

Securities Industry Act, 2011



Registrants as at 31 December 2018

NAME	CATEGORIES	CONTACT PERSON	EMAIL CONTACT	ADDRESS	P.O. BOX	REGISTERED REPRESENTATIVES				
						CEO	COMPLIANCE OFFICER	TRADING REPRESENTATIVE	ADVISING REPRESENTATIVE	DISCRETIONARY MANAGEMENT REPRESENTATIVE
A.S.P.I Bahamas Ltd. (formerly R.I.A.F. Ltd)	1. Managing Securities 2. Advising on Securities	Carole Sassoon	methompson@aspibahamas.com	Ground Floor Ocean Centre, East Bay Street	SP-61913	Carole Sassoon	N/A	N/A	N/A	N/A
Abaco Global Advisors Ltd.	1. Managing Securities	Oliver Notz	info@abaco-ltd.com	3B One Sandypport Plaza West Bay Street	SP-64168	Oliver Notz	Michele Knowles-Doura	N/A	N/A	Oliver Notz
Acetop Global Markets Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals	Fareda Sands	fareda@acetop.com	208 Church Street, Sandypport	N-7776	Fareda Sands	Rayneth Darling	Mark Gemma	N/A	N/A
ActivTrades Corp.	1. Dealing in Securities as Agent or Principal 2. Advising on Securities	Andrea Draghi	info@activtrades.bs	206 Church Street; Sandypport	SP-64388	Andrea Draghi	Clesha Morley	N/A	N/A	N/A
Aguila Consultancy Ltd.	1. Advising on Securities	Aldo Mazzella	mbain@sterling-bahamas.com			Aldo Mazzella	Michelle Bain	N/A	Aldo Mazzella	N/A
Akelius Invest Ltd.	1. Managing Securities	Demetrius Syllouris	demetris.syllouris@akeliusinvest.com	700 Don Mackay Boulevard Abaco	AB-20415	Demetrius Syllouris	Sandra Gilbert	N/A	N/A	Demetrius Syllouris
Alliance Investment Management Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Advising on Securities	Julian Brown	info@allianceinvest.com; aelcock@allianceinvest.com; jbrown@allianceinvest.com	Carmichael Commercial Centre, 2nd Floor Suite 201, Carmichael Road	SS-19051	Julian Brown	Ruth Bowe-Darville	Julian Brown Nolan Johnson	Julian Brown	Nolan Johnson
Alternative Asset Advisors S.A.	1. Managing Securities 2. Advising on Securities	Jillian Ferguson	jillian.ferguson@syzgroup.com	Building # 2, Old Fort Bay, Windsor Field Road	N-1089	Jillian Ferguson	Venetia Dekle-Maura	N/A	Keitra Pratt	Keitra Pratt Pascal Cristiano
Alternative Investment Solutions Ltd.	1. Advising on Securities	Samir Lakkis	info@ais.solutions; samir.lakkis@ais.solutions; wanda.maycock@clairmonttrust.com	5 Pineapple Grove, Lyford Cay	SP-64284	Samir Lakkis	N/A	N/A	Tameka Stubbs Julian Benichou Sergio Estesio Vidal Marc Elongman	N/A
Amber Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Lisa Patterson	katriena.marshall@amberbank.com	Suite 2 Building 2, Caves Village	N-3917	Lisa Patterson	Katreina Marshall	Lisa Patterson Mateo Raimondo Julian Adderley Alessandro Molo Andrea Albanese	Lisa Patterson Mateo Raimondo Julian Adderley Alessandro Molo Andrea Albanese	Mateo Raimondo Julian Adderley Alessandro Molo Andrea Albanese
Analytical Research Advisors, LLC	1. Advising on Securities	Jean-Stephane Marie Lods	info@sterling-bahamas.com	205A Saffrey Square, Bank Lane and Bay Street	N-9934	Jean-Stephane Marie Lods	Michelle Bain	N/A	Jean-Stephane Marie Lods	N/A
Andbank (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Shulamit Garburg	info.bahamas@andbank.com; manel.martinez@andbank.bs	One Montague Place, East Bay Street	AP-59223	Shulamit Garburg	Natasha Symonette	Ginarene Chuno	George Maillis Jamaal Wright	N/A
Ansbacher (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Andrew Alexiou	info@ansbacher.bs; andrew.alexiou@ansbacher.bs	4th Floor Colina Imperial Building, #308 East Bay Street	N-7768	Andrew Alexiou	Tatjana Jancic-Turner	N/A	N/A	N/A
Arbital Securities Ltd.	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Andre Souza	nassau@arbital.com; andre@arbital.com	Bldg No. 4, Old Fort Bay, Lyford Cay	SP-64093	Andre Souza	Indira Ferguson	N/A	Andre Souza	Andre Souza
Audaci Capital Limited	1. Arranging Deals 2. Managing Securities 3. Advising on Securities	Damian Forbes	info@audacicapital.com	Albany Financial Center, South Ocean Boulevard	SP-60486	Damian Forbes	Indira Ferguson	Damian Forbes	Damian Forbes	N/A

