

SECURITIES COMMISSION OF THE BAHAMAS

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**THE SECURITIES INDUSTRY ACT 1999 & SECURITIES INDUSTRY
REGULATIONS 2000**

Declaration for a Securities Investment Advisor Firm

I, **[Name]** _____ of **[Home Address or Business Address]**
_____ solemnly and sincerely declare as follows:

1. That I am a **[Position of Authorized Signatory]** of _____ **[Name of Applicant Firm]**
2. That I am duly authorized to make this declaration on behalf of the Company.
3. That the Company will only be providing investment advice services and will not trade in securities or offer brokerage services.

Declared at _____ **this** _____ **day of** _____,

Signed for and on behalf of **[name of applicant firm]**

[Authorized Signatory]

Before me,

[Signature of person before whom the declaration is made]

[Seal of Notary Public]

Completed declarations should be submitted to:

**The Authorizations Department
Securities Commission of the Bahamas**