



SECURITIES COMMISSION OF THE BAHAMAS

PUBLIC NOTICE

No. 1 of 2018 5 January 2018

RE: PROMULGATION OF THE SECURITIES INDUSTRY (FEE) (AMENDMENT) RULES, 2017

This NOTICE is issued by the Securities Commission of The Bahamas (“the Commission”) pursuant to its authority under Section 13 (j) of the Securities Industry Act, 2011 (“the SIA”) to, inter alia, “publish notices, guidelines, bulletins, and policies describing the views of the Commission regarding the interpretation, application, or enforcement of securities laws.”

The Commission has responsibility for the licensing, regulation and supervision of the securities industry in The Bahamas. In this regard, the Commission has authority to make Rules as may be necessary or expedient in the execution of its duties and functions pursuant to section 149 of the SIA.

The public is advised that the “Securities Industry (Fee) (Amendment) Rules, 2017” came into force on the 1st day of January 2018. The Rules are published on the Commission’s website and have been published in the Nassau Guardian and the Tribune. The Commission further advises that the correct number for the Rules is “SI No. 82 of 2017” and not “SI No. 83 of 2017”, which appeared in error in the publication in the daily newspapers on 4th January 2018.

Any inquiries concerning this notice may be directed to the Commission at:

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