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Autana Capital Management Limited	1. Managing Securities 2. Advising on Securities	Mr. Jean-Marc Emden	jme@autanacapital.com	17 Professional Centre, Caves Village, West Bay Street	CB-12762	Mr. Jean-Marc Emden	N/A	N/A	N/A	N/A
Axia Partners	1. Managing Securities		contact@apms-ltd.com; tamara.bain@apms-ltd.com; marcel.ott@apms-ltd.com	Halisbury Commercial Centre, Suite #2, Vilage Road North	SS-19689	N/A	N/A	N/A	N/A	N/A
AXMIN CAPITAL LTD.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Ernesto Pardo	aroberts@rigadvisors.com	Winterbotham Place, Marlborough & Queen Sts.	N-3026	Ernesto Pardo	Marcelo A. Uste	Guillermo Baeza	Guillermo Baeza	Guillermo Baeza
Axxets Management Inc.	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	info@cfal.com; aferguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Prescott Adderley	N/A	Anthony Ferguson; Yair Esrubilsky	Anthony Ferguson; Yair Esrubilsky
Bahamas Central Securities Depository	Clearing Facility	Nerika Burrows	NBurrows@bahamascsd.com; info@bahamascsd.com	British Colonial Hotel Hilton #202	N-9307	Nerika Burrows	Latonia Symonette-Tinker	N/A	N/A	N/A
Bahamas International Securities Exchange (BISX)	Exchange	Keith Davies	info@bisxbahamas.com; hgrant@bisxbahamas.com; kdavies@bisxbahamas.com	Suite 201, Fort Nassau Centre, British Colonial Hilton, Bay Street	EE-15672	Keith Davies	Holland Grant	N/A	N/A	N/A
Bahamas Securities (1987) Limited	1. Advising on Securities	George C. Culmer	info@bdbahamas.com	Gresham House' Charlotte Street South	N-10144	George C. Culmer	Myles Culmer	N/A	George C. Culmer; Myles J. Culmer	N/A
Banca del Sempione (Overseas) Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities	Beat Meier	beat.meier@sempione-overseas.com; neil.baker@sempione-overseas.com; bsoverseas@sempione-overseas.com	3rd Floor George House, George Street	N-8159	Beat Meier	Neil Baker	Edrick Cleare; Stanley Coakley; Michele Piantoni	N/A	Simone Bertoldo
Banco Santander (Suisse) SA	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Alfonso Coloma Bello	alfonsocoloma@bstnas.com	3rd Floor Goodman's Bay Corporate Centre, West Bay Street	N-1682	Alfonso Coloma Bello	Roberto Crespo	Alfonso Coloma Bello	Alfonso Coloma Bello	Alfonso Coloma Bello
Bank J. Safra Sarasin (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Adrian Zadeh	bahamas@jsafrasahasin.com; israel.borba@jsafrasahasin.com	204 Church Street, Olde Towne, Sandypport, West Bay Street	CB-10988	Adrian Zadeh	N/A	Yantoni Zeitoune; Joao Franco	Adrian Zadeh	Adrian Zadeh Daniel Saralegui;
Bank of the Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Kenrick Brathwaite	vanessa.taylor@bankbahamas.com	Claughton House, Shirley & Charlotte Streets	N-7118	Kenrick Brathwaite	Wendell A. Gardiner	N/A	N/A	N/A
Banque Havilland (formerly Pasche Bank & Trust Limited)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Stefano Grace	S.Grace@banquehavilland.com	Unit 1 Western Commercial Centre, Mt. Pleasant Village, Western Road	AP-59241	Stefano Grace	Samantha Barrett	N/A	N/A	N/A
Bearbull Degroof International Limited	1. Managing Securities 2. Advising on Securities	Nicole M. Dupuy	erica.knowles@bearbulldegroof.com	Unit 2 Offices at Old Fort Bay, Western Road	N-4287	Nicole M. Dupuy	Inger Simms	N/A	Nicole M. Dupuy	N/A
Benchmark Advisors (Bahamas) Ltd.	1. Deal in Securities as Agent or Principal 2. Advising on Securities	Avril Elcock-Major	aelcock@allianceinvest.com	Gresham House, Charlotte Street North	N-10144	Avril Elcock-Major	Ruth Bowe-Darville	Nolan Johnson	Nolan Johnson	N/A

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Black Isle Management Services Ltd.	1. Managing Securities 2. Advising on Securities	Ian Black	ian@blackislemanagement.com	UBS Annex, 2nd Floor, East Bay Street	SS-19501	Ian Black	Chamika Gibson	N/A	Marc-Claudio Muller Ian Black	Bradley Crawley Ian Black Marc-Claudio Muller
Blue Line Advisors Ltd.	1. Managing Securities	Vincy Singh	contact@apms-ltd.com; tamara.bain@apms-ltd.com; z.butler@apms-ltd.com	Halisbury Commercial Centre, Suite #2, Village Road North	SS-19689	Vincy Singh	N/A	N/A	N/A	N/A
Blue Sea Asset Management Ltd.	1. Managing Securities 2. Arranging Securities	Anthony Ferguson	Aferguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	Anthony Ferguson	N/A
Bluebay Global Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Roger Landucci;	tamara.bain@apms-ltd.com; roger@bluebay-ltd.com	Halisbury Commercial Centre, Suite #2, Village Road North	SS-19689	Roger Landucci;	N/A	N/A	Roger Landucci;	Roger Landucci;
Braun & CIE Ltd.	1. Advising on Securities	Julian Brown	jbrown@allianceinvest.com	Charlotte Street South, Gresham House	N-10144	Julian Brown	Julian Brown	N/A	N/A	N/A
Capital Union Bank	1. Dealing in Securities as Principal or Agent 2. Arranging Securities 3. Advising on Securities	Ludavic Chechin-Laurans	luciana.silvera@capitalunionbank.com; stanya.stuart@capitalunionbank.com; mikeiko.brown@capitalunionbank.com	CUB Financial Centre; Lyford Cay	AP-59223	Ludavic Chechin-Laurans	Nicolette Alexis Duncanson	Clement Ducasse; Antoine Lacourt Maxine Barberis	Clement Ducasse; Antoine Lacourt	Clement Ducasse; Antoine Lacourt
Capital Vision Limited	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	info@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	N/A	N/A
CBH Bahamas Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Dorothy Hilton	dhilton@cbhbank.com	CBH House, East Bay Street	N-1724	Dorothy Hilton	Steven Miller	Shamini Gibson; Jamal Wright Ahmad Strachan Daniel Baron Jamaal Farquharson Belinda Mills William Gibson	Shamini Gibson; Jamal Wright Ursula Rolle Ahmad Strachan Jamaal Farquharson Belinda Mills William Gibson	N/A
CFAL	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	info@cfal.com;sthurston@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	N/A	Anthony Ferguson Pamela Musgrove	Anthony Ferguson Pamela Musgrove
CFAL Securities Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	info@cfal.com;sthurston@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Monique Knowles	Pamela Musgrove; Tiffany Angelique Smith; Jeanelle Christal Francis; Giovannah Evans; Anna Zervos;	Dwayne Swann; Shafegah Duncanson;	N/A
CIBC Trust Company (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Perry A Rolle	norma.major@wi.cibc.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-3933	Perry A Rolle	Natika Whitfield	N/A	N/A	N/A
Citco Bank & Trust Company (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Rupert Walle	rwalle@citco.com	One Montaque Place, East Bay Street	N-4906	Rupert Walle	Tamar M. Armbrister-Delancey	N/A	N/A	N/A
Clairmont Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Benjamin Hein	Compliance@clairmontadvisorsgroup.com; wanda.maycock@clairmonttrust.com	#5 Pineapple Grove, Lyford Cay	SP-64284	Benjamin Hein	Wanda Maycock	N/A	John Jephson; Sebastian Duenas	John Jephson; Sebastian Duenas
Clairmont Trust Company Limited	1. Advising on Securities	Miles Evans	fsd@clairmonttrust.com; milesevans@clairmonttrust.com	#5 Pineapple Grove, Lyford Cay	SP-64284	Miles Evans	Wanda Maycock	N/A	James Hoar; John Jephson; Jaime Stewart	N/A

