bay Street & Blake Road	N-1114	Natividad Esther Benitez
Euro-Dutch Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent	362-6015	362-5257	ceo@euro-dutch.com	Templeton Building; Lyford Cay	N-9204	Dawn E. Goodwin-Davies; Antonius L. Inder-Rienden; Eric Strachan
Exmer Partners Limited	1. Managing Securities	356-5454	356-9432	drounce@winterbotham.com	Marlborough & Queen Streets	N-3026	Jose Malbran; David Rounce; Juan Manuel Sosa; Francisco Verstraeten
Extiber Ltd.	1. Managing Securities 2. Advising on Securities	698-0390	698-0391	hbh@extiber.com; Nassau@extiber.com	Building #7 Caves Village; West Bay Street	SP-62238	Heather Bellot-Hazarian; Nathan Adderley
FAM Fund & Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	394-4182	394-4183	contact@apms-ltd.com	Halisbury Commercial Centre; Suite #2; Village Road North	SS - 19689	Zakiya Butler; Tamara Bain
FC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	677-9661	356-5875	leo@fccibahamas.com	Dominion House; 60 Montrose Avenue	SS-19027	Leo Betschart; Beverly Rahming; Tiffany Jones
FG Capital Markets Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	396-4050	396-1628	info@fgcapitalmarkets.com	Family Guardian Corporate Centre; East Bay & Shirley Streets	SS-6232	Levonne Edgar Moxey; Lyrone Burrows; Cardinal McCardy; Stuart Ambrose Kelly; Ashley Reckley; G. Andre White; Sherell Woodside-Conliffe; David Slatter; Kerah Farquharson
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	327-4775	327-4776	info@firstoverseasbank.com	Office #1, Building #2; Caves Village Professional Centre; West Bay Street	CB-11903	Jorge Horacio Shedden; Carlos Patricio Walton
FirstCaribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	325-7384	323-1087	sherrylyn.bastian@firstcaribbeanbank.com	2nd Floor FirstCaribbean International Bank Financial Centre; Shirley Street	N-3221	Sean Blyden; Ianthe Moxey; Randy Taylor; Marie Rodland-Allen; Gina MacKenzie
Fitpart Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	356-2340	356-3470	bethell@fitpart.bs	Bahamas Financial Centre; Shirley & Charlotte Streets	CB-13515	Fernando Antonio Prado; Indira Ferguson

FK Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	362-4145	362-4145	efinkler@fkcapitalmanagement.com	Lyford Financial Centre #2; Lyford Cay	SP-64283	Jorge Braverman; Eduardo Braverman; Wendy Warren
Gabarit International Ltd.	1. Managing Securities 2. Advising on Securities	636-1292	636-1292	xdelattre@gabaritmanagement.com	Caprice 5 West Bay Street	AP-59223 Slot # 623	Xavier Delattre; Jean-Pierre Ribes; Endric Deleveaux
Galed Asset Management Ltd.	1. Managing Securities	325-4510	326-2151	galed@leadingservices.net	2nd Terr. West Centreville	N-7755	Olivier Chaponnier; Indira Ferguson
GEM Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	362-6015	362-5257	ceo@euro-dutch.com	Templeton Building; West Bay Street	N-9204	Anthony L-M. Inder-Rieden; Eric Strachan
Gems Management Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	326-1905	326-3839	cedric.carroll@gemsadvisors.com	Centreville House; 2nd Terrace West Centreville	CB-12809	Cedric Carroll; Wendy Warren
GL Capital Ltd.	1. Managing Securities 2. Advising on Securities	397-6500	398-6501	pgalvao@glholdings.com.br	2nd Floor Goodman's Bay Corporate Centre; West Bay Street	SP-61567	Paulo Sergio Filho; Indira Ferguson
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	323-1622	677-6936	ivano@globalnassau.com	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Ivano Alliata; Alexander Vancoff; Edgar Seligman;
Global Private Portfolio Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	327-1097	327-1070	HenioGPPM@coralwave.com	Suite 232, 2nd Floor; Island Lane Building; Olde Towne, Sandypport	N-4487	Henry A. Podlewski; Micheal I. Slack; Elsworth Moss; Nathan Adderley
GMP Securities Emerging Markets Corp	1. Arranging Deals. 2. Advising on Securities	(416) 367-8600	(416) 943-6160	genem@gmpsecurities.com	c/o Lennox Paton Corporate Services Ltd. Bayside Executive Park, Building 3 West Bay Street and Blake Road	N-4875	Gene McBurney; Endric Deleveaux
