

SECURITIES COMMISSION OF THE BAHAMAS

3rd Floor, Charlotte House
Shirley & Charlotte Streets
P.O. Box N-8347
Nassau, Bahamas

Tel: (242) 356-6291/2
Fax: (242) 356-7530
E-mail: info@scb.gov.bs
Website: www.scb.gov.bs

THE INVESTMENT FUNDS ACT & REGULATIONS, 2003

SCHEDULE 4

(Regulation 46(1))

FORM B

Application Form for an Exemption from obtaining an Investment Fund Administrator's Licence

(1) Details of the Fund

Name of specific investment fund to be administered:

Regulatory status of relevant fund:

Reasons for requesting exemption:

THE APPLICATION SHOULD BE ACCOMPANIED BY THE FOLLOWING DOCUMENTS

- **N.B.** Completed application for an investment Fund Administrator's licence as per Form A of Schedule 4 including the requested documentation stated in that form.
- Prescribed application fee (non-refundable)

ATTESTATION:

We, the undersigned, hereby affirm that to the best of our information, knowledge and belief, the contents of this application are correct and true.

Name of Applicant

Signed on behalf of the Applicant

Date

Completed applications should be submitted to:

The Authorizations Department
Securities Commission of the Bahamas