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Colonial Pension Services (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Larry Gibson	Larry.Gibson@atlantichouse.com.bs	2nd Floor Atlantic House, Collins Avenue	SS-6246	Larry Gibson	Antoinette Blair	Kriston Moore	Larry Gibson	Desiree Parker
Core Capital Partners Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Heather Bellot-Hazarian	hbh@ccpl.capital	Suite 232, Island Traders Building, Sandypport	SS-6836	Heather Bellot-Hazarian	Kristy Kemp	N/A	Heather Bellot-Hazarian	Heather Bellot-Hazarian
Corner Bank (Overseas) Limited	1. Managing Securities 2. Advising on Securities	Christine Russell	info@corner.bs;michele.clivio@corner.bs	1st Floor 308 East Bay Street	N-7134	Christine Russell	Jennifer Rahming	N/A	Stefano Donati; Davide Bonetti; Birgit Ludig-Dridi; Myriam Larbi-McKenzie	Eirene Gatis-Thronebury
Credit Suisse AG, Nassau Branch	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Vanessa Knowles	vanessa.knowles@credit-suisse.com	4th Floor The Financial Centre, Shirley & Charlotte Streets	N-4928	Vanessa Knowles	Delric Beneby	Thomas Markus Karrer; Guilherme Bianch; Rogerio Ribas	Michael Ranson; Leonardo Brayner; Miguel Angel Mesino; Guilherme Bianch; Rogerio Ribas	Luiz Savio Barros
Credit Suisse Brazil (Bahamas) Limited	1. Dealing in Securities as Principal & Agent	Vaughn Marvin Gibson	marcio.macedo@credit-suisse.com	4th Floor Bahamas Financial Centre, Shirley & Charlotte Streets	N-3241	Vaughn Marvin Gibson	Delric Beneby	N/A	N/A	N/A
Dartley Securities Limited	1. Dealing in Securities as Agent	Dillon Dean	administrator@dartleybank.com; s.patton@dartley.com.bs	No. 9 Caves Village, West Bay Street	CB-13319	Dillon Dean	Sally Patton	Charles Aboulafia	N/A	N/A
de la Fontaine & Associates Ltd.	1. Managing Securities	Ralf Huber	contact@apms-ltd.com; tamara.bain@apms-ltd.com; ralf@delafontaine.bs	Halisbury Commercial Centre, Suite #2, Vilage Road North	SS-19689	Ralf Huber	N/A	N/A	N/A	Ralf Huber
Delessert Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Anthony Ferguson	del-compliance@delessert-am.bs; AFerguson@cfal.com	308 East Bay Street	CB-12407	Anthony Ferguson	Prescott Adderley	N/A	Anthony Ferguson	N/A
Deltec Bank & Trust Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Jean Chalopin	sas@deltecbank.com; jcha@deltecbank.com; teg@deltecbank.com; Dmunoz@deltecbank.com	Deltec House, Lyford Cay	N-3229	Jean Chalopin	Jeunesse Osadebay-Bullard	Vasila Mavros; James Moss; Audra Dean; Richard Heathcote; William Godet; Atul Lele; Christopher Leonard; Vivienne Watts; Robert Turnquest	Marianne Tremblay; Vasila Mavros; Atul Lele; Christopher Leonard; Vivienne Watts; Marcia Adderley; Robert Genovese; Giovanni Pirana; Zachary Lyons; Paul Stappard; Patrick Gibson	Stefano Pral; Atul Lele; Christopher Leonard; Vivienne Watts; Marcia Adderley; Robert Genovese; Giovanni Pirana; Zachary Lyons; Paul Stappard; Patrick Gibson; Therese Hudson
Deltec Investment Advisers Limited	1. Managing Securities 2. Advising on Securities	Jean Chalopin	dmunoz@deltecial.com; dmunoz@deltecinv.com	Deltec House, Lyford Cay	N-3229	Jean Chalopin	Jeunesse Osadebay-Bullard	N/A	Richard Beek; Maria Sevilla; Atul Lele; Christopher Leonard; Vivienne Watts; Robert Genovese; Robert Turnquest	Atul Lele; Vivienne Watts; Marcia Adderley; Christopher Leonard; Robert Genovese; Robert Turnquest; Therese Hudson
Deltec Securites Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals.		sas@deltecbank.com	Deltec House, Lyford Cay	N-3229	N/A	Jeunesse Osadebay-Bullard	Richard Heathcote; Audra Dean	N/A	N/A