Goldensheikh Overseas Limited	1. Managing Securities 2. Advising on Securities	327-0689	327-0696	gsoslimited@gmail.com	202 Church Street; Nassau	N-3205	Riccardo Sigon; Latoria Symonette-Tinker
Gonet Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	362-5406	362-5405	phammerer@bankgonet.com	Offices at Old Fort Bay; West Bay St.	SP-61302	Pascal Hammerer; Reniskha Missick; Raquel Jacqueline Duncombe; James French; Kevin Price; Ingrid Taylor
Green Cay Private Client Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6400	362-6402	jb@greencay.com.bs	Lyford Cay Drive	N-7776	Jane Marie Siebels; Joseph Byrne; Wendy Warren

Holdun Family Office (Bahamas) Limited (formerley Holdun Investments Partners Inc.)	1. Managing Securities	356-5454	356-9432	drounce@winterbotham.com	Winterbotham Place Marlborough & Queen Streets	N-3026	Brendan Holt Dunn; David Rounce
Holowesko Advisors Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shipston House; West Bay Street; Lyford Cay	N-7776(78)	Richard Farrington; Mark Holowesko; Gregory Cleare; Karen Zyp
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	362-7800	362-6733	compliance@holoweskopartners.com	Shipston House; West Bay Street; Lyford Cay	N-7776(78)	Mark Holowesko; Gregory Cleare; Karen Zyp; Richard S. Farrington
Huber Management Ltd.	1. Managing Securities 2. Advising on Securities	323-7585	323-7284	bh@huberint.com	1st Floor ;Charlotte House; Shirley & Charlotte Streets	N-529	Bernard Huber; Richard Coulson
Independent Advisory Services Ltd.	1. Managing Securities 2. Advising on Securities	327-6057	327-6058	ndias@inadservices.com	Lagoon Court Suite 215; Olde Town Mall; Sandypport	SP-63924	Adolfo F. Miranda; Natalee Dias; Beat Wernli
Investar Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	326-1390	326-1389	kburrows@ivstar.com	601 East Bay Street	SS-19086	Kevin Burows; Hillary Deveaux; Paul Major; Omar Sands
J. Safra Sarasin Asset Management Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	601-6400	601-6401	israel.borba@jsafrasarasin.com	Suite 103-B; Saffrey Square Building; Bay Street & Bank Lane	CB-10988	Daniel Kamkhaji; Lianne Johnson
Jefferson Capital Limited (formerly WMG Management Ltd.)	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesishfundservices.com	5th Floor 308 East Bay Street	N-9058	Firdavskhon Shakhidi; Jennifer Wells; Khofiz Shakhidi
Julius Baer Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	394-9100	394-0212	rochelle.rolle@juliusbaer.com	Ocean Centre; Montague Foreshore	N-4890	Rochelle Rolle; Patrick Feuz; Patrick Guye-Bergeret; Sharon Lafleur-Stuart; Aneka Rolle; Anna Zywiolk-Greene; Latoya Mcphee-Paul; Orazio Defilippis; Valentin Sevilla; Frederico Riege; Gregory Schueller; Khalila Tsavousos-Duncombe; Shelly Ward; Pablo Nahmias
KP Investment Advisors Ltd.	1. Managing Securities 2. Advising on Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Marcel Ott; Pierre M. Monnard; Tamara Bain

Leighton Global Advisors Ltd.	1. Managing Securities 2. Advising on Securities	356-5454	356-9432	ihoooper@winterbotham.com	Winterbotham Place; Marlborough & Queen Streets	N-3026	David Rounce; Miguel Sulichan
Leno Corporate Services Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	396-3225	396-3249	slongley@lenocorporate.com	2nd Floor Pineapple Place	N-8339	Sean Longley; Khalil Braithwaite; Tricetta Small; Julian Bostwick
Lighthouse Asset Mangement Ltd.	1. Managing Securities 2. Advising on Securities	601-5242	601-5243	rgd@lighthouse-am.com	Offices at Old Fort Bay; Building 4; Lyford Cay	N-7776	Riccardo Romani; Rosella Carmela Grilli-Dack; Walter Christen
LOM Financial (Bahamas) Limited (formerly LOM Securities (Bahamas) Limited)	1. Dealing in Securities as Agent 2. Advising on Securities	327-5100	327-5101	craig.lines@lom.com	Building 4 Caves Village ; West Bay Street	AB-12762	Craig Lines; Semoy Scully; Cheryl Watts
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2100	302-2101	niekia.horton@lodh.com	Goodman's Bay Corporate Centre; West Bay Street & Seaview Drive	N-4938	Niekia Horton; Rosemary Barrett ; Maurice Chiacchiar; Claudio Godenzi; Renaud Vielfaure; Herve Felli;
