

SECURITIES COMMISSION OF THE BAHAMAS

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THE FINANCIAL AND CORPORATE SERVICE PROVIDERS ACT, 2000

SCHEDULE

(Section 4(1))

Application for a Financial and Corporate Service Licence

1) Name of Applicant _____

2) Address of principal office of applicant and, in the case of a company, its registered office

Postal: _____ Tel: _____ Fax: _____

Email: _____ Street _____

3) In the case of a company, the names, addresses and nationalities of all directors

4) In the case of a company, the names, addresses and nationalities of all shareholders

5) In the case of a company, the names, addresses and nationalities of the beneficial owners of the company

6) In the case of a partnership, the names and addresses and nationalities of all partners

7) In the case of a company, the names, addresses and nationalities of all officers and managers

8) Names and addresses of attorneys, if any, for the applicant, together with a letter from the attorneys confirming that they act for the applicant

9) In the case of a company, the name and address of the registered office in The Bahamas upon which any required notices may be served

10) In the case of a company –

- a) a certified copy of a Certificate of Incorporation:
- b) a certified copy of Memorandum and Articles of Association: and
- c) a certificate of Good Standing

11) A detailed resume, two character references in writing, one financial reference from a bank or trust company registered under the Banks and Trust Companies Regulation Act, or registered in a country specified in the First Schedule to the Financial Transactions Reporting Act, 2000, a police certificate for the previous five years in respect of each shareholder, beneficial owner, officer and directors.

12) Status for the purposes of the Exchange Control Regulations Act and any regulations made thereunder and in force at the date of the application.

13) A detailed overview/summary of internal control procedures to be put in place.

14) A synopsis of the company's anti money laundering policies along with a summary of the due diligence procedures with respect to the vetting of prospective clients.

15) Identification of the company's target market.

ATTESTATION:

I, the undersigned, hereby affirm that to the best of my information, knowledge and belief, the contents of this application are correct and true.

Name of Individual / Company

Signature of Individual / (on behalf of) Company

Date