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Destek Global Limited	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	aferguso@cfal.com	1st Floor, Bay View House, East Bay Street	CB-12407	Anthony Ferguson	Prescott Adderley	N/A	N/A	N/A
Eclipse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Dillon Dean	smancilla@equitybahamas.com; jmonreal@equitybahamas.com; ddean@equitybahamas.com	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean	Monalisa Hanna	N/A	Ivlyn Cassar	Ivlyn Cassar
EFG Bank & Trust (Bahamas) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities		efgbahamas@efgbank.com; lynn.kelly@efgbank.com	Centre of Commerce; 2nd Floor 1; Bay Street	SS-6289	N/A	Maria Dorsett	Scott Morrison; Neil Emery; Gia Singh; Jorge Zeballos	N/A	N/A
Elco Securities Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	E. Isaac Collie	info@elco-bank.com; elcobank@batenet.bs	Loyalist Plaza, Don Mackey Boulevard, Marsh Harbour, Abaco	AB-20377	E. Isaac Collie	J. Derek Benjamin	David Hoang	Lawrence Collie	E. Isaac Collie
Equity Bank and Trust Bahamas Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Dillon Dean	info@equitybahamas.com	Equity Trust House, Caves Village, West Bay Street	N-10697	Dillon Dean	Christopher Lunn	N/A	N/A	N/A
EuroBanco Bank Limited	1. Dealing in Securities as Agent	Natividad Esther Benitez	ebenitez@eurobancobankltd.com	Suite 5 Caves Professional Center, West Bay Street & Blake Road	N-1114	Natividad Esther Benitez	Ramiro Argonz	Natividad Esther Benitez	N/A	N/A
Exmer Partners Limited	1. Managing Securities	Ivan Hooper	drounce@winterbotham.com; ihooper@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	SP-62557	Ivan Hooper	David Rounce	N/A	N/A	Ivan Hooper
Extiber Ltd.	1. Managing Securities 2. Advising on Securities	Walter Christen	Nassau@extiber.com; wjc@extiber.com	Building #7 Caves Village, West Bay Street	SP-62238	Walter Christen	Nathan Adderley	N/A	Alessandro Russo	Alessandro Russo
FAM Fund & Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Zakita Butler	contact@apms-ltd.com; tamara.bain@apms-ltd.com; z.butler@apms-ltd.com	Halisbury Commercial Centre, Suite #2, Village Road North	SS - 19689	Zakita Butler	N/A	N/A	Zakita Butler	Zakita Butler
FC Capital Investments Ltd.	1. Managing Securities 2. Advising on Securities	Leo Betschart	leo@fccibahamas.com	Montague Sterling Centre, East Bay Street	SS-19027	Leo Betschart	Edison Neely	N/A	Leo Betschart; Tiffany Jones	Leo Betschart; Tiffany Jones
FG Capital Markets Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Glen Ritchie	info@fgcapitalmarkets.com	#1 Village Road	SS-6232	Glen Ritchie	Bryinda Russell	Sherell Woodside-Conliffe; Kerah Farquharson	Sherell Woodside-Conliffe; Kerah Farquharson; G. Andre White	N/A
First Overseas Bank Limited	1. Dealing in Securities as Principal & Agent	Carlos Walton	info@firstoverseasbank.com; jshedden@firstoverseasbank.com	Office #2, Building #8, Caves Village Professional Centre, West Bay Street	CB-11903	Carlos Walton	Carlos Walton	Carlos Walton	N/A	N/A
FirstCaribbean International Bank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Marie Rodland-Allen	sherrylyn.bastian@firstcaribbeanbank.com	FirstCaribbean International Bank Financial Centre, 2nd Floor, Shirley Street	N-3221	Marie Rodland-Allen	Randy Taylor	Raymond Donaldson; Gina Mackenzie; Sean Blyden; Michael Basden; Brent Haines; Carlyle Bethel	Raymond Donaldson; Michael Basden; Brent Haines; Carlyle Bethel	Gina Mackenzie

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Fitpart Fund Administration Services Ltd.	1. Managing Securities 2. Advising on Securities	Fernando Prado	bethell@fitpart.bs	Bahamas Financial Centre, Shirley & Charlotte Streets	CB-13515	Fernando Prado	Indira Ferguson	N/A	Fernando Prado	Fernando Prado
FK Capital Management Ltd.	1. Managing Securities 2. Advising on Securities	Jorge Finkler Braverman	efinkler@fkcapitalmanagement.com; contact@caystonesolutions.com	Lyford Financial Centre #2, Lyford Cay	SP-64283	Jorge Finkler Braverman	Indira Ferguson	N/A	Jorge Finkler Braverman; Eduardo Finkler Braverman	N/A
FxPro Global Markets Ltd.	1. Dealing in Securities as Principal & Agent	Charalambos Psimolophitis	support@fxpro.com	Lyford Cay, West Bay Street	N-7776	Charalambos Psimolophitis	Cassandra Johnson	Charalambos Psimolophitis	N/A	N/A
Gabarit International Ltd.	1. Managing Securities 2. Advising on Securities	Jean-Pierre Ribes	xdelattre@gabaritmanagement.com	Caprice 5 West Bay Street	AP-59223 Slot # 623	Jean-Pierre Ribes	Endric Deleveaux	N/A	Jean-Pierre Ribes	Jean-Pierre Ribes
GEM Global Equities Management S.A.	1. Managing Securities 2. Advising on Securities	Anthony Inder Rieden	ceo@euro-dutch.com	Templeton Building, West Bay Street	N-9204	Anthony Inder Rieden	Eric Strachan	N/A	Anthony Inder Rieden	Anthony Inder Rieden
Gems Management Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Cedric Carroll	cedric.carroll@gemsmanagement.com	Providence House, 1st Floor, East Hill Street	CB-12809	Cedric Carroll	N/A	N/A	N/A	N/A
Global Advisors Partners Ltd.	1. Managing Securities 2. Advising on Securities	Alexandre Vancoff	ivano@globalnassau.com	3rd Floor Coin of the Realm Building Charlotte Street	N-9471	Alexandre Vancoff	Edgar Seligman	N/A	Ivano Allata	Alexandre Vancoff, Ivano Allata
Global Private Portfolio Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities		gppm@batelnet.bs; HenioGPPM@coralwave.com	Suite 232, 2nd Floor, Island Lane Building, Olde Towne, Sandypport	N-4487	N/A	N/A	N/A	N/A	N/A
GMP Securities Emerging Markets Corp.	1. Arranging Deals. 2. Advising on Securities	Gene Caldwell McBurney	genem@gmpsecurities.com	One Marina Drive, Suite 300, Paradise Island, Nassau, Bahamas	CR-56766	Gene Caldwell McBurney	Denise O'Brien	N/A	Gene Caldwell McBurney	N/A
Goldensheikh Overseas Limited	1. Advising on Securities	Riccardo Sigon	gsoslimited@gmail.com	202 Church Street, Nassau	N-3205	Riccardo Sigon	Latonia Symonette-Tinker	N/A	Riccardo Sigon	N/A
Gonet Bank & Trust Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Jean-Marc Fellay	jlewis@bankgonet.com	Offices at Old Fort Bay, West Bay St.	SP-61302	Jean-Marc Fellay	N/A	Kevin Price; Ingrid Taylor; James French; Patrick Feuz; Valentin Seville	Ingrid Taylor; Guilhermo Santini; Patrick Feuz; Valentin Seville	James French; Ingrid Taylor; Kevin Price; Patrick Feuz; Valentin Seville
Green Cay Private Client Ltd.	1. Managing Securities 2. Advising on Securities	Jane Siebels	jb@greencay.com.bs	Lyford Cay Drive, Lyford Cay, Nassau	N-7776	Jane Siebels	N/A	N/A	Jane Siebels	Jane Siebels
Holdun Family Office (Bahamas) Limited (formerly Holdun Investment Partners Inc.)	1. Managing Securities	Brendan Dunn	bdunn@holdun.com	Albany Financial Center Suite 303	SP-63158	Brendan Dunn	Kristy Kemp	N/A	N/A	Brendan Dunn
Holowesko Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Mark Holowesko	compliance@holoweskopartners.com	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko	Karen Zyp	N/A	Mark Holowesko, Richard Farrington, Gregory Cleare	Mark Holowesko, Richard Farrington, Gregory Cleare
Holowesko Partners Ltd.	1. Managing Securities 2. Advising on Securities	Mark Holowesko	compliance@holoweskopartners.com	Shipston House, West Bay Street, Lyford Cay	N-7776(78)	Mark Holowesko	Karen Zyp	N/A	Mark Holowesko, Richard Farrington, Gregory Cleare	Mark Holowesko, Richard Farrington, Gregory Cleare
Huber Management Ltd.	1. Managing Securities 2. Advising on Securities	Bernard Huber	bh@huberint.com	1st Floor, Charlotte House, Shirley & Charlotte Streets	N-529	Bernard Huber	Richard Coulson	N/A	Bernard Huber, Juan Zatarain	Bernard Huber, Juan Zatarain