LT Green Capital Investment Ltd.	1. Managing Securities 2. Advising on Securities	5027010	502-7010	trobenson@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Edson Massaharu Ito; Indira Ferguson; Joaquin Cascallar
Lydda Capital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	502-7010	502-7010	info@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Anthony Ferguson; Antoine Bastian; Wendy Warren
Lyford International Bank Ltd formerly (Lyford International Bank & Trust Ltd)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-7000	362-7007	compliance@hottingerbank.bs	Lyford Manor; West Bay Street	CB-13012	Frederic Hottinger; Devaughn Edgcombe; Noelle Scott; Jason Oliver
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	327-6418	327-6427	rsimeto@macrobankltd.com	Building 8 Caves Village Professional Centre; West Bay Street	N-4444	Rosina Simeto; Stella Giani
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	326-2700	326-2710	bdavidowski@magellansecurities.net	Goodman's Bay Corporate Centre; Suite 261 West Bay Street	CB-13366	Robert Davidowski; Alicia Roberts; Meredith Miller
Magnum Global Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Marcel Ott; Pierre M. Monnard; Tamara Bain

Metropolitan Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals in Securities	677-1925	394-2142	john.lawrence@metrobankbahamas.com	Suite 700 2nd Floor; New Providence Financial Centre; East Bay St.	CR-56766	John Lawrence; Indrawati Gibson; Ferdinand Fabroa; Mikita Butterfield-Morley; Geneen Riviere
Millennium Investment Advisors Ltd.	1. Managing Securities	394-4182	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre; Village Road	SS-19689	Pierre M. Monnard; Fabrice Carpentier de Caro; Tamara Bain
MIP Bahamas Ltd formally known as MIP Global Bahamas Ltd.	1. Managing Securities 2. Advising on Securities	677-6770	677-6770	steve@mipbahamas.com	Unit 8 Professional Centre; Caves Village	CB-10955	Stephen Mackey; Endric Deleveaux
MMG Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	326-2400	322-5567	laverne.thompson@mmgbank.com	Suite 102 Saffrey Square; Bay Street & Bank Lane	N-4889	Laverne Thompson; Lowrell Edgecombe
Mont Blanc Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	502-7089	393-4639	info@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Claudio Schreier; Anthony Ferguson; Indira Ferguson
Morgan and Drake Ltd.	1. Managing Securities 2. Advising on Securities	502-7010	393-4639	trobinson@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Nicholas Wright; Andrea Ferraroni; Indira Ferguson
Nordica Investment Management Limited	1. Advising on Securities	322-5448	325-8445	info@nordicamanagement.com	Suite 205A Saffrey Square; Bay Street & Bank Lane	N-9934	Daniel Hedkrok; Anna Hedkrok
Octogone Fund Management Limited	1. Managing Securities 2. Advising on Securities	676-8120	362-4996	rcm@octogone.com.bs	No. 3 Offices Old Fort Bay	SP-63157	R. Clive Moore; Cindy Thompson
Old Fort Financial	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6900	362-6901	shameka.fernander@oldfortfinancial.com	Offices at Old Fort Bay Building #4; Western Road	SP-63771	Robert P. Jensen; Shameka Fernander; Laterio Culmer
Omni Corporate Services Ltd.	1. Managing Securities 2. Advising on Securities	326-0071	326-0072	fmott@ocsbahamas.com	#22 Lot 39 South Westridge	SP-63143	Felicia Mott-Smith; Lynden Maycock
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	322-1658	322-1658	mbain@sterling-bahamas.com; oleg.n@orcap-group.com	Saffrey Square; Bank Lane and Bay Street	N-9934	Oleg Nikityuk; Michelle Bain
Pacifico Global Advisors Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	698-0362	698-0367	info@pacifico-ltd.com	2nd Floor Royal Star House; JFK Drive	SS-19371	Eliano Tamburini; Arturo Klein; Luca Lanciano; Candice McPhee
Pebble Global Advisors Ltd.	1. Managing Securities	394-0128	394-4183	contact@apms-ltd.com	Halsbury Commercial Centre	SS-19689	Pierre Moncheur de Rieudotte; Tamara Bain

