

Securities Commission of The Bahamas



Notice

18 December 2013

Re: Inspector's Agent Application Deadline 15 January 2014

The Securities Commission of The Bahamas ("the Commission"), as the Inspector of Financial and Corporate Services ("the Inspector"), advises that a new application form for agents to perform the 2013 annual onsite examinations of Financial and Corporate Service Providers, on behalf of the Inspector, has been posted to the Commission's website. The deadline for submission is 15 January 2014.

Inspector's Agents are auditors appointed by the Inspector to conduct on-site examinations of the business of a licensee for compliance with the Financial and Corporate Service Providers Act, 2000 (the "Act"), the Financial Transactions Reporting Act, the International Business Companies Act, and other laws, and to report thereon to the Inspector pursuant to Section 11(3)(b) of the Act.

The Commission was appointed the Inspector on 1 January 2008 and is therefore responsible for ensuring all persons operate in accordance with the Act, which provides for the licensing and regulation of Financial and Corporate Service Providers.

The new application form, entitled "Authorised Agent Application", is available on the Commission's website (www.scb.gov.bs) under the Licensing and Registrants/Other Forms tab. The application form will also be posted to the Bahamas Institute of Chartered Accountants' website at www.bica.bs.

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