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Registrants as at 31 December 2018

NAME	CATEGORIES	CONTACT PERSON	EMAIL CONTACT	ADDRESS	P.O. BOX	REGISTERED REPRESENTATIVES				
						CEO	COMPLIANCE OFFICER	TRADING REPRESENTATIVE	ADVISING REPRESENTATIVE	DISCRETIONARY MANAGEMENT REPRESENTATIVE
Investar Securities Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Ansel Watson	awatson@ivstar.com	601 East Bay Street	SS-19086	Ansel Watson	Omar Sands	Ansel Watson	Ansel Watson	Ansel Watson
Itau International Securities Inc.	1. Dealing in Securities as Agent 2. Arranging Deals	Gustavo Abrunhosa	jacqueline.rolle@itauinternational.com	31B, Annex Building, 2nd Floor, East Bay Street	N-3930	Gustavo Abrunhosa	Sara Catalina Gaitan	Gustavo Abrunhosa, Marcelo Aagesan, Gilmar De Oliveira, Carlos Fernandes, Alberto Tahi	N/A	N/A
IX Capital Group Limited (formerly Infinox Capital (Bahamas) Limited)	1. Dealing in Securities as Agent or Principal 2. Arranging Deals 3. Managing Securities	Anthony Stubbs	italiacartwright@infinox.bs	108 Sandypport, West Bay Street	SP-62756	Anthony Stubbs	Italia Cartwright	Mun Low	N/A	Fei Wang
J. Safra Sarasin Asset Management (Bahamas) Ltd.	1. Managing Securities 2. Advising on Securities	Daniel Kamkahji	israel.borba@jsafrasarasin.com	204 Church Street, Old Towne, Sandypport	CB-10988	Daniel Kamkahji	Vacant	N/A	Daniel Kamkahji	Daniel Kamkahji
Jefferson Capital Limited	1. Managing Securities 2. Advising on Securities		info@genesishfundservices.com	5th Floor 308 East Bay Street	N-9058	N/A	N/A	N/A	N/A	N/A
Julius Baer Bank & Trust (Bahamas) Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities		rochelle.rolle@juliusbaer.com	Ocean Centre, Montague Foreshore	N-4890	N/A	N/A	N/A	N/A	Melan Georges
Kahu Capital Partners Limited	1. Managing Securities 2. Advising on Securities	Vacant	mkitmitto@gmail.com	Albany Financial Centre		Vacant	Indira Ferguson	N/A	Vacant	Vacant
KP Investment Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Jakiya Butler	contact@apms-ltd.com; tamara.bain@apms-ltd.com; m.ott@kpa-ltd.com	Halisbury Commercial Centre, Suite #2, Vilage Road North	SS-19689	Jakiya Butler	Vacant	N/A	Jakiya Butler	Jakiya Butler/Pierre Monnard
Leighton Global Advisors Ltd.	1. Managing Securities 2. Advising on Securities	Miguel Sulichin	leighton@leightonglobal.com	Winterbotham Place, Marlborough & Queen Streets	N-3026	Miguel Sulichin	David Rounce	N/A	Miguel Sulichin	Miguel Sulichin
LCG Markets Limited (formerly London Capital Group (Bahamas) Limited)	1. Dealing in Securities as Principal & Agent 2. Arranging Deals	Sean Munnings	sean.munnings@lcg.com	Shirley Street & Victoria Avenue	N-272	Sean Munnings	Philip Dorsett	Vacant	N/A	N/A
Leno Corporate Services Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Sean Longley	info@lenobahamas.com; slongley@lenocorporate.com	2nd Floor Pineapple Place, Bernard Road	N-8339	Sean Longley	Julian Braithwaite	Tricetta Small	Tricetta Small	N/A
Lighthouse Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Riccardo Romani	rgd@lighthouse-am.com	Offices at Old Fort Bay, Building 4, Lyford Cay	N-7776	Riccardo Romani	Calvin Rolle	N/A	Riccardo Romani/Osborne Lockhart/Rosella Dack	Riccardo Romani/Osborne Lockhart/Rosella Dack
LOM Financial (Bahamas) Limited formerly (LOM Stockbrokers International Ltd.)	1. Dealing in Securities as Agent 2. Advising on Securities	Craig Lines	craig.lines@lom.com	Building 4 Caves Village, West Bay Street	AB-12762	Craig Lines	Cheryl Watts	N/A	Semoy Scully/Kevin Murphy	N/A