Pictet Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	302-2222	327-6610	jmezulanik@pictet.com	Bayside Executive Park; West Bay Street & Blake Road	N-4837	Gregoire Pictet, Janique Duvalier, Jan Mezulanik, Erich Messmer, David Ramirez Diaz, Anthony Barrow, Theresa Bastian, Jeremy Stuby, Tamica
PNC Asset Management Ltd.	1. Advising on Securities	322-4579	325-8445	info@sterling-bahamas.com	2nd Floor Suite 205A; Saffery Square Building; Bank Lane and Bay Street; Nassau	N-9934	Alan Edward Cole; Elena Emanuela Prandoni; Michelle Bain
Private Investment Bank Limited	1. Dealing in Securities as Agent	302-5950	302-5974	pibbank@pib.bs; pibl.general-management@pib.bs	Devonshire House; Queen Street	N-3918	Roger Duerig; Duhiza Swaby-Smith
Providence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	328-7115	328-7129	kenwood.kerr@providenceadvisors.net	3rd Floor; Goodman's Bay Corporate Centre	AP-59223-409	Kenwood N. Kerr; Carrol E. Burrows; Monique N. Cooper; Wendy Warren
R.R. Management Limited (formerly F.I. Management Ltd.)	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	n.silvestri@irv.edu	5th Floor; 308 East Bay Street	N-9058	Nicola Silvestri; Shonalee Munroe
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	702-5950	702-5959	mario.smith@rbc.com	Lyford Manor; Lyford Cay	N-3234	John R. Bond; Andrew R. McCartney; Sophia E. McKenzie; Carol D. Newbold; Michael Unwin; Mario Smith
RIA Company Ltd.	1. Managing Securities	356-5454	356-9432	baroetavena@riacoltd.com	Winterbotham Place; Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena
Royal Fidelity Merchant Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-9801	326-3000	michael.anderson@royalfidelity.com	Fidelity Financial Centre; 51 Frederick Street	N-4853	Michael Anderson; Cleora Farquharson; Joseph James Euteneuner; Paulette Lozaïque; Tishrea Grey; Karen Major; Spencer Von Dean; Belinda
RQSI Limited	1. Managing Securities 2. Advising on Securities	502-7020	393-5002	info@genesissfundservices.com	5th Floor; 308 East Bay Street	N-9058	Christopher Wesley Satterfield; Neil Patrick Ramsey; Samantha Watson-Crooks

Scotiabank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-1995	356-1668	maureen.wallace@bns.scotiabank.com	Rawson Square; Bay Street; Nassau, Bahamas	N-7518	Deno Moss; Marcia Laramore; Lisa Taylor; Penny Ferguson; Sean Albert; Tamara Van Breugel; Maureen Wallace
Scotiabank Caribbean Treasury Limited	1. Managing Securities 2. Advising on Securities	302-2953	328-7432	donna.gardiner@scotiabank.com	Suite 300; British Colonial Hilton Centre of Commerce; 1 Bay Street	N-7518	Wayne Howard; Christine Archer
Seton Securities International Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-6390	362-6391	jay@setonsecuritiesinternational.com	Lyford Cay House 1st Floor; Lyford Cay	CB-13041	Jay Gotlieb; Endric Deleveaux
Sinclair Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	327-2668	327-2668	mtaylor@caystonesolutions.com	2nd Floor; Goodman's Bay Corporate Centre	SP-61567	Joel Johnson; Indira Ferguson; Jean-Marc Wagner
SIS Solutions Ltd. (formerly Swiss Investment Solutions (SIS) Ltd.)	1. Managing Securities	601-5345	601-5340	keva.mcphee@sis-solutions-ltd.com	Devonshire House; Queen Street	SP-64111	Keva Mcphee; Shacara Wells
St. Matthew Capital Ltd.	1. Managing Securities 2. Advising on Securities	502-7020	393-4639	aferguson@cfal.com	3rd Floor Bayview House; #308 East Bay Street	CB-12407	Antoine Bastian; Anthony Ferguson; Samantha Watson-Crooks
Sterling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	677-1900	677-1910	info@sterlingbahamas.com	New Providence Financial Centre; East Bay Street	N-1812	David Kosoy; Tangel Russell; Stephen Tiller; Sunita Maharaj-Bonamy
Stone Tower Management Ltd.	1. Managing Securities	326-2150	326-2151	stonetower@leadingservices.net	2nd Terrace West; Centreville	N-7755	Olivier Chaponnier; Wendy Warren
Swan Capital Ltd.	1. Managing Securities	603-2000	603-2001	jm@swanap.net	Old Fort Bay Town Centre Unit 7, Building 2	SS-6333	Maxime Rambaud; Gine Major
