

# SECURITIES COMMISSION OF THE BAHAMAS

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## THE INVESTMENT FUNDS ACT & REGULATIONS, 2003

### SCHEDULE 9

### (Regulation 50)

#### Notice/Information of the Change in Investment Fund Administrator

(1) Name of Investment Fund:

\_\_\_\_\_

(2) Investment Fund licence number: \_\_\_\_\_

(3) Name & Address of existing Investment Fund Administrator:

Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(4) Effective date of termination of the relationship with the existing Investment Fund Administrator:

\_\_\_\_\_

(5) Name of the new Investment Fund Administrator:

\_\_\_\_\_

(6) Effective date of commencement of the relationship with the new Investment Fund Administrator:

\_\_\_\_\_

(7) If transferring from an Unrestricted to a Restricted Investment Fund Administrator, advise of the status of the licence of the fund:

\_\_\_\_\_

\_\_\_\_\_

(8) Such other information as the Commission may deem necessary.

**ATTESTATION:**

**We, the undersigned, hereby affirm that to the best of our information, knowledge and belief, the above information is correct and true.**

\_\_\_\_\_

Name of Investment Fund

\_\_\_\_\_  
Signed for and on behalf of the Investment Fund

\_\_\_\_\_  
Date

Completed applications should be submitted to:

The Market Surveillance Department  
Securities Commission of the Bahamas