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						CEO	COMPLIANCE OFFICER	TRADING REPRESENTATIVE	ADVISING REPRESENTATIVE	DISCRETIONARY MANAGEMENT REPRESENTATIVE
Lombard Odier & Cie (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities		n.horton@lombardodier.com	Goodman's Bay Corporate Centre, West Bay Street & Seaview Drive	N-4938	N/A	N/A	N/A	N/A	N/A
LT Green Capital Investment Limited	1. Managing Securities 2. Advising on Securities	Edson Ito	info@cfal.com;	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Edson Ito	Indira Ferguson	N/A	Edson Ito	Edson Ito
Lydda Capital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Anthony Ferguson	info@lyddacap.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Anthony Ferguson	Precott Adderley	Anthony Ferguson	Anthony Ferguson	Anthony Ferguson
Lyford International Bank Ltd (formerly Lyford International Bank & Trust Ltd)	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities		compliance@lyfordbank.bs; chandra.ferguson@lyfordbank.bs	Lyford Manor, West Bay Street	CB-13012	N/A	N/A	N/A	N/A	N/A
Macro Bank Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Rosina Simeto	rsimeto@macrobankltd.com	Building 8 Caves Village Professional Centre, West Bay Street	N-4444	Rosina Simeto	Stella Giani	Rosina Simeto	N/A	N/A
Magellan Securities Limited	1. Managing Securities 2. Advising on Securities	Robert Davidowski	bdavidowski@magellansecurities.net	Goodman's Bay Corporate Centre, West Bay Street	CB-13366	Robert Davidowski	Nathan Adderley	Meredith Miller	Robert Davidowski/Ian Towell	Robert Davidowski
Magnum Global Advisors Ltd.	1. Managing Securities	Christian Coquoz	contact@apms-ltd.com; pimo@apms-ltd.com	Halsbury Commercial Centre, Village Road	SS-19689	Christian Coquoz	Vacant	N/A	N/A	Christian Coquoz
Millennium Investment Advisors Ltd.	1. Managing Securities	Fabrice Caro	contact@apms-ltd.com; tamara.bain@apms-ltd.com; fco@mille-ltd.com	Halsbury Commercial Centre, Suite #2, Village Road North	SS-19689	Fabrice Caro	Vacant	N/A	N/A	Fabrice Caro
Mint Capital Advisors Ltd.	1. Managing Securities	Eric Strachan	Eric@euro-dutch.com	Templeton Building, West Bay Street	N-9204	Eric Strachan	Neil Major	N/A	N/A	Eric Strachan
MMG Bank & Trust Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Jorge Morgan	bahamas.team@mmgbank.com; laverne.thompson@mmgbank.com	Suite 102 Saffrey Square, Bay Street & Bank Lane	N-4889	Jorge Morgan	Kaylisa Adderley	Laverne Thompson	Laverne Thompson	Laverne Thompson
Mont Blanc Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Claudio Schreier	info@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Claudio Schreier	Indira Ferguson	N/A	Anthony Ferguson	Anthony Ferguson
Morgan and Drake Ltd.	1. Managing Securities 2. Advising on Securities		trobenson@cfal.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	N/A	N/A	N/A	N/A	N/A
Nordica Investment Management Limited	1. Advising on Securities	Danie Pertti Hedkrok	info@nordicamanagement.com	Suite 205A Saffrey Square, Bay Street & Bank Lane	N-9934	Danie Pertti Hedkrok	Anna Hedkrok	N/A	N/A	N/A
Octogone Fund Management Limited	1. Managing Securities 2. Advising on Securities	Alain Kunz	afk@octogone.com.bs	No. 3 Offices Old Fort Bay, Western Rd.	SP-63157	Alain Kunz	Cindy Thompson	N/A	Alain Kunz	Alain Kunz
Old Fort Financial	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Shameka Fernander	shameka.fernander@oldfortfinancial.com; laterio.culmer@oldfortfinancial.com	Offices at Old Fort Bay Building #4, Western Road	SP-63771	Shameka Fernander	Laterio Culmer	Shameka Fernander	Robert Jensen	Robert Jensen
Omni Corporate Services Ltd.	1. Managing Securities 2. Advising on Securities	Felicia Mott-Smith	fmott@ocsbahamas.com	#22 Lot 39 South Westridge	SP-63143	Felicia Mott-Smith	Lynden Maycock	N/A	Felicia Mott-Smith	Felicia Mott-Smith

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						CEO	COMPLIANCE OFFICER	TRADING REPRESENTATIVE	ADVISING REPRESENTATIVE	DISCRETIONARY MANAGEMENT REPRESENTATIVE
Oracle Capital Advisors Ltd.	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Oleg Nikityuk	mbain@sterling-bahamas.com; oleg.n@orcap-group.com	Saffrey Square, Bank Lane and Bay Street	N-9934	Oleg Nikityuk	Michelle Bain	Oleg Nikityuk	Oleg Nikityuk	Oleg Nikityuk
Pacifico Global Advisors Ltd.	1. Dealing in Securities as Principal or Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Arturo Klein	info@pacifico-ltd.com; et@pacifico-ltd.com; cm@pacifico-ltd.com	2nd Floor Royal Star House, JFK Drive	SS-19371	Arturo Klein	Vanasha Shearer-Butler	N/A	N/A	Arturo Klein
Pebble Global Advisors Ltd.	1. Managing Securities	Pierre Moncheur de Rieudotte	contact@apms-ltd.com; tamara.bain@apms-ltd.com; pebble@pebble-ltd.com	Halisbury Commercial Centre, Suite #2, Village Road North	SS-19689	Pierre Moncheur de Rieudotte	N/A	N/A	N/A	Pierre Moncheur de Rieudotte
Phoenix Capital Ltd.	1. Managing Securities 2. Advising on Securities		info@phoenix.bs	212 Curch Street, Sandyport	SP-64377	N/A	Aruna Rodgers	N/A	Luca Carlo Pasquale Lanciano	Luca Carlo Pasquale Lanciano
Pictet Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Gregoire Pictet	jmezulanik@pictet.com	Bayside Executive Park, West Bay Street & Blake Road	N-4837	Gregoire Pictet	Janique Duvalier	Erich Messmer; Anthony Barrow; Theresa Bastian; Tamica Rolle; Donna Storr; Miriam Scott	Monica Millers; Jan Mezulanik; David Diaz; Jeremy Stuby; Isabelle Fiaux; Pierre Colle; Jose Suarez; Karol Czartoryzki; Carlos De La Torre	David Diaz
Privatam Corp.	1. Advising on Securities	Arthur Bauch	info@morganandrake.com	Lyford Cay Financial Centre	N-7776	Arthur Bauch	Cassandra Johnson	N/A	Arthur Bauch Massimo Passamonti	N/A
Private Investment Bank Limited	1. Dealing in Securities as Agent 2. Managing Securities 3. Advising on Securities	Valerio Zanchi	pibbank@pib.bs; pibl.general-management@pib.bs	Devonshire House, Queen Street	N-3918	Valerio Zanchi	Christine Archer	Claudio Robertson; Kevin Fernandez; Michael Sands	Claudio Robertson; Kevin Fernandez;	Claudio Robertson
Providence Advisors Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Kenwood Kerr	kenwood.kerr@providenceadvisors.net	3rd Floor, Goodman's Bay Corporate Centre	AP-59223-409	Kenwood Kerr	Denise O'Brien	N/A	N/A	Kenwood Kerr; Carrol Burrows
Q1 Advisors	1. Managing Securities 2. Advising on Securities	Heather Bellot-Hazarian				Heather Bellot-Hazarian	Kristy Kemp	N/A	Heather Bellot-Hazarian	Heather Bellot-Hazarian
RBC Dominion Securities (Global) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Andrew McCartney	mario.smith@rbc.com;sophia.mckenzie@rbc.com; andrew.mccartney@rbc.com	Suite 201, Albany Financial Center, South Ocean Boulevard	N-3234	Andrew McCartney	Mario Smith	John Rodney Bond; Francisco Goncalves	John Rodney Bond; Carol Denis Newbold; Sophia Eloise Mckenzie; Francisco Goncalves	Francisco Goncalves
RIA Company Ltd.	1. Managing Securities	Alejandro Luis Barroetavena	baroetavena@riacoltd.com; kbeneby@winterbotham.com; wfs@winterbotham.com	Winterbotham Place, Malborough & Queen Streets	N-3026	Alejandro Luis Barroetavena	Anja Marie Charles	N/A	N/A	Alejandro Luis Barroetavena
Royal Fidelity Merchant Bank & Trust Limited	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Michael Anderson	michael.anderson@royalfidelity.com	Fidelity Financial Centre, 51 Frederick Street	N-4853	Michael Anderson	Spencer Dean	Belinda Payne; Janeen Denise Bethel; Quentin Euriel Gibson	Paulette Lozaïque; Tishrea Grey; Candee Forbes; Janeen Denise Bethel; Quentin Euriel Gibson	Cleora Fraquharson
RQSI Limited	1. Managing Securities 2. Advising on Securities	Neil Patrick Ramsey	info@genesishfundservices.com; swatson@genesishfundservices.com	5th Floor, 308 East Bay Street	N-9058	Neil Patrick Ramsey	Samantha Watson-Crooks	N/A	N/A	N/A