Swiss America Securities, Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	328-7800	324-6963	info@suretrader.com	Suite # 17; Elizabeth on Bay Plaza; Elizabeth Ave. & Bay Street	N-8340	Guy Gentile; Phillip Dorsette; Tiffany Donaldson; Drameko Moore
Swiss Atlantic S.A.	1. Managing Securities 2. Advising on Securities	356-6430	322-6694	sasa@leadingservices.net	2nd Terrace West; Centreville	N-10567	Alisa Richardson; Olivier Chaponnier; Wendy Warren; Peter Wirth

Syz Bank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	702-1400	327-6629	jillian.ferguson@syzbank.com	Building No. 2 Old Fort Bay; Windsor Field Road	N-1089	Daniel Brunner; Venetia Ruth Dekle-Maura; Jacqueline Townend; Frank Negri; Keitra Pratt; Jillian Ferguson; Werner Gruner
Templeton Global Advisors Limited	1. Managing Securities 2. Advising on Securities	302-3691	362-5281	tonia.sands@franklintempleton.com	Templeton House; Lyford Cay	N-7759	James Harper; Cynthia Sweeting; Edgerton Scott III; Norman Boersma; Peter Palleija; Heather Arnold; Herbert Arnett Jr; Christopher
The Bank of Nova Scotia Trust Company (Bah) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	502-5700	326-0991	derek.pattison@scotiabank.com	Scotia House; 404 East Bay Street	N-3016	Shamini Symonette-Gibson; Gregory Walker; Glenette Reckley; Derek Pattison; Jillian Dames
The Private Trust Corporation Limited	1. Dealing in Securities as Agent 2. Arranging Deals	397-8000	326-8388	acj@privatetrustco.com	Charlotte House; Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones; Bruno Roberts; Charlene Y. Storr; Monique Bowe
The Street Trader Limited	1. Dealing in Securities as Agent	324-6963		hubleymark@hotmail.com	Lagoon Court; Sandypoint	EE-17971	Edward Cooper; Christopher Lunn; Cherrise Forbes
The Winterbotham Trust Company Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	356-5454	356-9432	nassau@winterbotham.com	Winterbotham Place; Marlborough & Queen Streets	N-3026	Ivan G. Hooper; David Rounce; Jessica Thornley-Mitchell
TradeZero Inc.	1. Dealing in Securities as Agent	(954)944-3885	(954)944-3885	tz@tradezero.co	Suite 105 Sulgrave Manor; West Bay Street	EE-17971	Antonio Libreros; L.Sydney Saunders
UCAP Bahamas Limited	1. Managing Securities 2. Advising on Securities	362-4589	362-6887	krystal@ucapbh.com	6 Pineapple Grove; Old Fort Bay	AP-59223	Clemente Ducasse; Wendy Warren
Union Bancaire Privee, UBP SA	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	362-4207	362-4338	kip@ubp.ch	5th Floor Lyford Cay House; West Bay Street	N-7529	Brenda Powell; Davawn Turner; Van-dyke Saunders; Paul Russell; Peter Butler; Kyosen Mcphee-Young; Ricardo Aizenman
Vauban Asset Management Limited	1. Managing Securities 2. Advising on Securities	327-1097	327-1084	vauban@coralwave.com	Suite 232 2nd Floor; The Island Lane Building; West Bay Street	SP-61708	James Burrows; Nathan Adderley; Hubert Francois-Poncet

Weiser Asset Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	698-6600	698-6601	info@weiser.com.bs	Offices at Old Fort Bay Building #9; Pineapple Place Lyford Cay	AP-59223 Slot 501	William Lowry; Terah Rahming
Wells Capital Management Inc.	1. Managing Securities 2. Advising on Securities	362-3082	362-6950	kerri-singer@wellsfargo.com	Lyford Manor; Western Road	N-7776	Dale Winner; Christine Donahue
Wincrest Capital Ltd.	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	603-2015	603-2019	bb@wincrest.capital	#7 Old Fort Bay; Lyford Cay	N-7776	Wendy Warren; Barbara Ann Bernard;
Windermere Corporate Management Limited	1. Managing Securities	677-1920	394-8326	john@windermerecm.com	Suite 1000; New Providence Financial Centre; East Bay Street	CR-56766	John Lawrence; Millicent Clarke
WMCG Ltd.	1. Managing Securities	394-4182	394-4183	tamara.bain@apms-ltd.com	Village Road North	SS-19689	Charles de la Baume; Tamara Bain
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	322-2060	322-2114	jpgomez@globalcmltd.com	21 Rosetta Street	SS-5212	Jerome Gomez; Garcia Whymys; Dave Smith; Mitsuo Alves