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						CEO	COMPLIANCE OFFICER	TRADING REPRESENTATIVE	ADVISING REPRESENTATIVE	DISCRETIONARY MANAGEMENT REPRESENTATIVE
Scotiabank (Bahamas) Limited	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Roger Archer	schell.stubbs@scotiawealth.com; sean.albert@bns.scotiabank.com	Rawson Square, Bay Street, Nassau, Bahamas	N-7518	Roger Archer	Schell Stubbs	Deno Moss; Janae Francis-Ingraham	Deno Moss; Lisa Cherisse Taylor; Penny Laverne Ferguson	Deno Moss;
Seacapital Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities		cesar@seacapitalinvestments.com	Compass Point Suite #2	CB-13027	N/A	Francina Horton	Cesar Dominguez; Carlos Almandoz	Cesar Dominguez	Cesar Dominguez
Seton Securities International Ltd	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Jay Gottlieb	jay@setonsecuritiesinternational.com	Lyford Cay House 1st Floor, Lyford Cay	CB-13041	Jay Gottlieb	Endric Deleveaux	Jay Gottlieb	N/A	Jay Gottlieb
Sinclair Asset Management Ltd.	1. Managing Securities 2. Advising on Securities	Jean-Marc Wagner	mtaylor@caystonesolutions.com; iferguson@caystonesolutions.com	2nd Floor, Goodman's Bay Corporate Centre	SP-61567	Jean-Marc Wagner	Indira Ferguson	N/A	Jean-Marc Wagner; Joel Johnson	Jean-Marc Wagner; Joel Johnson
SIS Solutions Ltd. (formerly Swiss Investment Solutions (SIS) Ltd.)	1. Managing Securities	Keva McPhee	keva.mcphee@sis-solutions-ltd.com	Devonshire House, Queen Street	SP-64111	Keva McPhee	Shacara Wells	N/A	N/A	Keva McPhee
St. Matthew Capital Ltd.	1. Managing Securities 2. Advising on Securities	Antoine Bastian	info@smcl.com; abastian@smcl.com	3rd Floor Bayview House, #308 East Bay Street	CB-12407	Antoine Bastian	Prescott Adderley	N/A	Anthony Ferguson	Anthony Ferguson
Sterling Financial Group Inc.	1. Managing Securities 2. Advising on Securities	David Kosoy	info@sterlingglobaltd.com	New Providence Financial Centre, East Bay Street	N-1812	David Kosoy	Sunita Bonamy	David Kosoy; Stephen Tiller	David Kosoy; Stephen Tiller; Tangela Russell	David Kosoy; Stephen Tiller
Stone Tower Management Ltd.	1. Managing Securities	Olivier Chaponnier	stonetower@leadingservices.net	2nd Terrace West, Centreville	N-7755	Olivier Chaponnier	Indira Ferguson	N/A	N/A	Olivier Chaponnier
Swan Capital Ltd.	1. Managing Securities	Maxime Rambaud	jm@swanap.net	Old Fort Bay Town Centre Unit 7, Building 2	SS-6333	Maxime Rambaud	Rosemary Barrett	N/A	N/A	Tony Miller
Swiss America Securities, Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Guy Gentile	info@suretrader.com	Suite # 17, Elizabeth on Bay Plaza, Elizabeth Ave. & Bay Street	N-8340	Guy Gentile	N/A	Drameko Moore	N/A	N/A
Swiss Atlantic S.A.	1. Managing Securities 2. Advising on Securities	Olivier Chaponnier	sasa@leadingservices.net	2nd Terrace West, Centreville	N-10567	Olivier Chaponnier	Indira Ferguson	N/A	Olivier Chaponnier	Olivier Chaponnier; Alisa Richardson; Leo Huber
Syz Bank (Bahamas) Ltd	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Jillian Ferguson	jillian.ferguson@syzbank.com	Building No. 2 Old Fort Bay, Windsor Field Road	N-1089	Jillian Ferguson	Venetia Ruth Dekle- Maura	Daniel-Marc Brunner; Keitra Pratt; Werner Gruner	Frank Negri; Werner Gruner; Keitra Pratt	Keitra Pratt
Templeton Global Advisors Limited	1. Managing Securities 2. Advising on Securities	Norman Boersma	tonia.sands@franklintempleton.com; michael.dagrosa@franklintempleton.com; norm.boersma@franklintempleton.com; peter.palleija@franklin.templeton.com	Templeton House, Lyford Cay	N-7759	Norman Boersma	Peter Palleija	N/A	Norman Boersma; Heather Arnold	Norman Boersma; James Harper; Heather Arnold; Herbert Arnett Jr.; Christopher Peel

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						CEO	COMPLIANCE OFFICER	TRADING REPRESENTATIVE	ADVISING REPRESENTATIVE	DISCRETIONARY MANAGEMENT REPRESENTATIVE
The Bank of Nova Scotia Trust Company (Bah) Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals. 3. Managing Securities 4. Advising on Securities	Mario Causarano	derek.pattison@scotiabank.com; glenette.reckley@scotiawealth.com	Scotia House, 404 East Bay Street	N-3016	Mario Causarano	Glenette Reckley	Jillian Dames; Gregory Walker	Jillian Dames; Gregory Walker	Jillian Dames; Gregory Walker
The Private Trust Corporation Limited	1. Dealing in Securities as Agent 2. Arranging Deals	Adrian Crosbie-Jones	info@privatetrustco.com; acj@privatetrustco.com	Charlotte House, Shirley & Charlotte Streets	N-65	Adrian Crosbie-Jones	Shasta Treco-Moxey	N/A	N/A	N/A
The Street Trader Limited	1. Dealing in Securities as Agent		hubleymark@hotmail.com	Lagoon Court, Sandyport	EE-17971	N/A	Edward Cooper	N/A	N/A	N/A
The Winterbotham Trust Company Ltd.	1. Dealing in Securities as Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Ivan Hooper	nassau@winterbotham.com	Winterbotham Place, Marlborough & Queen Streets	N-3026	Ivan Hooper	David Rounce	Christopher Hooper; Ivan Hooper; Jessica Thornley-Mitchell; Tevin Bannister	Jessican Thornley-Mitchell	David Thain
TigerWit Limited (formerly TigerWit Financial Services Limited).	1. Dealing in Securities as Principal or Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Darell Taylor	compliance@tigerwit.com	201 Church Street	SP-64368	Darell Taylor	Tina Glinton	Andrew Rolle; James Mnczak	Andrew Rolle	James Mnczak
Touchstone Advisory Ltd. (formerly deVere Bahamas Inc.)	1. Advising on Securities	Adrian Flambard	edeleveaux@summitcorpbahamas.com	Unit 1-5 Church Street, Sandy Port Marina Village	N-492	Adrian Flambard	Endric Deleveaux	N/A	Adrian Flambard	N/A
TradeZero Inc.	1. Dealing in Securities as Agent	Antonio Liberos	tz@tradezero.com	402-Building #2 Ocean Place West Bay Street, Cable Beach Nassau, Bahamas	SP-62904	Antonio Liberos	L Sydney Saunders	Tonielle Brancaccio; Ray Briggs	N/A	N/A
UCAP Bahamas Limited	1. Managing Securities 2. Advising on Securities	Clement Ducasse	accounts@ucapbh.com	CUB Financial Center. Lyford Cay	AP-59223	Clement Ducasse	Indira Ferguson	N/A	Clement Ducasse	Clement Ducasse
Union Bancaire Privee, UBP SA	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities		aq@ubp.ch	5th Floor Lyford Cay House, West Bay Street	N-7529	N/A	Rochelle Patricia Cleare; Astrid Wilson	Paul Russell; Brenda Powell; Davawn Turner; Van-Dyke Richard Saunders; Kyosen McPhee-Young	Brenda Powell	Van-Dyke Richard Saunders
Vauban Asset Management Limited	1. Managing Securities 2. Advising on Securities	Hubert Francois-Poncet	hfp@vam.business; Vauban@coralwave.com; JamesVAM@coralwave.com; KishnaVAM@coralwave.com	Suite 232 2nd Floor, Lagoon Court Building, The Olde Towne at Sandyport, West Bay Street	SP-61708	Hubert Francois-Poncet	Nathan Adderley	Michael Slack; Elsworth Moss	Hubert Francois-Poncet, Michael Slack, Elsworth Moss	Hubert Francois-Poncet, Michael Slack, Elsworth Moss
Vukota Capital Management Limited	1. Managing Securities 2. Advising on Securities	Tom Vokota				Tom Vokota	Nathan Adderley	N/A	Tom Vokota	Tom Vokota; Gina Cozza
Weiser Asset Management Ltd.	1. Dealing in Securities as Principal & Agent 2. Arranging Deals 3. Managing Securities 4. Advising on Securities	Serge Lacroix	info@weiser.com.bs; terah.rahming@weiser.com.bs	Offices at Old Fort Bay Building #9, Pineapple Place Lyford Cay	AP-59223 Slot 501	Serge Lacroix	Shelby Brice	Courtney Filipenko	N/A	N/A

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Wells Capital Management Incorporated	1. Managing Securities 2. Advising on Securities	Kirk Hartman	kerri.singer@wellsfargo.com; christine.donahue@wellsfargo.com	Lyford Manor, Western Road	N-7776	Kirk Hartman	Christine Donahue	N/A	Dale Winner	Dale Winner; Jeffrey Everett
Wincrest Capital Ltd.	1. Arranging Deals. 2. Managing Securities 3. Advising on Securities	Barbara Ann Bernard	bb@wincrest.capital; ca@wincrest.capital	#7 Old Fort Bay, Lyford Cay	N-7776	Barbara Ann Bernard	Indira Ferguson	N/A	Barbara Ann Bernard	Barbara Ann Bernard
Windmere Corporate Management Limited	1. Managing Securities	John Lawrence	john@windmerecm.com	Suite 1000, New Providence Financial Centre, East Bay Street	CR-56766	John Lawrence	Millicent Clarke	N/A	N/A	N/A
WMCG Ltd.	1. Managing Securities	Charles de la Baume	tamara.bain@apms-ltd.com; charles@wmcg-ltd.com	Halsbury Commercial Centre, Suite #2, Village Road North	SS-19689	Charles de la Baume	Tamara Bain	N/A	N/A	Charles de la Baume
XL Partners Ltd.	1. Managing Securities 2. Advising on Securities	Mitsuo Alves	mitsuo@xlpartnersltd.com; lmaycock@aosbahamas.com	21 Rosetta Street	SS-5212	Mitsuo Alves	Denise O'Brien	N/A	Mitsuo Alves	Mitsuo Alves